

Top Math Summer School on  
Adaptive Finite Elements: Analysis and Implementation  
Organized by: Kunibert G. Siebert

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Universidad Nacional del Litoral

Santa Fe - Argentina

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Frauenchiemsee, Germany

# Outline

Refinement and Coarsening

Implementation of Selective Bisectional Refinement

## Regular Refinement

**Aim:** Given a conforming triangulation  $\mathcal{T}_k$  construct a **refinement**  $\mathcal{T}_{k+1}$  of  $\mathcal{T}_k$  such that

- ▶ some or all elements of  $\mathcal{T}_k$  are refined, i. e. decomposed into sub-simplices;
- ▶  $\mathcal{T}_{k+1}$  is again conforming and shape-regular.

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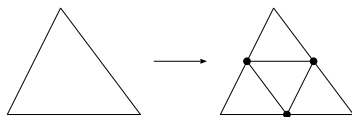
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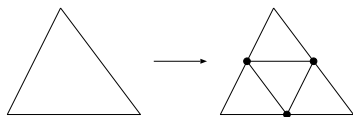
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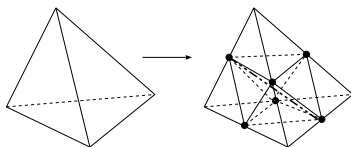
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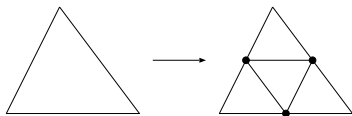
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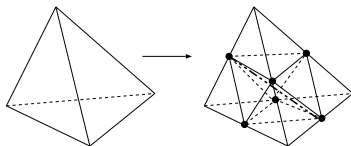
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Very well suited for **global refinement**. Induces a very regular structure.  
This refinement is also called **red refinement**.

## Green Closure

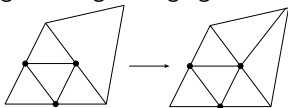
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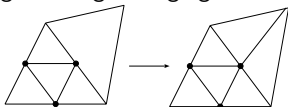
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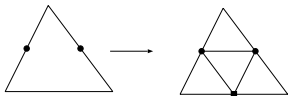
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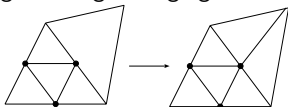
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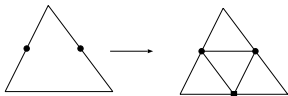
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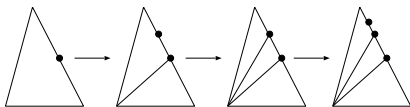
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In 3d, there are **several refinement rules**, depending on the number and location of the hanging nodes (bisection, cutting into 4 or 8).

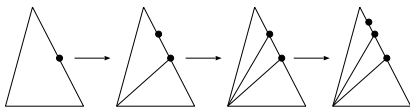
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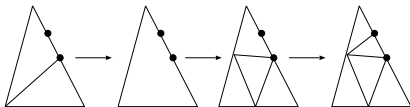


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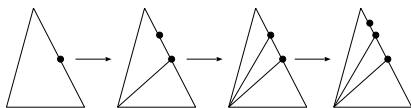
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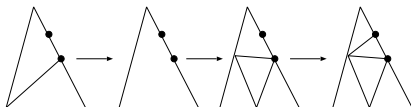
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**Refinement** of a “special” element:



This is a very elaborate procedure ...

Without **bisectioning** of elements, local refinement is impossible.

## Refinement by Bisection

Use a refinement procedure that only relies on **bisectioning of elements**.

**Problem:** Avoid degenerate situations, i. e. avoid that elements are getting flat.

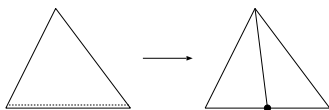
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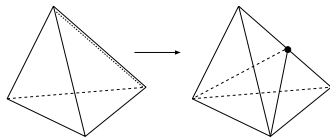
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An element is always bisected by inserting a new vertex in the midpoint of the refinement edge:



Refinement of a triangle



Refinement of a tetrahedron



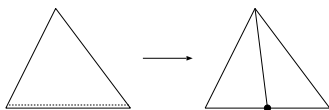
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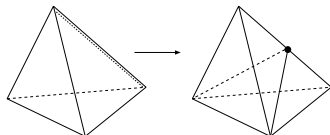
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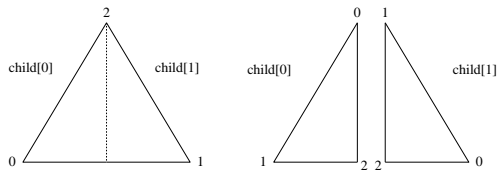
Refinement of a tetrahedron

The algorithm then **assigns the refinement edges** of the two children such that shape regularity is preserved.

## Assignment of Refinement Edges in 2d

Local Numbering of the element's vertices such that the refinement edge is the edge between vertex 0 and 1.

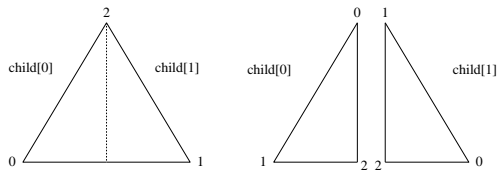
**Newest Vertex Bisection:** Numbering of nodes on parent and children in 2d:



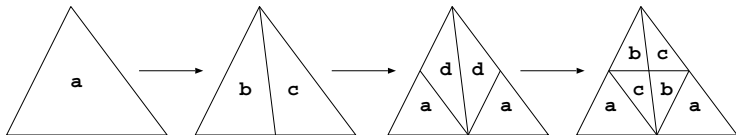
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Successive refinement of a single triangle leads to **at most 4 equivalence classes**:



## Assignment of Refinement Edges in 3d

The assignment is more complicated in 3d and depends on the **element type** that is a number in  $\{0,1,2\}$

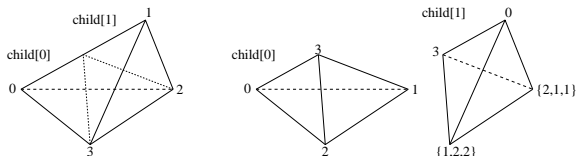
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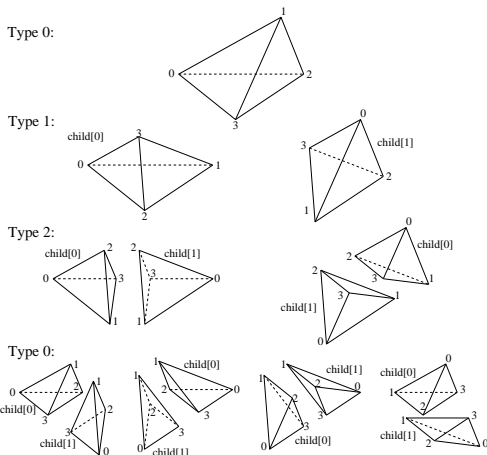
Numbering of nodes on parent and children in 3d:



**Convention:** For the index set  $\{1, 2, 2\}$  on  $\text{child}[1]$  of a tetrahedron of type 0 we use the index 1 and for a tetrahedron of type 1 and 2 the index 2.

[Kossaczky] and also [Bänsch] and [Maubach]

## Successive Refinements of a Type 0 Tetrahedron



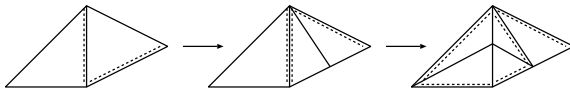
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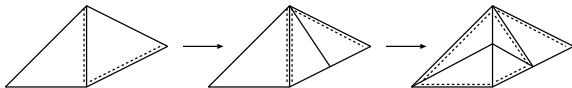




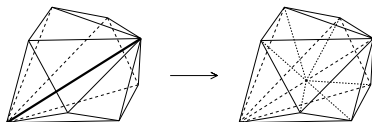
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This also works in 3d but involves **all elements** at the common edge and may need **several recursive refinements** of neighbors before performing the atomic refinement operation.



Avoiding hanging nodes in 3d is even more convenient than in 2d!

## Recursive Refinement of a Single Element

The recursive refinement of a single element reads:

```
subroutine recursive_refine( $T$ ,  $\mathcal{T}$ )  
  
   $\mathcal{A} := \{T' \in \mathcal{T}; T' \text{ is not compatibly divisible with } T\}$   
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  for all  $T' \in \mathcal{A}$ 
    bisect  $T'$  into  $T'_0$  and  $T'_1$ 
     $\mathcal{T} := \mathcal{T} \setminus \{T'\} \cup \{T'_0, T'_1\}$ 
  end for
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## Recursive Refinement of a Triangulation

Denote by  $\mathcal{M} \subset \mathcal{T}$  the set of elements marked for refinement. The recursive refinement algorithm reads:

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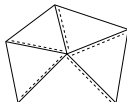
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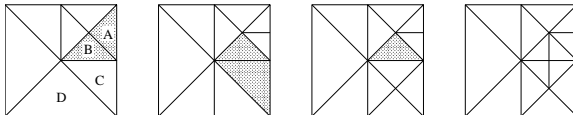
- ▶ The recursion terminates on any level iff the initial grid  $\mathcal{T}_0$  fulfills certain criteria. A necessary condition is that there is no cycle on  $\mathcal{T}_0$ .



In 2d, this condition is also sufficient.

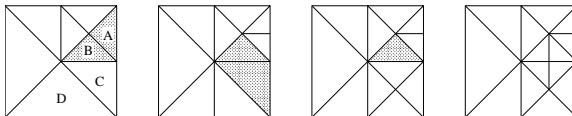
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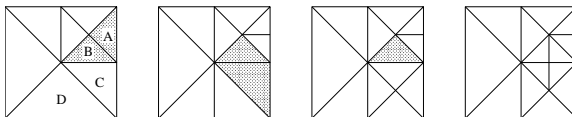


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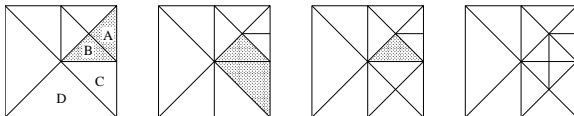
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- ▶ Usually, a marked element is bisected more than once, where the natural choice are  $d$  bisections. Then all edges of the element are bisected.
- ▶ The assignment of the refinement edges of children by the above algorithm ensures shape-regularity for the sequence of triangulations

$$\sup_{k \geq 0} \max_{T \in \mathcal{T}_k} \overline{h_T} / \underline{h_T} \leq C(\mathcal{T}_0) < \infty.$$

## Complexity of Refinement

Assume a given triangulation  $\mathcal{T}_k$  together with a set  $\mathcal{M}_k$  of elements marked for refinement. Conformity of  $\mathcal{T}_{k+1}$  in general implies that we refine also elements  $T \in \mathcal{T}_k \setminus \mathcal{M}_k$ .

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**Important Question.** Is there a bound

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**Theorem (Complexity of Refinement).** A proper choice of refinement edges on the initial triangulation  $\mathcal{T}_0$  implies

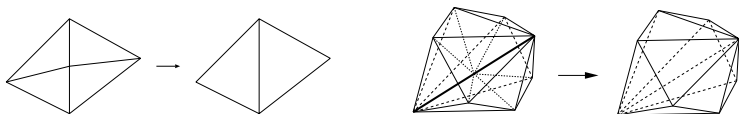
$$\#\mathcal{T}_{k+1} - \#\mathcal{T}_0 \leq C_0 \sum_{n=0}^k \#\mathcal{M}_n.$$

[Binev,Dahmen,DeVore] in 2d and [Stevenson] in any dimension.

## Coarsening of Elements

Coarsening is **mainly the inverse operation to refinement** with the following **restriction**:

Collect all children that were created in **one atomic refinement operation**. If all these children are of **finest level** and if **all** children are marked for coarsening, undo the atomic refinement operation.



Atomic coarsening operation in 2d and 3d

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Refinement and Coarsening

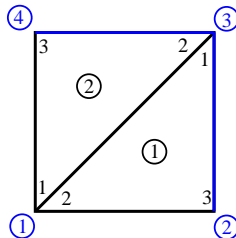
Implementation of Selective Bisectional Refinement



## A simple 2d implementation in OCTAVE

We will use a data structure for the mesh, similar to the previous one, but with adjacency and boundary information, as follows:

- ▶ `mesh.vertex_coordinates` as before
- ▶ `mesh.element_vertices` as before
- ▶ `mesh.element_neighbours` with information about the neighbours
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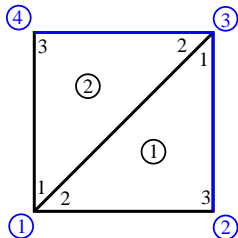
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`mesh.vertex_coordinates`

```
0.0  0.0
1.0  0.0
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0.0  1.0
```



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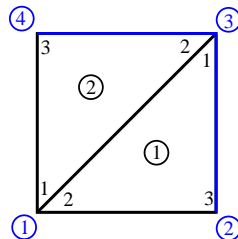
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### `mesh.elem_vertices`

In counter clockwise order.  
Refinement edge first.

```
3  1  2
1  3  4
```



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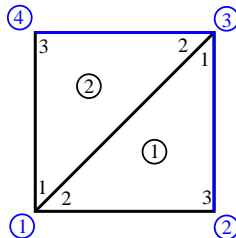
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- ▶ `mesh.element_neighbours` with information about the neighbours
- ▶ `mesh.element_boundary` with boundary type information

### `mesh.elem_neighbours`

Neighbouring elements. The  $i$ -th side is the one opposite to the local  $i$ -th vertex. Boundary sides are marked with 0.

```
0 0 2
0 0 1
```



## A simple 2d implementation in OCTAVE

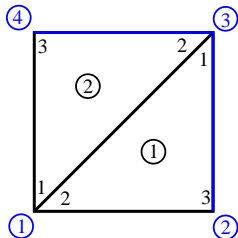
We will use a data structure for the mesh, similar to the previous one, but with adjacency and boundary information, as follows:

- ▶ `mesh.vertex_coordinates` as before
- ▶ `mesh.element_vertices` as before
- ▶ `mesh.element_neighbours` with information about the neighbours
- ▶ `mesh.element_boundary` with boundary type information

### `mesh.elem_boundaries`

Boundary types. Dirichlet: 1, Neumann: -1,  
Interior: 0.

```
-1  1  0
 1 -1  0
```



## A simple 2d implementation in OCTAVE

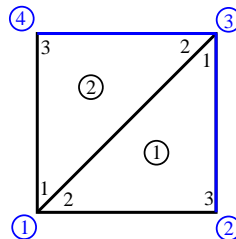
We will use a data structure for the mesh, similar to the previous one, but with adjacency and boundary information, as follows:

- ▶ `mesh.vertex_coordinates` as before
- ▶ `mesh.element_vertices` as before
- ▶ `mesh.element_neighbours` with information about the neighbours
- ▶ `mesh.element_boundary` with boundary type information

### Mesh Generation

So far, by hand.

One can use mesh generators like `triangle` and then convert them into this format.



## `refine_mesh`

This function receives a mesh with marked elements and calls `refine_element` in order to refine them.

- ▶ `mesh.mark` is a vector of length `mesh.n_elem` indicating the number of bisections that should be done to each element

`refine_mesh`

This function receives a mesh with marked elements and calls `refine_element` in order to refine them.

```
function n_refined = refine_mesh

% we use global variables to save memory space
global mesh uh fh

n_refined = 0;

if (max(mesh.mark)==0)
    % no elements marked, doing nothing
    return
end

while (max(mesh.mark) > 0)
    first_marked = min(find(mesh.mark > 0));
    n_refined = n_refined + refine_element(first_marked);
end
```



## refine\_element

This function receives an element to refine. Checks if the corresponding neighbour is compatibly divisible or if the refinement edge is at the boundary. If this is so, proceeds to the atomic refinement. Otherwise, calls recursively `refine_element` in order to refine the neighbour.

```
function n_refined = refine_element(elem_to_refine)

global mesh uh fh

n_refined = 0;

neighbour = mesh.elem_neighbours(elem_to_refine, 3);

if (neighbour > 0) % not a boundary side
    if (mesh.elem_neighbours(neighbour, 3) != elem_to_refine)
        % not compatible
        n_refined = n_refined + refine_element(neighbour);
    end
end

% now the neighbour is compatible and comes the refinement
```

`refine_element`

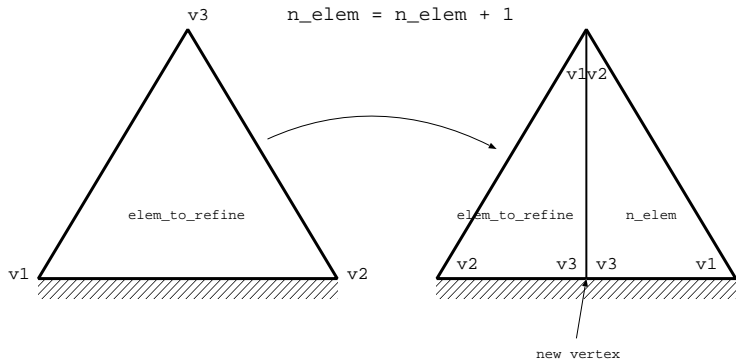
```
% now the neighbour is compatible and comes the refinement
neighbour = mesh.elem_neighbours(elem_to_refine, 3);

v_elem = mesh.elem_vertices(elem_to_refine,:);
neighs_elem = mesh.elem_neighbours(elem_to_refine,:);
bdries_elem = mesh.elem_boundaries(elem_to_refine,:);

n_vertices = n_vertices + 1;
mesh.vertex_coordinates(n_vertices, :) ...
    = 0.5*(mesh.vertex_coordinates(v_elem(1),:)
          + mesh.vertex_coordinates(v_elem(2),:));
uh(n_vertices, :) = 0.5*(uh(v_elem(1), :) + uh(v_elem(2), :));
fh(n_vertices, :) = 0.5*(fh(v_elem(1), :) + fh(v_elem(2), :));

[...]
```

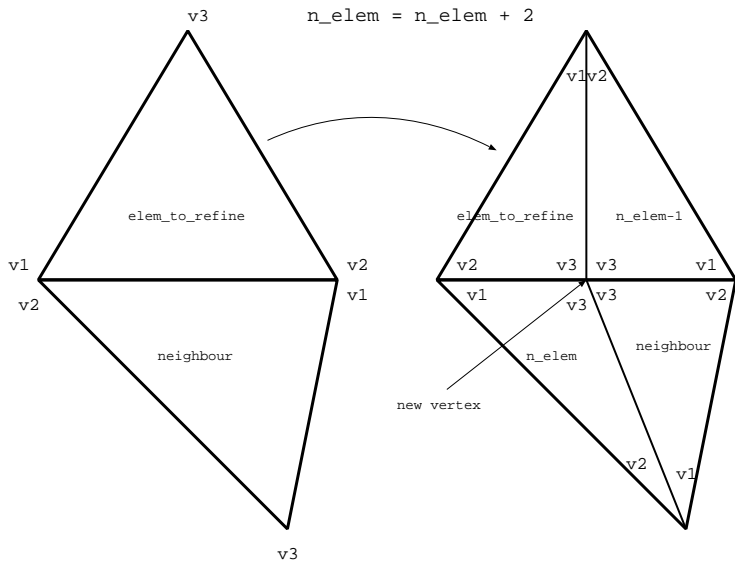
## refine\_element



Besides defining the new elements we must:

- ▶ Set the neighbours and boundary information of the two new elements.
- ▶ Set the neighbours of the old neighbours of the `elem_to_refine`

## refine\_element



## Exercise 4

Read the mesh from the folder `adapt/square_all_dirichlet` with the following lines (you can copy them from `adapt/afem.m`):

```
domain = 'square_all_dirichlet';

global mesh uh fh

read the mesh from 'domain'
mesh = struct();
mesh.elem_vertices      = load([domain '/elem_vertices.txt']);
mesh.elem_neighbours    = load([domain '/elem_neighbours.txt']);
mesh.elem_boundaries    = load([domain '/elem_boundaries.txt']);
mesh.vertex_coordinates = load([domain '/vertex_coordinates.txt']);
mesh.n_elem             = size(mesh.elem_vertices, 1);
mesh.n_vertices         = size(mesh.vertex_coordinates, 1);

uh = zeros(mesh.n_elem,1); fh = zeros(mesh.n_elem,1);
```

## Exercise 4 (continued)

Now repeat the following two steps  $N$  times:

- ▶ Mark the elements touching the origin for one refinement (remember that vertex of the mesh corresponding to the origin will always have the same index). For this you have to set `mesh.mark` to all zeros and then put one on the elements that contain the origin as a vertex (check usage of `find`).
- ▶ Refine the mesh (using `refine_mesh`).

After this, mark (for one refinement) the first element to the right of the lowest element that touches the origin. Now refine the mesh, and check how many elements needed to be refined.

Repeat the exercise (from scratch) for  $N = 5, 10, 15$ , and convince yourself that it is not possible to obtain a bound as

$$\#\mathcal{T}_{k+1} - \#\mathcal{T}_k \leq CM_k.$$