

# ON A PROBLEM BY HANS FEICHTINGER

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ABSTRACT. In this paper, we solve a spectral problem about positive semi-definite trace-class pseudodifferential operators on modulation spaces which was posed by H. Feichtinger. Later, C. Heil and D. Larson rephrased the problem in the broader setting of positive semi-definite trace-class operators on a separable Hilbert space. Our solution consists in constructing a counterexample that solves Hans Feichtinger's problem by first solving this second problem.

## 1. INTRODUCTION

In this paper we answer the following question posed by Feichtinger at an Oberwolfach mini-workshop on wavelets [4].

**Problem 1.1.** *Let  $T$  be a positive semi-definite trace class operator on  $L^2(\mathbb{R})$  given by*

$$Tf(x) = \int_{\mathbb{R}} k(x, y)f(y)dy,$$

where  $f \in L^2(\mathbb{R})$  and  $k \in M^1(\mathbb{R}^2)$ , the so-called Feichtinger algebra. Suppose that

$$T = \sum_{k=1}^{\infty} h_k \otimes \bar{h}_k,$$

where  $\{h_k\}_{k=1}^{\infty} \subset L^2(\mathbb{R})$  is a set of orthogonal eigenfunctions of  $T$  corresponding to the eigenvalues  $\{\|h_k\|_2^2\}_{k=1}^{\infty}$ , such that  $\|h_k\|_{M^1(\mathbb{R})} < \infty$ , and the bar denotes the complex conjugation.

In particular,  $\text{Trace}(T) = \sum_{k=1}^{\infty} \|h_k\|_2^2 < \infty$ .

Must we have:  $\sum_{k=1}^{\infty} \|h_k\|_{M^1(\mathbb{R})}^2 < \infty$ ?

Heil and Larson later put the problem in the broader setting of positive semi-definite trace-class operators on a separable Hilbert space  $\mathbb{H}$  [9]. To state this generalization we first set some notations. Let  $\mathbb{H}$  be a separable Hilbert space and choose an orthonormal basis

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$\{w_n\}_{n \geq 1}$  for  $\mathbb{H}$ . We define a subspace  $\mathbb{H}^1$  of  $\mathbb{H}$  by

$$(1.1) \quad \mathbb{H}^1 = \left\{ f \in \mathbb{H} : \|f\| := \sum_{n=1}^{\infty} |\langle f, w_n \rangle| < \infty \right\}.$$

It follows that  $\|w_n\| = \|w_n\| = 1$  for every  $n$ , and that if  $f \in \mathbb{H}^1$  then  $f = \sum_{n=1}^{\infty} \langle f, w_n \rangle w_n$ , with convergence of this series in *both* norms  $\|\cdot\|$  and  $\|\cdot\|$ .

We define an operator  $T : \mathbb{H} \rightarrow \mathbb{H}$  by

$$(1.2) \quad T = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} c_{mn} (w_m \otimes \overline{w_n}),$$

where the scalars  $c_{mn}$  are such that

$$\sum_{m=1}^{\infty} \sum_{n=1}^{\infty} |c_{mn}| < \infty$$

and the tensor product  $w_m \otimes \overline{w_n}$  maps linearly  $\mathbb{H}$  to  $\mathbb{H}$  via

$$f \in \mathbb{H} \mapsto w_m \otimes \overline{w_n}(f) = \langle f, w_n \rangle w_m.$$

It is easy to see that  $T \in \mathcal{I}_1$ , the space of all trace-class operators, with

$$\|T\|_{\mathcal{I}_1} \leq \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} \|c_{mn} (w_m \otimes \overline{w_n})\|_{\mathcal{I}_1} = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} |c_{mn}| < \infty.$$

In addition, note that the series defining  $T$  converges not only in the strong operator topology and operator norm, but also in trace-class norm.

Now suppose that the operator  $T$  given by (1.2) is positive semi-definite. Let  $\{h_n\}_{n \geq 1}$  be an orthonormal basis of eigenvectors of  $T$  and  $\{\lambda_n\}_{n \geq 1} \subset [0, \infty)$  be the corresponding eigenvalues. It follows that

$$(1.3) \quad T = \sum_{n=1}^{\infty} \lambda_n (h_n \otimes \overline{h_n}) = \sum_{n=1}^{\infty} g_n \otimes \overline{g_n},$$

where  $g_n = \lambda_n^{1/2} h_n$ . In addition,

$$\|T\|_{\mathcal{I}_1} = \sum_{n=1}^{\infty} \lambda_n = \sum_{n=1}^{\infty} \lambda_n \|h_n\|^2 < \infty.$$

Heil and Larson's generalization of Problem 1.1 is the following question [9].

**Problem 1.2.** *With the above notations, must we have*

$$(1.4) \quad \sum_{n=1}^{\infty} \lambda_n \|h_n\|^2 < \infty?$$

In Section 3 we show that the solution to each of these problems is negative by providing counterexamples for each of them. But first, we provide some necessary background in Section 2

## 2. PRELIMINARIES

In this section we recall the definition of the modulation spaces and some of their properties. In the second half of the section, we introduce two classes of trace-class operators that capture the behaviors of the operators in Problems 1.1 and 1.2.

**2.1. Modulation spaces.** Let  $g \in \mathcal{S}(\mathbb{R})$  be a function in the Schwartz space of smooth and rapidly decaying functions, e.g.,  $g(x) = e^{-\pi x^2}$ , and let  $1 \leq p \leq \infty$ . We say that a tempered distribution  $f$  is in the modulation space  $M^p(\mathbb{R})$  if and only if

$$\|f\|_{M^p}^p := \iint_{\mathbb{R}^2} |V_g f(x, \omega)|^p dx d\omega < \infty,$$

with the usual modification for  $p = \infty$ , where

$$V_g f(x, \omega) = \int_{\mathbb{R}} f(t) \overline{g(t-x)} e^{-2\pi i \omega t} dt$$

is the *short-time Fourier transform* (STFT) of a function  $f$  with respect to  $g$ . A simple application of the Plancherel formula shows that if  $f \in L^2(\mathbb{R})$  then

$$\|V_g f\|_{L^2(\mathbb{R}^2)}^2 = \iint_{\mathbb{R}^2} |V_g f(x, \omega)|^2 dx d\omega = \|g\|_2^2 \|f\|_2^2.$$

Consequently,  $V_g$  is a multiple of an isometry from  $L^2(\mathbb{R})$  into  $L^2(\mathbb{R}^2)$  and  $M^2(\mathbb{R}) = L^2(\mathbb{R})$ , [7]. The other modulation space that will be of interest in the sequel is  $M^1(\mathbb{R})$ , which is also known as the Feichtinger algebra [5, 7]. In particular, we note that

$$\mathcal{S}(\mathbb{R}) \subset M^1(\mathbb{R}) \subset M^2(\mathbb{R}) = L^2(\mathbb{R}) \subset M^\infty(\mathbb{R}) \subset \mathcal{S}'(\mathbb{R}).$$

We also need a discrete characterization of  $L^2$  and  $M^1$ . Such a characterization exists for all the modulation spaces in terms of the so-called Wilson basis, see [2, 6, 12]. In particular, it is known that there exists an orthonormal basis  $\mathcal{W} := \{w_n\}_{n \geq 1}$  for  $L^2(\mathbb{R})$  where for each  $n \geq 1$ ,  $w_n \in M^1(\mathbb{R})$ . In addition, for  $1 \leq p \leq \infty$  and for all  $f \in M^p$ ,

$$f = \sum_{n \geq 1} \langle f, w_n \rangle w_n,$$

where the series converges unconditionally in the norm of  $M^p$  if  $1 \leq p < \infty$ , and is weak\* convergent if  $p = \infty$ . Moreover,

$$\|f\|_{M^p} = \left( \sum_{n \geq 1} |\langle f, w_n \rangle|^p \right)^{1/p}$$

is an equivalent norm for  $M^p$ ; we refer to [7, Theorem 8.5.1] for details. In the sequel, we shall only be interested in  $p = 1$ , and  $p = 2$ . In the latter case,  $\{w_n\}_{n \geq 1}$  is an orthonormal basis for  $L^2(\mathbb{R})$ .

It is trivial to extend these characterizations to modulation spaces defined on  $\mathbb{R}^d$ . In particular, one defines a Wilson orthonormal basis for  $L^2(\mathbb{R}^2)$  by taking the tensor product of 1-dimensional Wilson ONBs. For example,  $\{W_{n,m} : n, m \geq 1\} \subset L^2(\mathbb{R}^2)$  is given by

$$W_{n,m}(x, y) := w_n \otimes \overline{w_m}(x, y) = w_n(x) \overline{w_m(y)}, \quad n, m \geq 1,$$

and it acts by

$$W_{n,m}(f) = \langle f, w_m \rangle w_n = \left( \int_{\mathbb{R}} f(y) \overline{w_m(y)} dy \right) w_n.$$

In addition,  $\{W_{n,m} : n, m \geq 1\}$  is an unconditional basis for  $M^1(\mathbb{R}^2)$ .

Let  $T : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$  be a compact integral operator associated with the kernel  $k \in M^1(\mathbb{R}^2) \subset L^2(\mathbb{R}^2) \cap L^1(\mathbb{R}^2)$  and defined by

$$Tf(x) = \int_{\mathbb{R}} k(x, y) f(y) dy.$$

Then,  $T$  is a trace-class operator [9], and

$$(2.1) \quad k = \sum_{m, n \geq 1} \langle k, W_{m,n} \rangle W_{m,n},$$

with convergence of the series in the  $M^1$ -norm. In addition,

$$(2.2) \quad \|k\|_{M^1} = \sum_{m, n \geq 1} |\langle k, W_{mn} \rangle| < \infty.$$

It now follows that for  $f \in L^2(\mathbb{R})$ ,

$$Tf = \sum_{m, n \geq 1} \langle k, W_{mn} \rangle (w_m \otimes \overline{w_n})(f) = \sum_{m, n \geq 1} \langle k, W_{mn} \rangle (W_{m,n})(f).$$

The discrete version of the integral operator  $T$  is given by the matrix  $K = (\langle k, W_{m,n} \rangle)_{m,n \geq 1}$ , or equivalently

$$(2.3) \quad T = \sum_{m,n \geq 1} \langle k, W_{m,n} \rangle W_{m,n}.$$

Suppose in addition that  $T$  is positive semi-definite. Then, by the spectral theorem,

$$T = \sum_{k=1}^{\infty} \lambda_k t_k \otimes \bar{t}_k = \sum_{k=1}^{\infty} h_k \otimes \bar{h}_k,$$

where  $\{\lambda_k\}_{k=1}^{\infty} \subset (0, \infty)$  is the set of eigenvalues of  $T$  and  $\{t_k\}_{k=1}^{\infty}$  is an orthonormal basis of corresponding eigenfunctions, and  $h_k = \sqrt{\lambda_k} t_k$  for each  $k \geq 1$ . It was proved in [1, 9] that  $h_k \in M^1(\mathbb{R})$ .

**2.2. Type A and type B operators.** Let  $\mathbb{H}$  denote an infinite-dimensional separable Hilbert space, with norm  $\|\cdot\|$  and inner product  $\langle \cdot, \cdot \rangle$ . Let  $\mathcal{I}_1 \subset \mathcal{B}(\mathbb{H})$  be the subspace of trace-class operators. A positive semi-definite operator  $T$  belongs to  $\mathcal{I}_1$  if and only if

$$\|T\|_{\mathcal{I}_1} = \sum_{n=1}^{\infty} \lambda_n(T) < \infty,$$

where  $\{\lambda_n(T)\}_{n \geq 1}$  is the set of eigenvalues of  $T$  arranged in a decreasing order and repeated according to multiplicity. For a detailed study on trace-class operators see [3, 10].

We fix now an orthonormal basis  $\{w_n\}_{n \geq 1}$  for  $\mathbb{H}$ , once and for all. This basis induces the norm  $\|\!\| \cdot \!\|$  on the dense subset  $\mathbb{H}^1$  introduced in (1.1), and repeated here for the convenience of the reader:

$$\|\!\| f \!\!\| = \sum_{n=1}^{\infty} |\langle f, w_n \rangle|, \quad \mathbb{H}^1 = \left\{ f \in \mathbb{H} : \sum_{n=1}^{\infty} |\langle f, w_n \rangle| < \infty \right\}.$$

**Definition 2.1.** *An operator  $T$  given by (1.2) is of Type A with respect to the orthonormal basis  $\{w_n\}_{n \geq 1}$  if, for an orthogonal set of eigenvectors  $\{g_n\}_{n \geq 1}$  of  $T$  such that  $T = \sum_{n=1}^{\infty} g_n \otimes \bar{g}_n$ , with convergence in the strong operator topology, we have that*

$$\sum_{n=1}^{\infty} \|\!\| g_n \!\!\|^2 < \infty.$$

**Definition 2.2.** *An operator  $T$  given by (1.2) is of Type B with respect to the orthonormal basis  $\{w_n\}_{n \geq 1}$  if there is some sequence of vectors  $\{v_n\}_{n \geq 1}$  in  $\mathbb{H}$  such that  $T = \sum_{n=1}^{\infty} v_n \otimes \bar{v}_n$*

with convergence in the strong operator topology and we have that

$$\sum_{n=1}^{\infty} \|v_n\|^2 < \infty.$$

It is clear that if  $T$  is of Type  $A$  then it is of Type  $B$ . However, it was shown in [9, Example 2.2] that not every positive trace-class operator is of Type  $A$  or Type  $B$ , even when the operator is finite-rank.

Problem 1.2 can now be reformulated as follows.

**Problem 2.3.** *If  $T$  is of Type  $B$  with respect to an orthonormal basis  $\{w_n\}_{n \geq 1}$ , must it be of Type  $A$  with respect to the same ONB  $\{w_n\}_{n \geq 1}$ ?*

### 3. MAIN RESULTS

We answer negatively Problems 1.2 and 2.3 by constructing a counterexample for the complex Hilbert space  $\mathbb{H}$ , in Proposition 3.1. This example is then modified to generate an example when the Hilbert space  $\mathbb{H}$  is over the real field, in Proposition 3.3. From there, we answer the Feichtinger original problem in Theorem 3.4.

**Proposition 3.1.** *Let  $\mathbb{H} = \ell^2(\{1, 2, \dots\})$ , and choose  $p > 1$ . Let  $\{w_\ell\}_{\ell=1}^{\infty}$  denote the standard orthonormal basis of  $\mathbb{H}$ , i.e.,  $w_\ell = \delta_\ell$ . Then  $\mathbb{H}^1 = \ell^1(\{1, 2, \dots\})$ . For each  $n \geq 1$ , let  $\{e_{n,k}\}_{k=0}^{n-1}$  be the Fourier ONB of  $\mathbb{C}^n$  defined by*

$$e_{n,k} = \frac{1}{\sqrt{n}} \left( e^{-\frac{2\pi i k \ell}{n}} \right)_{\ell=0}^{n-1} = \frac{1}{\sqrt{n}} \left( 1, e^{-\frac{2\pi i k}{n}}, e^{-\frac{4\pi i k}{n}}, \dots, e^{-\frac{2\pi i k(n-1)}{n}} \right)^T,$$

and consider the  $n \times n$  matrix  $T_n$  given by

$$T_n = \sum_{k=0}^{n-1} \lambda_{n,k} (e_{n,k} \otimes \overline{e_{n,k}}) = \frac{1}{n^3} \sum_{k=0}^{n-1} \left( 1 + \frac{k}{n^p} \right) (e_{n,k} \otimes \overline{e_{n,k}}) \in \mathbb{C}^{n \times n},$$

where  $\lambda_{n,k} = \frac{1}{n^3} \left( 1 + \frac{k}{n^p} \right)$ . We define an infinite block-diagonal matrix  $T$  by

$$T = T_1 \oplus T_2 \oplus \dots \oplus T_n \oplus \dots$$

Then,  $T$  is a positive semi-definite trace-class operator of Type  $B$  but not of Type  $A$  with respect to the orthonormal basis  $\{w_\ell\}$ .

*Proof.* By construction, the blocks  $T_n$  that make up  $T$  are pairwise orthogonal. Furthermore, for each  $n \geq 1$ , the spectrum of  $T_n$  consists of simple eigenvalues  $\lambda_{n,k}$  with corresponding eigenvectors  $e_{n,k}$  for  $k = 0, \dots, n-1$ . Consequently, for each  $n \geq 1$ , and each  $k \in \{0, \dots, n-1\}$ ,  $e_{n,k}$  generates a one-dimensional eigenspace of  $T$  corresponding to the eigenvalue  $\lambda_{n,k}$ . It is clear that  $T$  is positive semi-definite. Since  $\|e_{n,k}\|_2 = 1$  and  $T = \bigoplus_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} (e_{n,k} \otimes \overline{e_{n,k}})$ , we see that

$$\begin{aligned} \|T\|_{\text{op}} &\leq \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) \|e_{n,k} \otimes \overline{e_{n,k}}\|_{\text{op}} \\ &= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) \|e_{n,k}\| \\ &= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) < \infty. \end{aligned}$$

Furthermore, since  $p > 1$ , we see that

$$\begin{aligned} \|T\|_{\mathcal{I}_1} = \text{trace}(T) &= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) \\ &= \sum_{n=1}^{\infty} \frac{1}{n^3} \left(n + \frac{n(n-1)}{2n^p}\right) \\ &< \infty. \end{aligned}$$

Hence  $T$  is a well-defined trace-class operator on  $\mathbb{H}$ .

We now show that  $T$  is of Type  $B$ . To this end we observe that for each  $n \geq 1$ ,  $\sum_{k=0}^{n-1} e_{n,k} \otimes \overline{e_{n,k}} = I_n$ , where  $I_n$  denotes the identity of order  $n$ . Then

$$\begin{aligned} T_n &= \frac{1}{n^3} \sum_{k=0}^{n-1} \left(1 + \frac{k}{n^p}\right) (e_{n,k} \otimes \overline{e_{n,k}}) \\ &= \frac{1}{n^3} \sum_{k=0}^{n-1} (e_{n,k} \otimes \overline{e_{n,k}}) + \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k (e_{n,k} \otimes \overline{e_{n,k}}) \\ &= \frac{1}{n^3} I_n + \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k (e_{n,k} \otimes \overline{e_{n,k}}). \end{aligned}$$

Thus  $T$  can be written as

$$\begin{aligned}
T &= \bigoplus_{n \geq 1} T_n = \bigoplus_{n \geq 1} \left( \frac{1}{n^3} I_n + \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k (e_{n,k} \otimes \overline{e_{n,k}}) \right) \\
&= \bigoplus_{n \geq 1} \left( \frac{1}{n^3} I_n \right) + \bigoplus_{n \geq 1} \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k (e_{n,k} \otimes \overline{e_{n,k}}) \\
&= \bigoplus_{n \geq 1} \frac{1}{n^3} \sum_{k=1}^n (w_{\frac{n(n-1)}{2}+k} \otimes \overline{w_{\frac{n(n-1)}{2}+k}}) + \bigoplus_{n \geq 1} \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k (e_{n,k} \otimes \overline{e_{n,k}}).
\end{aligned}$$

Then we have

$$\left\| w_{\frac{n(n-1)}{2}+k} \right\| = 1, \quad \left\| e_{n,k} \right\| = \sqrt{n},$$

and

$$\begin{aligned}
&\sum_{n \geq 1} \frac{1}{n^3} \cdot \sum_{k=1}^n 1^2 + \sum_{n \geq 1} \frac{1}{n^{3+p}} \sum_{k=0}^{n-1} k \cdot (\sqrt{n})^2 \\
&= \sum_{n \geq 1} \left( \frac{1}{n^2} + \frac{n-1}{2n^{1+p}} \right) < \infty, \quad \text{for any } p > 1.
\end{aligned}$$

Hence,  $T$  is of Type  $B$  with respect to  $\{w_\ell\}_{\ell \geq 1}$ .

We now show that  $T$  is not of Type  $A$  with respect to  $\{w_\ell\}_\ell$ . The key point is that  $T$  has only one-dimensional eigenspaces, so

$$\sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} (e_{n,k} \otimes \overline{e_{n,k}}) = \sum_{n=1}^{\infty} \frac{1}{n^3} \sum_{k=0}^{n-1} \left( 1 + \frac{k}{n^p} \right) (e_{n,k} \otimes \overline{e_{n,k}})$$

is the unique decomposition of  $T$  as a sum of rank one projections generated by orthogonal eigenfunctions of  $T$ . Note again that  $\|e_{n,k}\| = \sqrt{n}$ , and

$$\lambda_{n,k} \|e_{n,k}\| = \frac{1}{n^3} \left( 1 + \frac{k}{n^p} \right) \cdot \sqrt{n} < \infty.$$

However,

$$\begin{aligned}
\sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} \|e_{n,k}\|^2 &= \sum_{n=1}^{\infty} \frac{1}{n^2} \sum_{k=0}^{n-1} \left( 1 + \frac{k}{n^p} \right) \\
&= \sum_{n=1}^{\infty} \frac{1}{n^2} \left( n + \frac{n(n-1)}{2n^p} \right) \\
&\geq \sum_{n=1}^{\infty} \frac{1}{n} = \infty.
\end{aligned}$$

□



We can modify the counterexample in Proposition 3.1 to deal with the case of a real Hilbert space  $\mathbb{H}$ . This amounts to using a real-valued ONB for  $\mathbb{R}^n$  instead of the Fourier ONB  $\{e_{n,k}\}_{k=0}^{n-1}$ . For this let  $\{h_{n,k}\}_{k=0}^{n-1}$  denote the Hartley ONB basis for  $\mathbb{R}^n$  (see [11]), where

$$h_{n,k} = \frac{1}{\sqrt{n}} \left( \cos \left( \frac{2\pi kl}{n} \right) + \sin \left( \frac{2\pi kl}{n} \right) \right)_{l=0}^{n-1} = \sqrt{\frac{2}{n}} \left( \cos \left( \frac{2\pi kl}{n} - \frac{\pi}{4} \right) \right)_{l=0}^{n-1}.$$

Thus

$$\sum_{k=0}^{n-1} h_{n,k} \otimes \overline{h_{n,k}} = \sum_{k=0}^{n-1} h_{n,k} \otimes h_{n,k} = I_n,$$

where  $I_n$  denotes the identity of order  $n$  in  $\mathbb{R}^n$ .

**Lemma 3.2.** *For a fixed  $n \geq 1$  and each  $0 \leq k \leq n-1$  we have*

$$(3.1) \quad \sqrt{\frac{n}{2}} \leq \|h_{n,k}\| = \frac{1}{\sqrt{n}} \sum_{l=0}^{n-1} \left| \cos \left( \frac{2\pi kl}{n} \right) + \sin \left( \frac{2\pi kl}{n} \right) \right| \leq \sqrt{n}.$$

*Proof.* Denote by  $S_n$  the set

$$S_n := \left\{ \frac{2\pi k}{n} : 0 \leq k \leq n-1 \right\}.$$

It is easy to see that for each  $0 \leq l \leq n-1$  we have

$$S_n = \left\{ \frac{2\pi kl}{n} \pmod{2\pi} : 0 \leq k \leq n-1 \right\} = \left\{ -\frac{2\pi k}{n} \pmod{2\pi} : 0 \leq k \leq n-1 \right\}.$$

Let  $E := \sum_{x \in S_n} |\cos x + \sin x|$ . Then

$$\begin{aligned} 2E &= \sum_{x \in S_n} |\cos x + \sin x| + \sum_{-x \in S_n} |\cos x + \sin x| \\ &= \sqrt{2} \sum_{k=0}^{n-1} \left| \cos \left( \frac{2\pi k}{n} - \frac{\pi}{4} \right) \right| + \sqrt{2} \sum_{k=0}^{n-1} \left| \cos \left( \frac{2\pi k}{n} + \frac{\pi}{4} \right) \right| \\ (3.2) \quad &= \sqrt{2} \sum_{k=0}^{n-1} \left[ \left| \cos \left( \frac{2\pi k}{n} - \frac{\pi}{4} \right) \right| + \left| \sin \left( \frac{2\pi k}{n} - \frac{\pi}{4} \right) \right| \right]. \end{aligned}$$

Now for each  $x \in \mathbb{R}$ ,

$$\begin{aligned} (|\sin x| + |\cos x|)^2 &= |\sin x|^2 + |\cos x|^2 + 2|\sin x \cos x| = 1 + |\sin 2x| \geq 1, \\ \Rightarrow \sqrt{2} &\geq |\sin x| + |\cos x| \geq 1. \end{aligned}$$

It follows from (3.2) that  $n \geq E \geq \frac{n}{\sqrt{2}}$  and therefore (3.1).  $\square$

**Proposition 3.3.** *Let  $\mathbb{H} = \ell^2(\{1, 2, \dots\})$ , and choose  $p > 1$ . Let  $\{w_\ell\}_{\ell=1}^\infty$  denote the standard orthonormal basis of  $\mathbb{H}$ , i.e.,  $w_\ell = \delta_\ell$ . For each  $n \geq 1$  let  $T_n$  denote the  $n \times n$  matrix given by*

$$T_n = \frac{1}{n^3} \sum_{k=0}^{n-1} \left(1 + \frac{k}{n^p}\right) (h_{n,k} \otimes h_{n,k}) \in \mathbb{R}^{n \times n}.$$

We define an infinite block-diagonal matrix  $T$  by

$$T = T_1 \oplus T_2 \oplus \dots \oplus T_n \oplus \dots$$

Then,  $T$  is a positive semi-definite trace-class operator of Type B but not of Type A with respect to the orthonormal basis  $\{w_\ell\}_{\ell \geq 1}$ .

*Proof.* The proof is almost identical to that of Proposition 3.1 where the Fourier ONB vectors  $e_{n,k}$  are replaced by the Hartley ONB vectors  $h_{n,k}$  and the estimate  $\|e_{n,k}\| = \sqrt{n}$  is replaced by  $\sqrt{\frac{n}{2}} \leq \|h_{n,k}\| \leq \sqrt{n}$ , cf. Lemma 3.2.  $\square$

We can now give an answer to Feichtinger's question, i.e., Problem 1.2.

**Theorem 3.4.** *Suppose that  $\{w_n\}_{n \geq 1}$  is a Wilson orthonormal basis for  $L^2(\mathbb{R})$  with  $g \in M^1(\mathbb{R})$ . Let  $p > 1$ , and for each  $n \geq 1$  set  $\lambda_{n,k} = \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right)$ .*

*For fixed  $n \geq 1$  and each  $0 \leq k \leq n-1$ , let  $h_{n,k} \in L^2(\mathbb{R})$  where*

$$h_{n,k} = \frac{1}{\sqrt{n}} \sum_{l=0}^{n-1} \left( \cos\left(\frac{2\pi kl}{n}\right) + \sin\left(\frac{2\pi kl}{n}\right) \right) w_{\frac{n(n-1)}{2} + l + 1}.$$

*Let  $T$  be the operator defined by*

$$T = \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} h_{n,k} \otimes h_{n,k}.$$

*The following statements hold:*

- (i)  $\{h_{n,k} : 0 \leq k \leq n-1, n \geq 1\}$  is an orthonormal basis for  $L^2(\mathbb{R})$ .
- (ii)  $T$  is a positive semi-definite trace-class operator on  $L^2(\mathbb{R})$  that provides a counterexample to Problem 1.2.

*Proof.* (i) It is easy to see that for each  $n \geq 1$ ,  $\{h_{n,k}\}_{k=0}^{n-1}$  is an orthogonal set in  $L^2(\mathbb{R})$ . Indeed,  $\langle h_{n,k}, h_{n',k'} \rangle = 0$ , for  $n \neq n'$ . Furthermore, since  $\langle w_n, w_m \rangle = \delta_{n,m}$  we have that  $\|h_{n,k}\| = 1$  for all  $n \geq 1$ , and  $k \in \{0, 1, \dots, n-1\}$ .

(ii) It is also easy to see that  $T$  is a well-defined operator on  $L^2(\mathbb{R})$ . In fact, the series defining  $T$  converges in the operator norm. Furthermore, since  $\|h_{n,k} \otimes h_{n,k}\|_{\mathcal{I}_1} = 1$ , it follows that

$$\begin{aligned} \|T\|_{\mathcal{I}_1} &= \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} \\ &= \sum_{n=1}^{\infty} \frac{1}{n^3} \sum_{k=0}^{n-1} \left(1 + \frac{k}{n^p}\right) \\ &= \sum_{n=1}^{\infty} \frac{1}{n^3} \left(n + \frac{n(n-1)}{2n^p}\right) < \infty. \end{aligned}$$

Consequently,  $T$  is a trace-class operator.

By Lemma 3.2,

$$\begin{aligned} \|h_{n,k}\|_{M^1} &= \sum_{m=1}^{\infty} |\langle h_{n,k}, w_m \rangle| \\ &= \frac{1}{\sqrt{n}} \sum_{m=1}^{\infty} \left| \left\langle \sum_{l=0}^{n-1} \left( \cos\left(\frac{2\pi kl}{n}\right) + \sin\left(\frac{2\pi kl}{n}\right) \right) w_{\frac{n(n-1)}{2}+l}, w_m \right\rangle \right| \\ &= \frac{1}{\sqrt{n}} \sum_{l=0}^{n-1} \left| \cos\left(\frac{2\pi kl}{n}\right) + \sin\left(\frac{2\pi kl}{n}\right) \right| \\ &\geq \sqrt{\frac{n}{2}}. \end{aligned}$$

Also each term

$$\begin{aligned} \lambda_{n,k} \|h_{n,k}\|_{M^1} &= \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) \cdot \frac{1}{\sqrt{n}} \sum_{l=0}^{n-1} \left| \cos\left(\frac{2\pi kl}{n}\right) + \sin\left(\frac{2\pi kl}{n}\right) \right| \\ &\leq \frac{1}{n^3} \left(1 + \frac{k}{n^p}\right) \cdot \sqrt{n} < \infty. \end{aligned}$$

However,

$$\begin{aligned} \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \lambda_{n,k} \|h_{n,k}\|_{M^1}^2 &\geq \sum_{n=1}^{\infty} \frac{1}{2n^2} \sum_{k=0}^{n-1} \left(1 + \frac{k}{n^p}\right) \\ &= \sum_{n=1}^{\infty} \frac{1}{2n^2} \left(n + \frac{n(n-1)}{2n^p}\right) \\ &\geq \sum_{n=1}^{\infty} \frac{1}{2n} = \infty. \end{aligned}$$

□

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