Chapter 5

Quaternions
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5.1 Definitions

Quaternions are essentially an extension of the complex numbers. Rather than introducing just one value whose square is \(-1\) we introduce three, so we define \( \hat{i}, \hat{j} \) and \( \hat{k} \) such that

\[
\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = -1
\]

Moreover we insist that these are different from one another and we relate them as follows:

\[
\hat{i}\hat{j} = \hat{k}, \quad \hat{j}\hat{k} = \hat{i} \quad \text{and} \quad \hat{k}\hat{i} = \hat{j}
\]

**Theorem 5.1.0.1.** From these rules we get some other facts:

\[
\hat{j}\hat{i} = -\hat{k}, \quad \hat{k}\hat{j} = -\hat{i}, \quad \hat{i}\hat{k} = -\hat{j} \quad \text{and} \quad \hat{i}\hat{j}\hat{k} = \hat{k}\hat{i}\hat{j} = -1
\]

**Proof.** For example:

\[
\begin{align*}
\hat{i}\hat{j} &= \hat{k} \\
\hat{i}\hat{j}\hat{k} &= \hat{k}\hat{k} = k^2 \\
\hat{i}\hat{j}\hat{k} &= -1 \\
\hat{j}\hat{i}\hat{j}\hat{k} &= -\hat{j}\hat{i} \\
\hat{j}(\hat{j}^{-1})\hat{k} &= -\hat{j}\hat{i} \\
-(\hat{j}\hat{j})\hat{k} &= -\hat{j}\hat{i} \\
-\hat{k} &= -\hat{j}\hat{i} \\
\hat{k} &= -\hat{j}\hat{i} \\
-\hat{k} &= \hat{j}\hat{i}
\end{align*}
\]

At this point notice that for example \( \hat{i}\hat{j} = -\hat{j}\hat{i} \) so multiplication as defined is not commutative when it includes \( \hat{i}, \hat{j} \) and \( \hat{k} \). As we’ll see soon it’s not anti-commutative either when we go full-on with quaternions.

**Exercise 5.1.** Using a variation on the above proof show that \( \hat{k}\hat{j} = -\hat{i} \).

We can then define a quaternion.

**Definition 5.1.0.1.** A quaternion is a number of the form:

\[ q = s + a\hat{i} + b\hat{j} + c\hat{k} \text{ with } s, a, b, c \in \mathbb{R} \]
5.1. **Definitions**

We extend addition, subtraction and multiplication to the quaternions by obeying the above rules as well as distributivity and associativity.

**Definition 5.1.0.2.** A pure quaternion (also a vector quaternion) is a quaternion with scalar part equal to 0.

**Definition 5.1.0.3.** A scalar (also a scalar quaternion or a real quaternion) is a quaternion with vector part equal to 0.

The set of quaternions is denoted \( \mathbb{H} \).

**Example 5.1.** \( 2 + 3i - j + 2k \) is a quaternion, \( 3i - j + 2k \) is a pure quaternion and 7 is a scalar.

**Example 5.2.** If \( q_1 = 2 + i \) and \( q_2 = 3 + 4j \) then:

\[
q_1q_2 = (2 + i)(3 + 4j) \\
= 2(3 + 4j) + i(3 + 4j) \\
= 6 + 8j + 3i + 4ij \\
= 6 + 3i + 8j + 4k
\]

Just to compare, note that:

\[
q_2q_1 = (3 + 4j)(2 + i) \\
= 3(2 + i) + 4j(2 + i) \\
= 6 + 3i + 8j + 4ji \\
= 6 + 3i + 8j - 4k \\
= 6 + 3i + 8j - 4k
\]

These are different!
Example 5.3. If $q_1 = 2 + 3\hat{i} - 2\hat{j} + \hat{k}$ and $q_2 = 1 - 1\hat{i} + 4\hat{j} + 5\hat{k}$ then:

$$q_1 q_2 = (2 + 3\hat{i} - 2\hat{j} + \hat{k})(1 - 1\hat{i} + 4\hat{j} + 5\hat{k})$$

$$= 2(1 - 1\hat{i} + 4\hat{j} + 5\hat{k})$$

$$+ 3\hat{i}(1 - 1\hat{i} + 4\hat{j} + 5\hat{k})$$

$$- 2\hat{j}(1 - 1\hat{i} + 4\hat{j} + 5\hat{k})$$

$$+ 1\hat{k}(1 - 1\hat{i} + 4\hat{j} + 5\hat{k})$$

$$= 2 - 2\hat{i} + 8\hat{j} + 10\hat{k}$$

$$+ 3\hat{i} - 3\hat{i}^2 + 12\hat{i}\hat{j} + 15\hat{i}\hat{k}$$

$$- 2\hat{j} + 2\hat{j}\hat{i} - 8\hat{j}^2 - 10\hat{j}\hat{k}$$

$$+ 1\hat{k} - 1\hat{k}\hat{i} + 4\hat{k}\hat{j} + 5\hat{k}^2$$

$$= 2 - 2\hat{i} + 8\hat{j} + 10\hat{k}$$

$$+ 3\hat{i} - 3(-1) + 12\hat{k} + 15(-\hat{j})$$

$$- 2\hat{j} + 2(-\hat{k}) - 8(-1) - 10(\hat{i})$$

$$+ 1\hat{k} - 1(\hat{j}) + 4(-\hat{i}) + 5(-1)$$

$$= 8 - 13\hat{i} - 10\hat{j} + 21\hat{k}$$

It’s worth doing one or two of these just to settle the rules in your head.

Exercise 5.2. If $q_1 = 2 + 3\hat{i} + 5\hat{k}$ and $q_2 = 1 - 2\hat{j} + 3\hat{k}$ find $q_1 q_2$ and $q_2 q_1$.

5.2 Quaternion Properties

5.2.1 Non-Commutativity

Theorem 5.2.1.1. Observe that (see examples above) in general $q_1 q_2 \neq q_2 q_1$ and $q_1 q_2 \neq -q_2 q_1$ so quaternion multiplication is neither commutative nor anti-commutative. This is not really a theorem, I just called it one so it would have an impact. There are some special cases as we will notice later.

5.2.2 Vector Connection

The use of the notation $\hat{i}$, $\hat{j}$ and $\hat{k}$ are not arbitrary, we can use vector operations like cross products and dot products on the non-scalar part and in fact pure quaternions will denoted by vector notation simply to emphasize this point.
Example 5.4. If \( q_1 = 2i + 3j - k \) and \( q_2 = 5i + 4j + 6k \) then \( q_1 \cdot q_2 = 16 \) and \( q_1 \times q_2 = 22i - 17j - 7k \). Notice these are both quaternions, the first is just a scalar and the second is pure.

For this reason often quaternions are broken into the scalar term and the vector term and so a quaternion can be written:

\[ q = s + v \] or \[ q = [s, v] \]

where \( s \in \mathbb{R} \) and \( v = ai + bj + ck \).

In fact the cross and dot products simplify quaternion multiplication quite a bit as demonstrated by the following:

**Theorem 5.2.2.1.** For quaternions \( q_1 = s_1 + v_1 \) and \( q_2 = s_2 + v_2 \) we have:

\[
q_1 q_2 = (s_1 s_2 - v_1 \cdot v_2) + (s_1 v_2 + s_2 v_1 + v_1 \times v_2) \\
q_2 q_1 = (s_1 s_2 - v_1 \cdot v_2) + (s_1 v_2 + s_2 v_1 - v_1 \times v_2)
\]

Note: The parentheses are there to distinguish the scalar and vector parts.

**Proof.** The first line is just brute force calculation. The second line follows from the first and from the commutativity of the dot product and the anti-commutativity of the cross product. The second line isn’t really necessary, it’s just there to make it obvious how the (anti-)commutativity fails. It’s the cross product part which complicates the situation.

**Exercise 5.3.** Given \( q_1 = 2 + i - 2j + 3k \) and \( q_2 = 5 - 5i + 2j + 4k \), use the above theorem to calculate \( q_1 q_2 \) and \( q_2 q_1 \).

**Exercise 5.4.** Do the brute brute force calculation for the above theorem.

**Exercise 5.5.** Prove that for \( q_1 = s_1 + v_1 \) and \( q_2 = s_2 + v_2 \) we have \( q_1 q_2 = q_2 q_1 \) iff \( v_1 \parallel v_2 \).

As a special case of this we have:

**Theorem 5.2.2.2.** For pure quaternions \( v \) and \( w \) we have:

\[
vw = v \times w - v \cdot w \\
wv = w \times v - w \cdot v = -(v \times w) - v \cdot w
\]

**Proof.** The first of these follows immediately from the previous theorem when \( s_1 = s_2 = 0 \).

The second follows from the commutativity of the dot product and the anti-commutativity of the cross product.
Notice that although in general quaternion multiplication is not (anti-)commutative, pure quaternion multiplication flips the signs of the vector part. In other words:

It follows from this theorem that we can calculate the dot product and cross product from the quaternion product, as the following shows:

**Theorem 5.2.2.3.** For vectors $\mathbf{v}$ and $\mathbf{w}$ we have:

$$
\mathbf{v} \times \mathbf{w} = \frac{1}{2} (\mathbf{vw} - \mathbf{wv})
$$

$$
\mathbf{v} \cdot \mathbf{w} = -\frac{1}{2} (\mathbf{vw} + \mathbf{wv})
$$

**Proof.** These follow by adding or subtracting the two equations in the previous theorem and then dividing by $\frac{1}{2}$. \(\square\)

Note that we may or may not wish to compute the dot and cross products this way but its certainly useful to keep these in mind for algebraic manipulation.

Lastly a fact that will be relevant when we discuss rotation using quaternions:

**Theorem 5.2.2.4.** If $p$ is pure and $q \in \mathbb{H}$ then $qpq^{-1}$ is pure.

**Proof.** From an earlier theorem we see that for $q_1, q_2 \in \mathbb{H}$ we have $\text{Re}(q_1q_2) = \text{Re}(q_2q_1)$. In this case:

$$
\text{Re}(qpq^{-1}) = \text{Re}(qq^{-1}p) = \text{Re}(p)
$$

and the result follows immediately. \(\square\)

### 5.2.3 Conjugation

**Definition 5.2.3.1.** The **conjugate** of a quaternion $q = s + ai + bj + ck$ is denoted $q^*$ and is defined by:

$$
q^* = s - ai - bj - ck
$$

We don’t write $\bar{q}$ since $q$ already involves a vector and this could cause confusion. A better way to write this might be:

$$(s + \mathbf{v})^* = s - \mathbf{v}$$

**Theorem 5.2.3.1.** If $q = s + ai + bj + ck \in \mathbb{H}$ then

$$
qq^* = q^*q = s^2 + a^2 + b^2 + c^2 = |q|^2
$$

**Proof.** Brute force. \(\square\)
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Exercise 5.6. Work out the brute force.

Theorem 5.2.3.2. If $q_1, q_2 \in \mathbb{H}$ then $(q_1 q_2)^* = q_2^* q_1^*$

Proof. Brute force.

Exercise 5.7. Work out the brute force.

Exercise 5.8. Prove that for pure quaternions $v$ and $w$ we have $wv = (vw)^*$.

5.2.4 Norm

Definition 5.2.4.1. The magnitude (or norm) of a quaternion $q = s + a\hat{i} + b\hat{j} + c\hat{k}$ is:

$$|q| = \sqrt{s^2 + a^2 + b^2 + c^2}$$

Note that if $q = s + v$ then $|q|^2 = s^2 + |v|^2$.

Definition 5.2.4.2. A unit quaternion is a quaternion with norm 1.

Theorem 5.2.4.1. It follows that $|q|^2 = qq^* = q^* q$, that $|q| = \sqrt{qq^*} = \sqrt{q^* q}$ and for a unit quaternion $qq^* = q^* q = 1$.

Proof. Immediate from previous theorems.

Exercise 5.9. Elaborate the above proof.

Unit quaternions are interesting in the sense that they are all square roots of $-1$ and all square roots of $-1$ are unit quaternions. So by constructing $\mathbb{H}$ by introducing three new square roots of $-1$ we actually have gained infinitely many.

Theorem 5.2.4.2. $q$ is a unit pure quaternion iff $q^2 = -1$.

Proof. For a general quaternion $q = s + v = s + a\hat{i} + b\hat{j} + c\hat{k}$ we have:

$$q^2 = (ss - v \cdot v) + (sv + sv + v \times v)$$
$$= (s^2 - |v|^2) + (2sv + 0)$$
$$= (s^2 - a^2 - b^2 - c^2) + (2as\hat{i} + 2bs\hat{j} + 2cs\hat{k})$$

If $q$ is a unit pure quaternion then $s = 0$ and $a^2 + b^2 + c^2 = 1$ and the result follows immediately.
On the other hand suppose \( q^2 = -1 \). Then we have all of:

\[
\begin{align*}
\; \; \; \; \quad s^2 - a^2 - b^2 - c^2 &= -1 \\
2as &= 0 \\
2bs &= 0 \\
2cs &= 0 
\end{align*}
\]

We cannot have \( s \neq 0 \) since that would imply \( a = b = c = 0 \) from the last three which contradicts the first. Thus we must have \( s = 0 \) in which case the first yields \( a^2 + b^2 + c^2 = 1 \) and therefore \( |q| = 1 \).

Consider what this states. If we think of unit pure quaternions as vectors (which they are) then they form the sphere of radius 1 centered at the origin. So in \( \mathbb{H} \) there are a sphere’s worth of square roots of \(-1\).

It turns out that this is where we start to see more similarities to \( \mathbb{C} \). In \( \mathbb{C} \) unit complex numbers correspond to rotations and there are a circle’s worth of rotations (one per angle).

In \( \mathbb{H} \) a rotation has an axis (of rotation) and each axis can be represented by a vector so it turns out that each unit pure quaternion corresponds to an axis of rotation. We’ll need to go a little further in order to bring the angle of rotation into the picture, but that will happen in the next section.

There are a couple more comments on the norm it’s worth mentioning:

**Theorem 5.2.4.3.** The norm is multiplicative. That is, for \( q_1, q_2 \in \mathbb{H} \) we have:

\[
|q_1 q_2| = |q_1||q_2|
\]

**Proof.** We have:

\[
\begin{align*}
|q_1 q_2| &= \sqrt{(q_1 q_2)(q_1 q_2)^*} \\
&= \sqrt{q_1 q_2 q_2^* q_1^*} \\
&= \sqrt{q_1 |q_2|^2 q_1^*} \\
&= |q_2| \sqrt{|q_1 q_1^*|} \\
&= |q_2||q_1| \\
&= |q_1||q_2|
\end{align*}
\]

Notice that the same is true in \( \mathbb{C} \).

**Theorem 5.2.4.4.** The conjugate of a quaternion can be expressed using addition and multiplication of quaternions. Specifically:

\[
q^* = -\frac{1}{2}(q + iq + jq + kqk)
\]
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Proof. Brute force. Note that the same is not true in \( \mathbb{C} \). In other words there is no way to express the conjugate of a complex number using addition and multiplication of complex numbers. This is not obvious.

5.2.5 Divisibility and Invertibility

As with complex conjugation quaternion conjugation allows us to divide quaternions in a sensible manner and allows us to write the result as an obvious quaternion. We do this in general by:

\[
\frac{q_1}{q_2} = \frac{q_1 \bar{q}_2}{\bar{q}_2 \bar{q}_2} = \frac{q_1 \bar{q}_2}{|q_2|^2} = ... 
\]

Example 5.5. To divide \( 1 + 2i + 0j - 3k \) by \( 2 + 0i + 4j - 1k \) we proceed as follows:

\[
\frac{1 + 2i + 0j - 3k}{2 + 0i + 4j - 1k} = \frac{(1 + 2i + 0j - 3k)(2 - 0i - 4j + 1k)}{2^2 + 0^2 + 4^2 + (-1)^2} = ... 
\]

\[
= \frac{5 - 8i - 6j - 13k}{21} = \frac{5}{21} - \frac{8}{21}i - \frac{6}{21}j - \frac{13}{21}k 
\]

Exercise 5.10. Calculate the result of dividing \( 1 + 1i + 2j \) by \( 3i - 1j + 5k \).

Definition 5.2.5.1. A quaternion \( q \) is invertible if there is another quaternion, denoted \( q^{-1} \), such that \( qq^{-1} = q^{-1}q = 1 \).

Theorem 5.2.5.1. All nonzero quaternions are invertible and in fact:

\[
q^{-1} = \frac{q^*}{|q|^2} = \frac{s - ai - bj - ck}{|q|^2} 
\]

Proof. Observe that:

\[
q \left( \frac{q^*}{|q|^2} \right) = \frac{qq^*}{|q|^2} = \frac{|q|^2}{|q|^2} = 1 
\]

and similarly for the other product.

Exercise 5.11. Calculate the inverse of \( 2 + 4i - 2j + 3k \).

Corollary 5.2.5.1. If \( q = s + ai + bj + ck \) is a unit quaternion then

\[
q^{-1} = s - ai - bj - ck = q^* 
\]
5.2.6 Final Comments

To close out the section here’s a useful formula which is true of vectors and hence of pure quaternions:

**Theorem 5.2.6.1.** (Lagrange’s Formula aka triple product expansion)
Given vectors (pure quaternions) \( a, b \) and \( c \) we have:

\[
a \times (b \times c) = (a \cdot c)b - (a \cdot b)c
\]

*Proof.* Omitted. This is brute force.

Here is a brief summary of properties for reference:

(a) \( q_1q_2 = (s_1s_2 - v_1 \cdot v_2) + (s_1v_2 + s_2v_1 + v_1 \times v_2) \)

(b) \( vw = v \times w - v \cdot w \)

(c) \( vw = -(v \times w) - v \cdot w \)

(d) \( v \times w = \frac{1}{2}(vw - wv) \)

(e) \( v \cdot w = -\frac{1}{2}(vw + wv) \)

(f) \( qq^* = |q|^2 \)

(g) \( |q| = \sqrt{qq^*} \)

(h) \( (q_1q_2)^* = q_2^*q_1^* \)

(i) \( q \) is a unit pure quaternion iff \( q^2 = -1 \).

(j) \( |q_1q_2| = |q_1||q_2| \)

(k) \( q^* = -\frac{1}{2}(q + iq + jq + kqk) \)

(l) \( q^{-1} = \frac{q^*}{|q|^2} \)

(m) If \( q \) is a unit quaternion then \( q^{-1} = q^* \).

(n) \( a \times (b \times c) = (a \cdot c)b - (a \cdot b)c \)

5.3 Visualization of Quaternions

The only quaternions we represent graphically are pure quaternions, meaning those of the form \( a\hat{i} + b\hat{j} + ck \). We represent these either as vectors or as points, depending on how we’re using them.
It’s worth taking a moment to appreciate that when dealing with vectors \( \mathbf{v} \) and \( \mathbf{w} \) that the quaternion product:

\[
\mathbf{vw} = \mathbf{v} \times \mathbf{w} - \mathbf{v} \cdot \mathbf{w}
\]

captures both the dot product (in the scalar part of the result) and the cross product (in the vector part of the result).

Imagine two vectors \( \mathbf{v} \) and \( \mathbf{w} \). When \( \mathbf{v} \perp \mathbf{w} \) the dot product is zero and the result is just \( \mathbf{v} \times \mathbf{w} \) and is perpendicular to both. If we turn \( \mathbf{v} \) and \( \mathbf{w} \) a bit (without changing their lengths) so \( \mathbf{v} \not\perp \mathbf{w} \), the resulting cross product shrinks (since \( |\mathbf{v} \times \mathbf{w}| = |\mathbf{v}| |\mathbf{w}| \sin \theta \) and we interpret that what we’ve lost from the cross product we’ve gained in the dot product, but as a scalar. As \( \mathbf{v} \) and \( \mathbf{w} \) get less perpendicular and more parallel the cross product shrinks towards zero and we gain more dot product until they’re parallel, at which point the cross product part vanishes and the dot product part is everything.

### 5.4 Translations

If a point \( x\hat{i} + y\hat{j} + z\hat{k} \) is to be translated in 3D space we simply add or subtract another pure quaternion.

**Example 5.6.** To shift \( 2\hat{i} + 3\hat{j} - 1\hat{k} \) by 5 in the \( x \)-direction, 2 in the \( y \)-direction, and 7 in the \( z \)-direction we simply do:

\[
2\hat{i} + 3\hat{j} - 1\hat{k} \mapsto 2\hat{i} + 3\hat{j} - 1\hat{k} + 5\hat{i} + 2\hat{j} + 7\hat{k} = 7\hat{i} + 5\hat{j} + 6\hat{k}
\]

### 5.5 Rotations

#### 5.5.1 About Lines through the Origin

It turns out that extending complex numbers to quaternions allows rotations to extend to three dimensions in a very convenient way. It permits us to easily construct a formula for rotation about an arbitrary axis.

First a well-known formula. While this formula does the job it is complicated from an algebraic point of view, meaning it’s fine for doing a simple calculation but it’s not the type of calculation we want to carry about.

**Theorem 5.5.1.1.** (Rodrigues Rotation Formula)

Suppose \( \mathbf{u} \) is a unit vector and \( \mathbf{v} \) is some vector. Then the result of rotating
\( \mathbf{v} \) around \( \hat{\mathbf{u}} \) by an angle \( \theta \) counterclockwise with regards to the right-hand rule equals:

\[
\text{Rot}(\mathbf{v}) = (1 - \cos \theta)(\hat{\mathbf{u}} \cdot \mathbf{v})\hat{\mathbf{u}} + (\cos \theta)\mathbf{v} + (\sin \theta)(\hat{\mathbf{u}} \times \mathbf{v})
\]

**Proof.** We begin by breaking \( \mathbf{v} \) into components, one perpendicular to \( \hat{\mathbf{u}} \) and one parallel to \( \hat{\mathbf{u}} \):

\[
\mathbf{v} = \mathbf{v}_\perp + \mathbf{v}_\parallel
\]

In order to rotate \( \mathbf{v} \) we leave \( \mathbf{v}_\parallel \) alone, rotate \( \mathbf{v}_\perp \) and then add \( \mathbf{v}_\parallel + \text{Rot}(\mathbf{v}_\perp) \). That is:

\[
\text{Rot}(\mathbf{v}) = \mathbf{v}_\parallel + \text{Rot}(\mathbf{v}_\perp)
\]

The reason for this is illustrated by this picture:

The calculation for \( \text{Rot}(\mathbf{v}_\perp) \) is a specific example of the 2D case from Chapter 2 which used with \( \mathbf{v}_\perp \) tells us that:

\[
\text{Rot}(\mathbf{v}_\perp) = (\cos \theta)\mathbf{v}_\perp + (\sin \theta)(\hat{\mathbf{u}} \times \mathbf{v}_\perp)
\]

If we use this along with the facts that:

\[
\mathbf{v}_\parallel = (\hat{\mathbf{u}} \cdot \mathbf{v})\hat{\mathbf{u}} \\
\mathbf{v}_\perp = \mathbf{v} - (\hat{\mathbf{u}} \cdot \mathbf{v})\hat{\mathbf{u}}
\]

So now we calculate:
\[ \text{Rot}(v) = v_\parallel + \text{Rot}(v_\perp) \]
\[ = v_\parallel + (\cos \theta)v_\perp + (\sin \theta)(\hat{u} \times v_\perp) \]
\[ = (\hat{u} \cdot v)\hat{u} + (\cos \theta)(v - (\hat{u} \cdot v)\hat{u}) + (\sin \theta)(\hat{u} \times (v - (\hat{u} \cdot v)\hat{u})) \]
\[ = (\hat{u} \cdot v)\hat{u} + (\cos \theta)v - (\cos \theta)(\hat{u} \cdot v)\hat{u} + (\sin \theta)(\hat{u} \times (v - (\hat{u} \cdot v)\hat{u})) \]
\[ = (1 - \cos \theta)(\hat{u} \cdot v)\hat{u} + (\cos \theta)v + (\sin \theta)(\hat{u} \times v) \]

It’s worth taking a minute to verify that all this makes sense. Each term is independently a scalar times a vector so the end result is a linear combination of \( \hat{u}, v \) and \( \hat{u} \times v \).

**Exercise 5.12.** Use RRF to calculate the result of rotating \( v = 2\hat{i} + 3\hat{j} - 1\hat{k} \) about \( u = 3\hat{i} + 4\hat{j} - 5\hat{k} \) by \( 7\pi/6 \) radians. Note that \( u \) has not been normalized so do this first.

Before our theorem, a few notes:

(a) Note the trig identity \( \cos(2x) = \cos^2 x - \sin^2 x \).

(b) Note the trig identity \( \sin(2x) = 2\sin x \cos x \).

(c) Note the trig identity \( 2\sin^2 x = 1 - \cos(2x) \).

(d) We have \( \hat{u}v - v\hat{u} = 2(\hat{u} \times v) \). This follows directly from \( \hat{u} \times v = \frac{1}{2}(\hat{u}v - v\hat{u}) \).

(e) We have \( \hat{u}v\hat{u} = -2(\hat{u} \cdot v)\hat{u} + v \). This is not so obvious. We know that \( \hat{u} \cdot v = -\frac{1}{2}(\hat{u}v + v\hat{u}) \) and so \( \hat{u}v = -2\hat{u} \cdot v - v\hat{u} \). Then:

\[ \hat{u}v\hat{u} = [-2\hat{u} \cdot v - v\hat{u}]\hat{u} \]
\[ = -2(\hat{u} \cdot v)\hat{u} - v\hat{u}\hat{u} \]
\[ = -2(\hat{u} \cdot v)\hat{u} - v(\hat{u} \times \hat{u} - \hat{u} \cdot \hat{u}) \]
\[ = -2(\hat{u} \cdot v)\hat{u} - v(-1) \]

And now our theorem:

**Theorem 5.5.1.2.** Suppose \( \hat{u} \) is a unit vector and \( v \) is some vector. Then the result of rotating \( v \) around \( \hat{u} \) by an angle \( \theta \) counterclockwise with regards to the right-hand rule can be obtained by letting:

\[ p = \cos \left( \frac{\theta}{2} \right) + \sin \left( \frac{\theta}{2} \right) \hat{u} \]
and then doing:

\[ \mathbf{v}_{\text{Rot}} = pvp^{-1} = pvp^* \]

Before embarking on the proof, note that \( p \) is a unit quaternion because \( |p|^2 = \cos^2(\theta/2) + |\sin(\theta/2)|\hat{u}|^2 = 1 + 1 = 1 \). In addition every unit unit quaternion \( p \) can be decomposed this way because for any unit quaternion \( p = s + w \) we can write:

\[ p = s + |w| \left( \frac{1}{|w|} w \right) \]

and then simply assign \( \hat{u} = \frac{1}{|w|} w \) and choose \( \theta \) so that \( \sin(\theta/2) = s \) and \( \cos(\theta/2) = |w| \) which is possible since \( s^2 + |w|^2 = |p|^2 = 1. \)

Thus unit quaternions correspond to rotations where the vector part corresponds to the axis of rotation and the angle is built into the scalar part and the magnitude of the vector part. This is very important because when discussing rotations we can say that an arbitrary rotation can be performed via \( \mathbf{v} \mapsto pvp^* \) where \( p \) is a unit quaternion. This will arise frequently.

**Proof.** This is just calculation. First note that since \( |p| = 1 \) that \( p^{-1} = p^* \). Then consider:

\[
\begin{align*}
pvp^* &= \left( \cos \left( \frac{\theta}{2} \right) + \sin \left( \frac{\theta}{2} \right) \hat{u} \right) \mathbf{v} \left( \cos \left( \frac{\theta}{2} \right) - \sin \left( \frac{\theta}{2} \right) \hat{u} \right) \\
&= \cos^2 \left( \frac{\theta}{2} \right) \mathbf{v} + \sin \left( \frac{\theta}{2} \right) \cos \left( \frac{\theta}{2} \right) (\hat{u}\mathbf{v} - \hat{v}\hat{u}) - \sin^2 \left( \frac{\theta}{2} \right) \hat{u}\hat{v} \\
&= \cos^2 \left( \frac{\theta}{2} \right) \mathbf{v} + \sin \left( \frac{\theta}{2} \right) \cos \left( \frac{\theta}{2} \right) 2 (\hat{u} \times \mathbf{v}) - \sin^2 \left( \frac{\theta}{2} \right) (-2(\hat{u} \cdot \mathbf{v})\hat{u} + \mathbf{v}) \\
&= \left( \cos^2 \left( \frac{\theta}{2} \right) - \sin^2 \left( \frac{\theta}{2} \right) \right) \mathbf{v} + 2 \sin \left( \frac{\theta}{2} \right) \cos \left( \frac{\theta}{2} \right) (\hat{u} \times \mathbf{v}) + 2 \sin^2 \left( \frac{\theta}{2} \right) (\hat{u} \cdot \mathbf{v}) \hat{u} \\
&= (\cos \theta) \mathbf{v} + (\sin \theta) \mathbf{v} \times (\hat{u} \cdot \mathbf{v}) + (1 - \cos \theta) (\hat{u} \cdot \mathbf{v}) \hat{u} \\
&= \mathbf{v}_{\text{Rot}}
\end{align*}
\]

This should and should not surprise you. In \( \mathbb{C} \) it was multiplication by \( \cos \theta + i \sin \theta \) which did rotation and so this \( p \) should remind you a little of that.

In this case it’s not a simple multiplication but rather a pair of multiplications. There’s some elegant beauty in the fact that each of those multiplication involves half the required overall angle.

It is also worth noting that although \( p \) is not a pure quaternion the result of the calculation \( pvp^* \) where \( \mathbf{v} \) is a pure quaternion results in a pure quaternion.
5.5. **ROTTATIONS**

**Example 5.7.** To rotate \( \mathbf{v} = 2\hat{i} + \hat{j} \) by \( \theta = \pi/3 \) radians about \( \hat{u} = \frac{1}{\sqrt{2}}\hat{j} + \frac{1}{\sqrt{2}}\hat{k} \) we set:

\[
p = \cos(\pi/6) + \sin(\pi/6)\left(\frac{1}{\sqrt{2}}\hat{j} + \frac{1}{\sqrt{2}}\hat{k}\right) = \frac{\sqrt{3}}{2} + \frac{1}{2\sqrt{2}}\hat{j} + \frac{1}{2\sqrt{2}}\hat{k}
\]

and then the result is:

\[
p\mathbf{v}p^* = \left(\frac{\sqrt{3}}{2} + \frac{1}{2\sqrt{2}}\hat{j} + \frac{1}{2\sqrt{2}}\hat{k}\right)(2\hat{i} + \hat{j})\left(\frac{\sqrt{3}}{2} - \frac{1}{2\sqrt{2}}\hat{j} - \frac{1}{2\sqrt{2}}\hat{k}\right)
\]

\[
\approx \text{...Matlab...}
\]

\[
\approx 0 + 0.88763\hat{i} + 1.7247\hat{j} + 1.1124\hat{k}
\]

**Exercise 5.13.** Use the above formula to rotate \( \mathbf{v} = \hat{i} \) about \( \hat{u} = \hat{k} \) by \( \pi/2 \) radians. Does this correspond to your expectations? Hint: What should it do?

**Exercise 5.14.** Use the above formula to rotate \( \mathbf{v} = \hat{i} + 1\hat{j} + 2\hat{k} \) about \( \mathbf{u} = 2\hat{i} + 0\hat{j} + 3\hat{k} \) by \( 2\pi/3 \) radians.

After discussions with a student here is a slightly shorter proof of the above theorem. For now I’ll keep the previous one as the default one because I think there’s value in seeing Rodrigues Rotation Formula.

Here is the theorem again:

**Theorem 5.5.1.3.** Suppose \( \hat{u} \) is a unit vector and \( \mathbf{v} \) is some vector. Then the result of rotating \( \mathbf{v} \) around \( \hat{u} \) by an angle \( \theta \) counterclockwise with regards to the right-hand rule can be obtained by letting:

\[
p = \cos\left(\frac{\theta}{2}\right) + \sin\left(\frac{\theta}{2}\right)\hat{u}
\]

and then doing:

\[
\mathbf{v}_{\text{Rot}} = p\mathbf{v}p^{-1} = p\mathbf{v}p^*
\]

**Proof.** As with the start of RRF we break \( \mathbf{v} \) into components parallel and perpendicular to \( \mathbf{u} \), so \( \mathbf{v} = \mathbf{v}_\parallel + \mathbf{v}_\perp \) and we wish to rotate the perpendicular part while keeping the parallel part fixed. See the RRF picture for clarification if needed.

Observe that the mapping is then:

\[
\mathbf{v} \mapsto p(\mathbf{v}_\parallel + \mathbf{v}_\perp)p^{-1} = p\mathbf{v}_\parallel p^{-1} + p\mathbf{v}_\perp p^{-1}
\]

Observe then the following two things:
(a) For parallel pure quaternions $a$ and $b$ we have:

$$ab = a \times b - a \cdot b = 0 - a \cdot b = b \times a - b \cdot a = ba$$

It follows that $v_\parallel \hat{u} = \hat{u} v_\parallel$ and so:

$$p v_\parallel p^{-1} = p v_\parallel p^*$$
$$= p v_\parallel (\cos(\theta/2) - \hat{u} \sin(\theta/2))$$
$$= p (\cos(\theta/2) v_\parallel - \sin(\theta/2) \hat{u} v_\parallel)$$
$$= p (\cos(\theta/2) v_\parallel - \sin(\theta/2) \hat{u} v_\parallel)$$
$$= p (\cos(\theta/2) - \sin(\theta/2) \hat{u}) v_\parallel$$
$$= pp^* v_\parallel$$
$$= pp^{-1} v_\parallel$$
$$= v_\parallel$$

(b) For perpendicular pure quaternions $a$ and $b$ we have:

$$ab = a \times b - a \cdot b = a \times b - 0 = -(b \times a) + 0 = -(b \times a) + b \cdot a = -ba$$

It follows that $v_\perp \hat{u} = -(\hat{u} \times v_\perp) = -\hat{u} v_\perp$. and so:

$$p v_\perp p^{-1} = p v_\perp p^*$$
$$= p v_\perp (\cos(\theta/2) - \hat{u} \sin(\theta/2))$$
$$= p (\cos(\theta/2) v_\perp - \sin(\theta/2) \hat{u} v_\perp)$$
$$= p (\cos(\theta/2) v_\perp + \sin(\theta/2) \hat{u} v_\perp)$$
$$= p (\cos(\theta/2) + \sin(\theta/2) \hat{u}) v_\perp$$
$$= (\cos(\theta/2) + \sin(\theta/2) \hat{u}) (\cos(\theta/2) + \sin(\theta/2) \hat{u}) v_\perp$$
$$= \left[\cos^2(\theta/2) - \sin^2(\theta/2)\right] \hat{u} v_\perp + 2 \sin(\theta/2) \cos(\theta/2) \hat{u} v_\perp$$
$$= \left[\cos(\theta) + \sin(\theta) \hat{u}\right] v_\perp$$
$$= \cos(\theta) v_\perp + \sin(\theta) \hat{u} v_\perp$$
$$= \cos(\theta) v_\perp + \sin(\theta) (\hat{u} \times v_\perp)$$

Now the mapping is:

$$v \mapsto v_\parallel + \cos(\theta) v_\perp + \sin(\theta) (\hat{u} \times v_\perp)$$

However this is exactly as desired since the parallel portion is held fixed while the perpendicular portion is rotated according to the rule from Chapter 2.
5.6. REFLECTIONS

5.5.2 About Lines Not Through the Origin

To rotate about a line not through the origin the process is simple. We take
a point on the line and translate that point to the origin, then rotate, then
translate back. Note that the direction vector for the axis does not change.

Thus if we have a line containing point \( v_0 \) with unit direction vector \( \hat{u} \) then
rotation about this line can be done by:

\[
v \mapsto p(v - v_0)p^* + v_0
\]

where \( p \) is as before for rotations.

**Exercise 5.15.** Find the result of rotating \( v = 2\hat{i} + 3\hat{j} + 1\hat{k} \) by \( \pi/4 \) about the
line passing through \((0, 0, 1)\) with direction \( u = 3\hat{i} + 2\hat{j} + 0\hat{k} \).
Note that you need to make \( u \) into \( \hat{u} \).

5.6 Reflections

5.6.1 In Planes Through the Origin

We’ll focus first on planes through the origin since other planes may be dealt
with through translation.

First we must clarify how we are to represent a plane, but this is easy. Since
pure quaternions are equivalent to vectors we may take the standard Calculus
3 approach and simply choose a unit pure quaternion which will represent the
normal vector to the plane.

It turns out we get a particularly nice formula:

**Theorem 5.6.1.1.** Given a plane \( P \) through the origin represented by the unit
pure quaternion (unit normal vector) \( \hat{n} \) the reflection of the vector \( v \) is given
by:

\[
v \mapsto \hat{n}v\hat{n}
\]

**Proof.** Any vector may be decomposed into the sum of two vectors, one in
\( P \) (perpendicular to \( \hat{n} \)) and one perpendicular to \( P \) (a multiple of \( \hat{n} \)), using
standard vector projection.

\[
v = v_{\perp} + v_{\parallel}
\]

Reflecting in \( P \) involves negating \( v_{\parallel} \) and leaving \( v_{\perp} \) alone.
We’ll look at these two parts independently.

Observe that:

\[
\hat{n}v_\perp \hat{n} = \hat{n}(v_\perp \times \hat{n} - v_\perp \cdot \hat{n}) \\
= \hat{n}(v_\perp \times \hat{n} - 0) \\
= \hat{n} \times (v_\perp \times \hat{n}) - \hat{n} \cdot (v_\perp \times \hat{n}) \\
= \hat{n} \times (v_\perp \times \hat{n}) - 0 \\
= (\hat{n} \cdot \hat{n})v_\perp - (\hat{n} \cdot v_\perp)\hat{n} \\
= (1)v_\perp - (0)\hat{n} \\
= v_\perp
\]

And observe that:

\[
\hat{n}v_\parallel \hat{n} = \hat{n}(v_\parallel \times \hat{n} - v_\parallel \cdot \hat{n}) \\
= \hat{n}(0 - v_\parallel \cdot \hat{n}) \\
= -\hat{n}|v_\parallel|\hat{n} \cos \theta \\
= -\hat{n}|v_\parallel| \\
= -v_\parallel
\]

So now for any \( v \) we write \( v = v_\perp + v_\parallel \) and then:

\[
\hat{n}v\hat{n} = \hat{n}(v_\perp + v_\parallel)\hat{n} \\
= \hat{n}v_\perp \hat{n} + \hat{n}v_\parallel \hat{n} \\
= -v_\perp + v_\parallel
\]

This result is the reflection. \( \square \)
5.6. REFLECTIONS

Note that if we have \( n \) not normalized then to normalize we simply divide by \(|n|\) and the formula can be rewritten as:

\[ v \mapsto \left( \frac{1}{|n|^2} \right) nvn \]

**Example 5.8.** To reflect \( v = 3\hat{i} + 1\hat{j} + 1\hat{k} \) in the plane through the origin with normal vector \( n = 2\hat{i} + 2\hat{j} - 1\hat{k} \) we calculate:

\[
3\hat{i} + 1\hat{j} + 1\hat{k} \mapsto \left( \frac{1}{3} \right) (2\hat{i} + 2\hat{j} - 1\hat{k})(3\hat{i} + 1\hat{j} + 1\hat{k})(2\hat{i} + 2\hat{j} - 1\hat{k})
\]

\[
\mapsto \ldots
\]

\[
\mapsto \left( \frac{1}{3} \right) (-1\hat{i} - 19\hat{j} + 23\hat{k})
\]

**Exercise 5.16.** Calculate the result of reflecting \( v = 3\hat{i} + 2\hat{j} + 1\hat{k} \) in the plane through the origin with normal vector \( \hat{n} = \hat{i} \). Is this what you expect?

**Exercise 5.17.** Calculate the result of reflecting \( v = 15\hat{i} + 10\hat{j} - 20\hat{k} \) in the plane through the origin with normal vector \( n = 1\hat{i} + 1\hat{j} + 2\hat{k} \).

### 5.6.2 Two Reflections (Still) Make a Rotation

It ought to seem reasonable at this point that if we reflect in two planes through the origin, one after the other, that the result is a rotation about the axis formed by the intersection of the two.

Let’s check that this is the result. Suppose two planes \( P_1 \) and \( P_2 \) have unit normal vectors \( \hat{n}_1 \) and \( \hat{n}_2 \) respectively and meet at an angle of \( \theta \). Suppose we wish to reflect in \( P_1 \) and then \( P_2 \).

The axis formed by the intersection of the two has vector \( \hat{n}_1 \times \hat{n}_2 \) but this is probably not a unit vector. Notice that this vector follows the right-hand rule curling the fingers the short angle between \( P_1 \) and \( P_2 \).

The unit vector \( \hat{u} \) would be:

\[
\hat{u} = \frac{\hat{n}_1 \times \hat{n}_2}{|\hat{n}_1 \times \hat{n}_2|}
\]
and would satisfy:
\[
\hat{n}_1 \times \hat{n}_2 = \frac{\hat{n}_1 \times \hat{n}_2}{|\hat{n}_1 \times \hat{n}_2|} |
\hat{n}_1 \times \hat{n}_2|
= \hat{u} |\hat{n}_1 \times \hat{n}_2| |
= \hat{u} (1)(1)| \sin \theta
\]
Keeping in mind also that:
\[
\hat{n}_1 \cdot \hat{n}_2 = |\hat{n}_1||\hat{n}_2| \cos \theta = \cos \theta
\]
The double-reflection will then be:
\[
v \mapsto -\hat{u}v\hat{u}^\ast
\]
This is exactly equal to a rotation of $2\theta$ radians about the axis $\hat{u}$. The direction of rotation here is by the right-hand rule applied to the vector $\hat{u}$. This vector arose from $\hat{n}_1 \times \hat{n}_2$ and so the direction of $\hat{u}$ is such that the right-hand rule rotates $\hat{n}_1$ toward $\hat{n}_2$.

Notice that this may or may not be the short direction between the planes. It’s impossible to know without knowing the direction of the normal vectors for the planes. Thus in this case that direction is important.

5.6.3 In Lines Through the Origin

It’s also possible in three dimensions to reflect through a line as shown in the theorem below. Of course reflection in a line is equivalent to rotation by $\pi$ but it’s worth noting that we can derive the formula separately from that approach.

**Theorem 5.6.3.1.** Given a line $L$ through the origin represented by the unit pure quaternion $\hat{u}$ the reflection of the vector $v$ is given by:

\[
v \mapsto -\hat{u}v\hat{u}^\ast
\]

**Proof.** Notice how similar this is to the previous theorem. This is not a coincidence and the proof is very similar, read that one first!
We decompose $v$ into the sum of two vectors, one perpendicular to $\hat{u}$ and one parallel to (a multiple of) $\hat{u}$. Here’s where the proof differs. In this case reflecting in $\mathcal{L}$ involves leaving the parallel part intact and negating the perpendicular part.

\[
\begin{align*}
\hat{u} & \quad \text{Result} \\
v & \quad v_{\parallel} \\
v_{\perp} & \quad -v_{\perp}
\end{align*}
\]

The result follows.

As with rotation, if the vector $u$ is not a unit vector then we can factor out the normalization:

\[
v \mapsto \left( \frac{1}{|u|^2} \right) uvu
\]

**Exercise 5.18.** Find the result when the vector $v = 10\hat{i} + 12\hat{j} + 8\hat{k}$ is reflected in the axis $\hat{u} = \hat{k}$. Is the result what you expect?

**Exercise 5.19.** Find the result when the vector $v = 10\hat{i} + 12\hat{j} + 8\hat{k}$ is reflected in the axis $u = 5\hat{i} + \hat{j} + 2\hat{k}$.

### 5.6.4 Reflections in Other Planes and Lines

To reflect in a plane not through the origin the process is simple. We take a point on the plane and translate that point to the origin, then reflect, then translate back. Note that the normal vector for the plane does not change.

Thus if $\hat{n}$ is the unit normal vector for the plane and $v_0$ is a point on the plane then reflection in the plane will be given by:

\[
v \mapsto \hat{n}(v - v_0)\hat{n} + v_0
\]
Reflection in a line works similarly:

\[ \mathbf{v} \mapsto -\hat{\mathbf{u}}(\mathbf{v} - \mathbf{v}_0)\hat{\mathbf{u}} + \mathbf{v}_0 \]

**Exercise 5.20.** Find the result when \( \mathbf{v} = 3\hat{i} + 3\hat{j} + 10\hat{k} \) is reflected in the plane \( 2x + 4y + 4z = 12 \) with normal vector arising from the coefficients.

**Exercise 5.21.** Find the result when \( \mathbf{v} = 3\hat{i} + 3\hat{j} + 10\hat{k} \) is reflected in the line through \((1,1,2)\) with axis \( \mathbf{u} = \hat{i} + 2\hat{j} + 2\hat{k} \).

### 5.7 Transformation Summary

It’s worth summarizing to notice how similar all these formulas are. We have the following:

- Rotation about a line \( \hat{\mathbf{u}} \) \( \mathbf{v} \mapsto p\mathbf{v}p^* \) where \( p = \cos(\theta/2) + \sin(\theta/2)\hat{\mathbf{u}} \)
- Reflection in a plane \( \hat{\mathbf{n}} \) \( \mathbf{v} \mapsto \hat{\mathbf{n}}\mathbf{v}\hat{\mathbf{n}} \)
- Reflection in a line \( \hat{\mathbf{u}} \) \( \mathbf{v} \mapsto -\hat{\mathbf{u}}\mathbf{v}\hat{\mathbf{u}} \)

This is the beauty in using quaternions and will be similar in geometric algebra. Geometric transformations are represented by calculations which are algebraically speaking quite simple. In this case multiplication of quaternions gives us rotation and two different reflections in extremely similar forms.

### 5.8 Transformations of Lines and Planes

#### 5.8.1 Representations

The most direct way to store a line in \( \mathbb{R}^3 \) which does not pass through the origin is with an anchor point and a direction \((\mathbf{v}_0, \mathbf{L})\). Then the line consists of all points of the form:

\[ \mathbf{v}(t) = \mathbf{v}_0 + t\mathbf{L} \]

Likewise the most direct way to store a plane in \( \mathbb{R}^3 \) which does not pass through the origin is with an anchor point and a normal vector \((\mathbf{v}_0, \hat{\mathbf{n}})\). Then the line consists of all points \( \mathbf{v}(t) \) satisfying:

\[ \hat{\mathbf{n}} \cdot (\mathbf{v} - \mathbf{v}_0) = 0 \]
5.8.2 Transformations of Lines

To transform a line we simply apply the transformation in the appropriate manner.

**Theorem 5.8.2.1.** To translate the line parametrized by \((v_0, L)\) we translate the anchor point \(v_0\). The direction doesn’t change so \(L\) is not touched. That is:

\[(v_0, L) \rightarrow (v_0 + q, L)\]

*Proof.* In the statement. \qed

**Theorem 5.8.2.2.** To rotate the line parametrized by \((v_0, L)\) we rotate both the anchor point and the vector. That is:

\[(v_0, L) \rightarrow (p v_0 p^*, pL p^*)\]

*Proof.* Observe that the original line passes through the points \(v_0\) and \(v_0 + L\) and so the rotated line must pass through the points \(pv_0p^*\) and \(p(v_0 + L)p^* = pv_0p^* + pLp^*\) and hence has direction vector \(pLp^*\). \qed

**Theorem 5.8.2.3.** Reflections in a plane follow the same approach as rotations.

*Proof.* Similar and omitted. \qed

Rotations of lines about axes not through the origin and reflections of lines in planes and lines not through the origin must be done using translations as we did with points. Likewise with rotations and reflections of planes.

**Exercise 5.22.** Find the result when the line \((2i + 0j + 1k, 3i + 1j + 1k)\) is rotated by 7.32 radians about the axis through the origin with \(u = 2i + 2j + 1k\).

**Exercise 5.23.** Find the result when the line \((2i + 0j + 1k, 3i + 1j + 1k)\) is rotated by 2.3 radians about the axis through \((10, 10, 0)\) with \(u = 2i + 2j + 1k\). Note: First translate so the axis passes through the origin, then rotate, then translate back.

**Exercise 5.24.** Find the result when the line \((2i + 0j + 1k, 3i + 1j + 1k)\) is reflected in the plane through the origin with normal vector \(n = 1i + 1j + 2k\).

**Exercise 5.25.** Find the result when the line \((2i + 0j + 1k, 3i + 1j + 1k)\) is reflected in the plane through \((4, 3, 0)\) with normal vector \(n = 1i + 1j + 2k\).
5.8.3 Transformations of Planes

**Theorem 5.8.3.1.** To translate the plane parametrized by \((v_0, \hat{n})\) we translate the anchor point \(v_0\). The orientation doesn’t change so \(\hat{n}\) is left untouched. That is:

\[(v_0, \hat{n}) \mapsto (v_0 + q, \hat{n})\]

**Proof.** In the statement. \(\square\)

The proof of the result for the rotation of planes follows from the fact that conjugation by a unit quaternion fixes the dot product between vectors. In other words:

**Theorem 5.8.3.2.** For vectors \(a\) and \(b\) and for a unit quaternion \(p\) we have:

\[(pap^*) \cdot (pbp^*) = a \cdot b\]

**Proof.** We have:

\[
(pap^*) \cdot (pbp^*) = -\frac{1}{2}(pap^*pbp^* + pbp^*pap^*)
\]

\[
= -\frac{1}{2}(pa(1)b + pb(1)a)p^*
\]

\[
= -\frac{1}{2}(p(ab + ba)p^*)
\]

\[
= p(a \cdot b)p^*
\]

\[
= pp^*(a \cdot b)
\]

\[
= 1(a \cdot b)
\]

\[
= a \cdot b
\]

\(\square\)

Now then:

**Theorem 5.8.3.3.** To rotate the plane parametrized by \((v_0, \hat{n})\) we rotate both the anchor point and the vector. That is for any rotation \(p\) we have:

\(\mathcal{P} = (v_0, \hat{n}) \mapsto (pv_0p^*, p\hat{n}p^*) = \mathcal{P}'\)

**Proof.** We have:

\[
\hat{n} \cdot (v - v_0) = (p\hat{n}p^*) \cdot (p(v - v_0)p^*)
\]

\[
= (p\hat{n}p^*) \cdot (pvp^* - pv_0p^*)
\]

It follows that \(v \in \mathcal{P}\) iff \(p\hat{n}p^* \in \mathcal{P}'\). \(\square\)
5.8. TRANSFORMATIONS OF LINES AND PLANES

Theorem 5.8.3.4. Reflections in a plane follow the same approach as rotations.

Proof. Similar and omitted. □

Rotations of lines about axes not through the origin and reflections of lines in planes and lines not through the origin must be done using translations as we did with points. Likewise with rotations and reflections of planes.

Example 5.9. Consider the plane $2x + y - z = 10$. Suppose we wish to rotate this plane by $0.5$ radians about the axis $u = 2\hat{i} + 3\hat{j} - 4\hat{k}$. We find the unit normal for the plane and any point on the plane:

$$\hat{n} = \frac{1}{\sqrt{6}}(2\hat{i} + 1\hat{j} - \hat{k})$$

$$v_0 = 0\hat{i} + 10\hat{j} + 0\hat{k}$$

We then find:

$$\hat{u} = \frac{1}{\sqrt{29}}(2\hat{i} + 3\hat{j} - 4\hat{k})$$

$$p = \cos(0.5/2) + \frac{1}{\sqrt{29}}(2\hat{i} + 3\hat{j} - 4\hat{k})\sin(0.5/2)$$

Then we find the new unit normal and point:

$$p\hat{n}p^* \approx 0.7908\hat{i} + 0.1971\hat{j} - 0.5795\hat{k}$$

$$p\hat{v}_0p^* \approx 3.8144\hat{i} + 9.1557\hat{j} + 1.2740\hat{k}$$

Therefore the new plane has equation:

$$0.7908(x - 3.8133) + 0.1971(y - 9.1557) - 0.5795(z - 1.2740) = 0$$

Exercise 5.26. Find the result when the plane $x + 2y + z = 4$ with normal vector arising from the coefficients is rotated by $0.2$ radians about the axis through the origin with $u = 4\hat{i} + 6\hat{j} + 3\hat{k}$.

Hint: The plane can be thought of as $(v_0, \hat{n})$ where $v_0$ is any point on the plane and $\hat{n}$ is the unit vector arising from the coefficients.

Exercise 5.27. Find the result when the plane $x + 2y + z = 4$ with normal vector arising from the coefficients is rotated by $4.3$ radians about the axis through $(5, 5, 5)$ with $u = 4\hat{i} + 6\hat{j} + 3\hat{k}$.

Exercise 5.28. Find the result when the plane $x - y + z = 1$ with normal vector arising from the coefficients is reflected in the plane $x + y + 2z = 0$, with normal vector also arising from the coefficients.
Exercise 5.29. Find the result when the plane $x - y + z = 1$ with normal vector arising from the coefficients is reflected in the plane $x + y + 2z = 10$, with normal vector also arising from the coefficients.

Exercise 5.30. Find the result when the plane $x - y + z = 1$ with normal vector arising from the coefficients is reflected in the line through the origin with direction $\mathbf{u} = 4\mathbf{i} + 1\mathbf{j} + 0\mathbf{k}$.

Exercise 5.31. Find the result when the plane $x - y + z = 1$ with normal vector arising from the coefficients is reflected in the line through $(1, 2, 2)$ with direction $\mathbf{u} = 4\mathbf{i} + 1\mathbf{j} + 0\mathbf{k}$.

5.9 Slerp

5.9.1 Basic Slerp

Suppose you have an object located at a certain point $\mathbf{v}_s$ and you wish to move it with a constant velocity to another point $\mathbf{v}_e$. One way to do this is simply along a straight line which we can parametrize by:

$$\mathbf{v}(t) = (1 - t)\mathbf{v}_s + t\mathbf{v}_e \text{ for } 0 \leq t \leq 1$$

These points lie between $\mathbf{v}_s$ and $\mathbf{v}_e$, specifically on the plane containing the origin as well as these two points. The velocity is constant, it is simply the distance traveled since the time required is 1.

But suppose we wished to move this object along an arc. For simplicity sake let’s assume that both $\mathbf{v}_s$ and $\mathbf{v}_e$ are unit quaternions and we wish to move the object along the shortest curve on the unit sphere.

One solution might simply to normalize the above vectors:

$$\mathbf{v}(t) = \frac{(1 - t)\mathbf{v}_s + t\mathbf{v}_e}{\| (1 - t)\mathbf{v}_s + t\mathbf{v}_e \|} \text{ for } 0 \leq t \leq 1$$

While this will follow the desired route the velocity will not be constant.

This can be shown computationally but it more easily seen by this pictoral example where the straight-line route has been divided into four equal quarter lengths by the dotted line. Along the straight-line route the time required is $1/4$ per quarter-length. When we normalize to get the arc, however, the four quarter-arcs are not the same length because the distances at the ends are shorter. Consequently the object speeds up as it moves towards the middle.
Instead we define the following:

**Definition 5.9.1.1.** We define spherical linear interpolation:

\[
\text{Slerp}(v_s, v_e, t) = \frac{\sin(\theta(1-t))}{\sin \theta} v_s + \frac{\sin(\theta t)}{\sin \theta} v_e
\]

where \(0 \leq \theta \leq \pi\) satisfies \(\cos \theta = v_s \cdot v_e\).

**Theorem 5.9.1.1.** This function has the following properties:

- When \(t = 0\) we get \(\text{Slerp}(v_s, v_e, 0) = v_s\).
- When \(t = 1\) we get \(\text{Slerp}(v_s, v_e, 1) = v_e\).
- For all \(t\) we have:
  \[|\text{Slerp}(v_s, v_e, t)| = 1\]
- The speed of Slerp is constant. In fact if \(v_s\) and \(v_e\) are fixed then:
  \[
  \frac{d}{dt} \text{Slerp}(v_s, v_e, t) = |\theta|
  \]

*Proof.* The proofs of (a) and (b) are clear when we plug in \(t = 0\) and \(t = 1\).

For (c) first note two facts:

(i) For unit vectors \(v\) and \(w\) we have:

\[
|\alpha v + \beta w|^2 = (\alpha v + \beta w) \cdot (\alpha v + \beta w) = \alpha^2 v \cdot v + 2\alpha \beta v \cdot w + \beta^2 w \cdot w = \alpha^2 + 2\alpha \beta \cos \theta + \beta^2
\]

(ii) \(\sin(\theta(1-t)) = \sin(\theta - \theta t) = \sin \theta \cos(\theta t) - \sin(\theta t) \cos \theta\)
From there it’s just a lengthy calculation:

\[
\text{slerp}(\mathbf{v}_s, \mathbf{v}_t, t) = \frac{\sin(\theta(1-t))}{\sin \theta} \mathbf{v}_s + \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_e
\]

\[
= \frac{1}{\sin \theta} [\sin(\theta - \theta t) \mathbf{v}_s + \sin(\theta t) \mathbf{v}_e]
\]

\[
= \frac{1}{\sin \theta} [(\sin \theta \cos(\theta t) - \sin(\theta t) \cos \theta) \mathbf{v}_s + \sin(\theta t) \mathbf{v}_e]
\]

\[
\text{slerp}(\mathbf{v}_s, \mathbf{v}_t, t) \sin \theta = (\sin \theta \cos(\theta t) - \sin(\theta t) \cos \theta) \mathbf{v}_s + \sin(\theta t) \mathbf{v}_e
\]

\[
|\text{slerp}(\mathbf{v}_s, \mathbf{v}_t, t)|^2 \sin^2 \theta = \sin^2 \theta \cos^2(\theta t) - 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t) + \sin^2(\theta t) \cos^2 \theta
\]

\[
+ 2(\sin \theta \cos(\theta t) - \sin(\theta t) \cos \theta) \sin(\theta t) \cos \theta
\]

\[
+ \sin^2(\theta t)
\]

\[
= \sin^2 \theta \cos^2(\theta t) - 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t) + \sin^2(\theta t) \cos^2 \theta
\]

\[
+ 2(\sin \theta \cos(\theta t) - \sin(\theta t) \cos \theta) \sin(\theta t) \cos \theta
\]

\[
+ \sin^2(\theta t)
\]

\[
= \sin^2 \theta \cos^2(\theta t) - \sin^2(\theta t) \cos^2 \theta + \sin^2(\theta t)
\]

\[
= \sin^2(\theta t)(1 - \cos^2 \theta ) + \sin^2 \theta \cos^2(\theta t)
\]

\[
= \sin^2(\theta t) \sin^2 \theta + \sin^2 \theta \cos^2(\theta t)
\]

\[
= \sin^2 \theta
\]

\[
|\text{slerp}(\mathbf{v}_s, \mathbf{v}_t, t)| = 1
\]

For (d) first note:

(i) \( \cos(\theta(1-t)) = \cos(\theta - \theta t) = \cos \theta \cos(\theta t) + \sin(\theta t) \sin \theta \)
From there it’s just another lengthy calculation:

\[
\text{slerp}(v_s, v_t, t) = \frac{\sin(\theta(1 - t))}{\sin \theta} v_s + \frac{\sin(\theta t)}{\sin \theta} v_e
\]

\[
\text{slerp}(v_s, v_t, t) = \frac{1}{\sin \theta} [\sin(\theta(1 - t))v_s + \sin(\theta t)v_e]
\]

\[
\frac{d}{dt} \text{slerp}(v_s, v_t, t) = \frac{1}{\sin \theta} [-\theta \cos(\theta(1 - t))v_s + \theta \cos(\theta t)v_e]
\]

\[
\left(\frac{\sin \theta}{\theta}\right) \frac{d}{dt} \text{slerp}(v_s, v_t, t) = -\cos(\theta(1 - t))v_s + \cos(\theta t)v_e
\]

\[
\left(\frac{\sin^2 \theta}{\theta^2}\right) \frac{d}{dt} \text{slerp}(v_s, v_t, t) = \cos^2 \theta \cos^2(\theta t) + 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t) + \sin^2 \theta \sin^2(\theta t)
\]

\[
- 2(\cos \theta \cos(\theta t) + \sin(\theta t) \sin \theta) \cos(\theta t) \cos \theta
\]

\[
+ \cos^2(\theta t)
\]

\[
= \cos^2 \theta \cos^2(\theta t) + 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t) + \sin^2 \theta \sin^2(\theta t)
\]

\[
- 2 \cos \theta \cos^2(\theta t) - 2 \sin \theta \sin(\theta t) \cos(\theta t) \cos \theta
\]

\[
+ \cos^2(\theta t)
\]

\[
= \cos^2 \theta \cos^2(\theta t) + 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t) + \sin^2 \theta \sin^2(\theta t)
\]

\[
- 2 \cos^2 \theta \cos^2(\theta t) - 2 \sin \theta \cos \theta \sin(\theta t) \cos(\theta t)
\]

\[
+ \cos^2(\theta t)
\]

\[
= - \cos^2 \theta \cos^3(\theta t) + \sin^2 \theta \sin^2(\theta t) + \cos^2(\theta t)
\]

\[
= \cos^2(\theta t)(1 - \cos^2 \theta) + \sin^2 \theta \sin^2(\theta t)
\]

\[
= \cos^2(\theta t) \sin^2 \theta + \sin^2 \theta \sin^2(\theta t)
\]

\[
= \sin^2 \theta
\]

\[
\left(\frac{\sin^2 \theta}{\theta^2}\right) \frac{d}{dt} \text{slerp}(v_s, v_t, t) = \sin^2 \theta
\]

\[
\left| \frac{d}{dt} \text{slerp}(v_s, v_t, t) \right|^2 = \theta^2
\]

\[
\left| \frac{d}{dt} \text{slerp}(v_s, v_t, t) \right| = |\theta|
\]

It follows from these facts, and the fact that Slerp\((v_s, v_e, t)\) is a a linear combination of \(v_s\) and \(v_e\), that it always lies on the plane they span, which is a plane through the origin, hence it lies on the great circle joining the two, hence it lies on the curve of shortest distance.
It travels along that great circle at constant speed, starting at \( \mathbf{v}_s \) at \( t = 0 \) and ending at \( \mathbf{v}_e \) at \( t = 1 \).

**Example 5.10.** Consider the following two unit vectors: Consider the following two unit vectors:

\[
\mathbf{v}_s = \frac{1}{\sqrt{5}}(0\hat{i} + 1\hat{j} + 2\hat{k}) \\
\mathbf{v}_e = \frac{1}{\sqrt{13}}(3\hat{i} + 2\hat{j} + 0\hat{k})
\]

We find our angle \( \theta \) via:

\[
\cos \theta = \mathbf{v}_s \cdot \mathbf{v}_e \\
\cos \theta = \frac{2}{\sqrt{65}} \\
\theta = \cos^{-1}\left(\frac{2}{\sqrt{65}}\right)
\]

Note that by default the arccosine function traditionally returns values in the range \([0, \pi]\) so we’re getting the right \( \theta \) here.

The expression for Slerp is then:

\[
\text{Slerp}(\mathbf{v}_s, \mathbf{v}_e, t) = \frac{\sin(\theta(1-t))}{\sin \theta} \left( \frac{1}{\sqrt{5}}(0\hat{i} + 1\hat{j} + 2\hat{k}) \right) + \frac{\sin(\theta t)}{\sin \theta} \left( \frac{1}{\sqrt{13}}(3\hat{i} + 2\hat{j} + 0\hat{k}) \right)
\]

Then for example at \( t = 0.2 \) we have location:

\[
\text{Slerp}(\mathbf{v}_s, \mathbf{v}_e, 0.2) \approx [0.2241; 0.5513; 0.8037]
\]

**Exercise 5.32.** Consider the following two unit vectors:

\[
\mathbf{v}_s = \frac{1}{\sqrt{14}}(2\hat{i} + 1\hat{j} + 3\hat{k}) \\
\mathbf{v}_e = \frac{1}{\sqrt{26}}(5\hat{i} + 0\hat{j} + 1\hat{k})
\]

(a) Write down the expression for Slerp.

(b) Find the location at \( t = 0, 0.25, 0.5, 0.75, 1 \).

**Exercise 5.33.** The two points \( P = (1, 0, 0) \) and \( Q = \left( \frac{\sqrt{2}}{2}, 0, \frac{\sqrt{2}}{2} \right) \) both lie on the unit sphere.

(a) Write down the expression for Slerp going from \( P \) to \( Q \).

(b) Find the location at \( t = 0, 0.25, 0.5, 0.75, 1 \).
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5.9.2 Adapting Slerp

If $v_e$ and $v_s$ are equidistant from $c$ then we can adapt Slerp to provide a rotation from $v_e$ to $v_s$ along the great circle on the sphere of radius $R = |v_s - c| = |v_e - c|$ by translating so that $c$ goes to the origin, using the Slerp formula multiplied by $R$ but with normalized versions of our translated $v_s$ and $v_e$, and then translating back.

In this formula $\theta$ is the angle between the translated $v_s$ and $v_e$.

$$\text{NewSlerp}(v_s, v_e, t) = c + R \left[ \frac{\sin(\theta (1 - t))}{\sin \theta} \frac{v_s - c}{R} + \frac{\sin(\theta t)}{\sin \theta} \frac{v_e - c}{R} \right]$$

Exercise 5.34. The two points $P = (4, 7, 0)$ and $Q = (5, 4, 2)$ are equidistant from $C = (1, 3, -1)$.

(a) Write down the expression for NewSlerp going from $P$ to $Q$.

(b) Find the location at $t = 0, 0.25, 0.5, 0.75, 1$.

5.9.3 Exponential Form

Although we won’t delve into too much detail it is worth saying a few things about exponentials here and mentioning the exponential version of Slerp. Partly we do this because it places Slerp in the context of quaternions, as the definition given above doesn’t really require any quaternion manipulation.

There are several ways to define the exponential of a quaternion but we’ll use a classic one:

Definition 5.9.3.1. For any $q \in \mathbb{H}$ we may define the exponential function via the Taylor expansion:

$$e^q = \sum_{n=0}^{\infty} \frac{q^n}{n!}$$

which (we will not prove) converges for all $q$.

Theorem 5.9.3.1. If $u$ is a unit quaternion written in the form:

$$u = \cos \theta + \hat{u} \sin \theta$$

where $\hat{u}$ is a unit quaternion and $\theta \in \mathbb{R}$ then we are able to use the exponential (and the resulting logarithm) to define powers of such a unit quaternion which turn out to be:

$$u^t = \cos(\theta t) + \hat{u} \sin(\theta t)$$

Proof. Omitted.
Exercise 5.35. Suppose we have the unit quaternion:

\[ u = \frac{\sqrt{3}}{2} + \frac{4}{2\sqrt{21}} i + \frac{1}{2\sqrt{21}} j + \frac{1}{\sqrt{21}} k \]

(a) Write \( u \) in the form \( \cos \theta + \hat{u} \sin \theta \) for appropriate \( \hat{u} \) and \( \theta \).

(b) Find \( q^2 \), \( q^{1/3} \) and \( q^9 \).

Corollary 5.9.3.1. It follows that for any quaternion \( q \) we can write \( q = |q|u \) where \( u = q/|q| \) and then if we write \( u \) as above then we have:

\[ q = |q|^t (\cos (\theta t) + u \sin (\theta t)) \]

Proof. In the statement.

Exercise 5.36. Find an approximate value for \( (2 + 3i - 1j + 4k)^{1.3} \). To do this factor out the magnitude and find an approximate value for \( \theta \), then proceed from there.

Under this notation we can rewrite Slerp in this particularly convenient form which doesn’t require us to worry about angles or trig functions explicitly. They’re taken care of by the quaternion calculation.

Theorem 5.9.3.2. We have the alternate definition of Slerp:

\[ \text{Slerp}(v_s, v_e, t) = v_s \left( v_s^{-1} v_e \right)^t \]

Proof. First since \( v_s \) is a pure unit quaternion its inverse equals its conjugate
5.9. SLERP

which equals its negative. Thus we have:

\[
\begin{align*}
\mathbf{v}_s (\mathbf{v}_s^{-1} \mathbf{v}_e)^t &= \mathbf{v}_s (-\mathbf{v}_s \mathbf{v}_e)^t \\
&= \mathbf{v}_s (-\mathbf{v}_s \times \mathbf{v}_e - \mathbf{v}_s \cdot \mathbf{v}_e)^t \\
&= \mathbf{v}_s (-\mathbf{v}_s \times \mathbf{v}_e - \cos \theta)^t \\
&= \mathbf{v}_s (\cos \theta - \mathbf{v}_s \times \mathbf{v}_e)^t \\
&= \mathbf{v}_s (\cos \theta - \mathbf{v}_s \times \mathbf{v}_e |\mathbf{v}_s \times \mathbf{v}_e|) \\
&= \mathbf{v}_s (\cos \theta - \frac{\mathbf{v}_s \times \mathbf{v}_e}{|\mathbf{v}_s||\mathbf{v}_e|} |\mathbf{v}_s \times \mathbf{v}_e| \sin \theta) \\
&= \mathbf{v}_s (\cos \theta - \frac{\mathbf{v}_s \times \mathbf{v}_e}{\sin \theta} \sin \theta) \\
&= \mathbf{v}_s (\cos(\theta t) - \frac{\mathbf{v}_s \times \mathbf{v}_e}{\sin \theta} \sin(\theta t)) \\
&= \cos(\theta t) \mathbf{v}_s - \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_s (\mathbf{v}_s \times \mathbf{v}_e) \\
&= \cos(\theta t) \mathbf{v}_s - \frac{\sin(\theta t)}{\sin \theta} (\mathbf{v}_s \times \mathbf{v}_e - \mathbf{v}_s \cdot \mathbf{v}_e) \\
&= \cos(\theta t) \mathbf{v}_s - \frac{\sin(\theta t)}{\sin \theta} (\cos \theta \mathbf{v}_s - \mathbf{v}_e) \\
&= \left(\cos(\theta t) - \frac{\cos \theta \sin(\theta t)}{\sin \theta}\right) \mathbf{v}_s + \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_e \\
&= \left(\frac{\sin \theta \cos(\theta t) - \cos \theta \sin(\theta t)}{\sin \theta}\right) \mathbf{v}_s + \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_e \\
&= \left(\frac{\sin(\theta - \theta t)}{\sin \theta}\right) \mathbf{v}_s + \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_e \\
&= \left(\frac{\sin(\theta (1 - t))}{\sin \theta}\right) \mathbf{v}_s + \frac{\sin(\theta t)}{\sin \theta} \mathbf{v}_e
\end{align*}
\]

\[ \square \]

Our previous example can then be rewritten:

**Example 5.11.** Consider the following two unit vectors: Consider the following two unit vectors:

\[
\begin{align*}
\mathbf{v}_s &= \frac{1}{\sqrt{5}} (0\mathbf{i} + 1\mathbf{j} + 2\mathbf{k}) \\
\mathbf{v}_e &= \frac{1}{\sqrt{13}} (3\mathbf{i} + 2\mathbf{j} + 0\mathbf{k})
\end{align*}
\]
The expression for Slerp is then:

\[ \text{Slerp}(\mathbf{v}_s, \mathbf{v}_e, t) = \mathbf{v}_s (\mathbf{v}_s^{-1} \mathbf{v}_e)^t \]

Then for example at \( t = 0.2 \) we have location:

\[ \text{Slerp}(\mathbf{v}_s, \mathbf{v}_e, 0.2) = \mathbf{v}_s (\mathbf{v}_s^{-1} \mathbf{v}_e)^{0.2} \approx [0.2241; 0.5513; 0.8037] \]

### 5.10 The Downsides of Quaternions

The quaternions are pretty great, but it’s worth pointing out a couple of issues. They don’t obviously generalize. For example in \( \mathbb{R}^2 \) there’s no obvious way to write rotation about a point using a product like \( pvp^* \). We might think of \( \mathbb{C} \) as the “2D Version” of \( \mathbb{H} \) but that’s not really true. With complex numbers we need exponentials, and trigonometry to represent our transformations.

In an opposite direction it’s not clear whether or how the quaternions might extend to higher dimensions.

And even in \( \mathbb{H} \) (and in Calculus 3!) it’s interesting to point out that to represent a plane we use a normal vector. A quick thought indicates that this is peculiar since the normal vector is indicating the direction the plane doesn’t go, and we simply take it for granted that the plane is perpendicular. We don’t do this with lines, so why do we do this for planes? The answer is that there’s no clear way in \( \mathbb{H} \) to denote a plane in an algebraic way which talks about what the plane is, rather than what it isn’t.

The cross product (which we love) only really makes sense in \( \mathbb{R}^3 \) (it actually makes sense in \( \mathbb{R}^7 \) too but that’s another story) and this is very specific.

Geometric algebra does the job of abstracting the quaternions in a way that resolves all these issues.
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