

MATH 748R, Spring 2012  
 Homotopy Theory  
 Homework Assignment #8:  
 Vector Bundles and Characteristic Classes

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Solutions

1. A vector bundle  $p: E \rightarrow X$  is called *stably trivial* if there is a trivial bundle  $E'$  over  $X$  such that  $E \oplus E'$  is also trivial. While this concept makes sense for real bundles also, the rest of this problem will deal only with complex vector bundles for simplicity. If  $X$  is compact Hausdorff and  $p: E \rightarrow X$  corresponds to the homotopy class of  $f: X \rightarrow BU(n)$ , where  $n$  is the rank of  $E$ , show that stable triviality is equivalent to  $\varphi \circ f$  being null homotopic for some  $N$ , where  $\varphi: BU(n) \rightarrow BU(n+N)$  is induced by the inclusion  $U(n) \hookrightarrow U(n+N)$  (via the block direct sum with the  $N \times N$  identity matrix). Prove that if  $E$  is a *line bundle*, i.e.,  $n = 1$ , then  $E$  is stably trivial if and only if it is trivial. (Hint: use the cohomology of  $BU(n+N)$  and what you know about the homotopy type of  $BU(1)$ .)

*Solution.* If  $\varepsilon$  denotes the trivial line bundle  $X \times \mathbb{C} \xrightarrow{\text{pr}_1} X$ , then  $\varepsilon^N = \overbrace{\varepsilon \oplus \cdots \oplus \varepsilon}^N$  is the trivial bundle of rank  $N$ , and stable triviality of  $E$  means  $E \oplus \varepsilon^N$  is trivial for some  $N$ . Now if  $f \rightarrow \text{Gr}(n, M)$  classifies  $E$ , then  $E \oplus \varepsilon^N$  is the bundle whose fiber over  $x \in X$  is  $f(x) \oplus \mathbb{C}^N$ , which is the subspace of  $\mathbb{C}^{M+N}$  given by  $\phi_{M,N} \circ f(x)$ , where  $\phi_{M,N}: \text{Gr}(n, M) \rightarrow \text{Gr}(n+N, M+N)$  sends  $V \subseteq \mathbb{C}^M$  to  $V \oplus \mathbb{C}^N \subseteq \mathbb{C}^M \oplus \mathbb{C}^N = \mathbb{C}^{M+N}$ . If we identify  $\text{Gr}(n, M)$  with  $U(M)/(U(n) \times U(M-n))$  and  $\text{Gr}(n+N, M+N)$  with  $U(M+N)/(U(n+N) \times U(M+N-n))$ , then  $\phi_{M,N}$  is not precisely the map induced by the inclusion  $U(n) \hookrightarrow U(n+N)$ , but is homotopic to it, since the two maps just differ by conjugation by a matrix in  $U(M+N)$  sending  $\mathbb{C}^n \oplus \mathbb{C}^N \oplus 0^{M-n}$  to  $\mathbb{C}^n \oplus 0^{M-n} \oplus \mathbb{C}^N$ , and this matrix can be homotoped to the identity in  $U(M+N)$ , so the result follows.

Now suppose  $E$  is a line bundle. We have  $BU(1) \simeq \mathbb{C}P^\infty = K(\mathbb{Z}, 2)$ , so  $E$  is classified by an element in  $H^2(X, \mathbb{Z})$ , by the universal property of Eilenberg-Mac Lane spaces, which is just  $c_1(E)$ . For any  $N$ ,  $c(E \oplus \varepsilon^N) = c(E)c(\varepsilon^N) = c(E)$ , so  $c_1(E \oplus \varepsilon^N) = c_1(E)$ . So if  $E \oplus \varepsilon^N$  is trivial, its  $c_1$  is trivial, and thus  $c_1(E) = 0$ , so  $E$  is trivial.  $\square$

2. Now show that there is a stably trivial complex bundle of rank 2 over  $S^5$  that is not trivial. Here is an outline:

- (a) First show that the homotopy groups of  $U(2)$  are, except for  $\pi_1$ , the same as for  $S^3$ . Thus  $\pi_4(U(2)) \cong \pi_5(BU(2)) \cong \mathbb{Z}/2$ . The bundle you want corresponds to the generator of this group.

*Solution.* Any unitary matrix  $A \in U(N)$  can be written as  $\begin{pmatrix} \det A & 0 \\ 0 & 1_{N-1} \end{pmatrix} A'$ , for a unique  $A' \in SU(N)$ . This shows that  $U(N)$  is homeomorphic to  $U(1) \times SU(N)$  and thus its universal cover is homeomorphic to  $\mathbb{R} \times SU(N)$ . In particular,  $\pi_j(U(N)) \cong \pi_j(SU(N))$  for  $j \geq 2$ . But

$$SU(2) = \left\{ \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} : \alpha, \beta \in \mathbb{C}, \quad |\alpha|^2 + |\beta|^2 = 1 \right\},$$

which can be identified with the unit sphere in  $\mathbb{C}^2$ , or in other words  $S^3$ . So  $\pi_5(BU(2)) \cong \pi_4(U(2)) \cong \pi_4(SU(2)) = \pi_4(S^3) \cong \mathbb{Z}/2$ . This means there is a unique nontrivial rank 2 complex vector bundle over  $S^5$ .  $\square$

- (b) From the long exact sequence of the fibration  $U(2) \rightarrow U(3) \rightarrow S^5$ , show that  $\pi_4(U(3))$  is either 0 or  $\mathbb{Z}/2$ .

*Solution.* The exact sequence of the fibration gives  $\pi_5(S^5) \rightarrow \pi_4(U(2)) \rightarrow \pi_4(U(3)) \rightarrow \pi_4(S^5) = 0$ . Since  $\pi_4(U(2)) \cong \mathbb{Z}/2$  by (a), that means  $\pi_4(U(3)) \cong \mathbb{Z}/2$  or 0.  $\square$

- (c) Now you want to show that  $\pi_4(U(3)) = 0$ . You can do this as follows. First show that the universal cover of  $U(3)$  is  $SU(3) \times \mathbb{R}$ , so it's enough to show that  $\pi_4(SU(3)) = 0$ . Also observe from the fibration  $SU(2) \rightarrow SU(3) \rightarrow S^5$  that the integral cohomology ring of  $SU(3)$  is an exterior algebra over  $\mathbb{Z}$  on generators  $x$  in degree 3 and  $y$  in degree 5.

*Solution.* That the universal cover of  $U(3)$  is  $SU(3) \times \mathbb{R}$  was proved above, so  $\pi_4(U(3)) = \pi_4(SU(3))$ . Also,  $SU(3)$  acts transitively on the unit sphere in  $\mathbb{C}^3$ , and the stabilizer of the north pole can be identified with  $SU(2)$ , so we get a fibration  $SU(2) \rightarrow SU(3) \rightarrow S^5$ . The spectral sequence of this fibration has  $E_2^{p,q} \neq 0$  only when  $p = 0$  or 5 and when  $q = 0$  or 3, so all differentials must vanish. Hence  $E_2 = E_\infty$ . Since  $E_2$  is torsion free, there are no extension problems to worry about. So  $H^*(SU(3), \mathbb{Z}) \cong \bigwedge_{\mathbb{Z}}(x) \otimes \bigwedge_{\mathbb{Z}}(y)$ , with  $x$  of degree 3 and  $y$  of degree 5.  $\square$

- (d) Suppose you can show that the fibration  $SU(2) \rightarrow SU(3) \rightarrow S^5$  does not split, i.e., that there is no map  $S^5 \rightarrow SU(3)$  such that the composite  $S^5 \rightarrow SU(3) \rightarrow S^5$  is the identity. Deduce that that  $\pi_4(SU(3)) = 0$ . Hint: a splitting would be an element of  $\pi_5(SU(3))$  mapping to the generator of  $\pi_5(S^5)$ . Then use the long exact sequence.

*Solution.* The fibration not splitting means there is no map  $S^5 \rightarrow SU(3)$  such that the composite  $S^5 \rightarrow SU(3) \rightarrow S^5$  is the identity. Since all spaces in sight are simply

connected, there is no difference between based and unbased homotopy, and thus this means there is no element of  $\pi_5(SU(3))$  which maps to the class of the identity in  $\pi_5(S^5)$ . In other words, the map  $\pi_5(SU(3)) \rightarrow \pi_5(S^5)$  is not surjective, and thus the boundary map  $\pi_5(S^5) \rightarrow \pi_4(SU(2)) \cong \mathbb{Z}/2$  is nonzero. Since  $\pi_4(SU(2))$  has only one nonzero element, that means the boundary map is surjective and thus, by the exact sequence above,  $\pi_4(SU(3)) = 0$ . On the other hand, if the fibration were to split, then the map  $\pi_5(SU(3)) \rightarrow \pi_5(S^5)$  *would be* surjective, and thus the boundary map  $\pi_5(S^5) \rightarrow \pi_4(SU(2)) \cong \mathbb{Z}/2$  would be *zero*. So in that case we'd have  $\pi_4(SU(3)) \cong \mathbb{Z}/2$ .  $\square$

- (e) To finish the argument and deduce that  $\pi_4(SU(3)) = 0$  and thus that the bundle you constructed in (a) is nontrivial but stably trivial, you need to show that  $SU(3)$  is *not* homotopy equivalent to the product  $S^3 \times S^5$ . For this purpose consider the fibration  $SO(3) \rightarrow SU(3) \rightarrow M^5$ , where  $SO(3)$  includes in  $SU(3)$  as the real unitary matrices, and  $M$  is the five-dimensional homogeneous space  $SU(3)/SO(3)$ . Note that  $M$  is simply connected with  $\pi_2(M) \cong \pi_1(SO(3)) \cong \mathbb{Z}/2$ , so by Poincaré duality, it has the same homology groups as  $S^5$  except for a  $\mathbb{Z}/2$  in degree 2. In particular, it's rationally homotopy equivalent to  $S^5$ . So the map  $\mathbb{R}P^3 \cong SO(3) \hookrightarrow SU(3)$  must be injective on  $\pi_3(SO(3)) = \mathbb{Z}$ . Now if  $SU(3)$  were homotopy equivalent to  $S^3 \times S^5$ , then the map  $\mathbb{R}P^3 \cong SO(3) \hookrightarrow SU(3)$  would have to factor through the  $S^3$  factor (since any map  $\mathbb{R}P^3 \rightarrow S^5$  is null homotopic), and since  $M$  is the homotopy cofiber of this map,  $M$  would have to split (up to homotopy) as a product of  $S^5$  and the cofiber  $X$  of a map  $\mathbb{R}P^3 \rightarrow S^3$ . This is impossible since it would force  $M$  to have homology in dimension 7, which is bigger than its dimension. (Apply the Künneth Theorem using the facts that  $H_5(S^5) \cong \mathbb{Z}$  and  $H_2(X) \cong \pi_2(X) \cong \mathbb{Z}/2$ .)

*Solution.* If the fibration  $SU(2) \rightarrow SU(3) \rightarrow S^5$  were to split, the splitting would give an equivalence  $SU(3) \simeq S^3 \times S^5$ . Now let  $M = SU(3)/SO(3)$ . From the long exact sequence of the fibration  $SO(3) \rightarrow SU(3) \rightarrow M^5$ ,  $0 = \pi_1(SU(3)) \rightarrow \pi_1(M) \rightarrow \pi_0(SO(3)) = 0$  and  $0 = \pi_2(SU(3)) \rightarrow \pi_2(M) \rightarrow \pi_1(SO(3)) \rightarrow \pi_1(SU(3)) = 0$ . So  $M$  is simply connected and  $\pi_2(M) \cong \pi_1(SO(3)) \cong \mathbb{Z}/2$ . By Hurewicz,  $H_1(M, \mathbb{Z}) = 0$  and  $H_2(M, \mathbb{Z}) \cong \mathbb{Z}/2$ . Now  $M$  is an oriented manifold of dimension  $\dim SU(3) - \dim SO(3) = 8 - 3 = 5$ , so by Poincaré duality,  $H_3(M, \mathbb{Z}) \cong H^2(M, \mathbb{Z}) = 0$  (by UCT, using what we know about  $H_1$  and  $H_2$ ). Similarly  $H_4(M, \mathbb{Z}) \cong H^1(M, \mathbb{Z}) = 0$ . So  $M$  has the same homology groups as  $S^5$ , except for a  $\mathbb{Z}/2$  in dimension 2. Furthermore, if  $\mathcal{C}$  denotes the Serre class of finite abelian groups, then a degree 1 map  $M \rightarrow S^5$  is a mod  $\mathcal{C}$  homology equivalence of simply connected CW complexes, and is thus a mod  $\mathcal{C}$  homotopy equivalence (by the mod  $\mathcal{C}$  Hurewicz and Whitehead Theorems).

Now suppose  $SU(3) \simeq S^3 \times S^5$  and consider the fibration  $SO(3) \cong \mathbb{R}P^3 \rightarrow SU(3) \rightarrow M^5$ . By assumption we can replace  $SU(3)$  by  $S^3 \times S^5$ . Every map  $\mathbb{R}P^3 \rightarrow S^5$  is null homotopic (since we can approximate any map by a smooth map, which can't be surjective by Sard's

Theorem, and thus lands in  $S^5 \setminus \{\text{pt}\} = \mathbb{R}^5$ , which is contractible). So the fibration looks like

$$\mathbb{R}\mathbb{P}^3 \times \{\text{pt}\} \rightarrow S^3 \times S^5 \rightarrow M^5,$$

and  $M^5$  must have the homotopy type of  $S^5 \times X$ , where we have a homotopy fibration

$$\mathbb{R}\mathbb{P}^3 \rightarrow S^3 \rightarrow X.$$

The homotopy exact sequence reads in part  $\pi_2(S^3) \rightarrow \pi_2(X) \rightarrow \pi_1(\mathbb{R}\mathbb{P}^3) \rightarrow \pi_1(S^3)$ , or  $0 \rightarrow \pi_2(X) \rightarrow \mathbb{Z}/2 \rightarrow 0$ , so  $\pi_2(X) \cong \mathbb{Z}/2$ . Similarly from the part of the exact sequence that reads  $\pi_1(S^3) \rightarrow \pi_1(X) \rightarrow \pi_0(\mathbb{R}\mathbb{P}^3)$ , we see  $X$  is simply connected, so by Hurewicz,  $H_2(X, \mathbb{Z}) \cong \mathbb{Z}/2$ . Now  $H_7(M^5, \mathbb{Z}) \cong H_7(S^5 \times X, \mathbb{Z}) \supseteq H_5(S^5, \mathbb{Z}) \otimes H_2(X, \mathbb{Z}) \cong \mathbb{Z}/2$ , which contradicts the fact that  $M$  is a manifold of dimension 5. Thus the assumption that  $SU(3) \simeq S^3 \times S^5$  must be false and so from the reasoning above,  $\pi_4(SU(3)) = 0$ .  $\square$