

# 1

July 9. Taubes' gluing

The  $\text{BPS}^+$  instanton

Let  $S^7 \subseteq \mathbb{R}^8 = \mathbb{H}^2$  be the unit sphere

identify  $SU(2)$  with  $\left\{ x^0 + x^1 I + x^2 J + x^3 K \in \mathbb{H} \mid \sum (x^i)^2 = 1 \right\}$ .

Then  $SU(2)$  acts on  $S^7$  (on both left and right)

The quotient space of the right action is given by

$$\left\{ (x, y) \in \mathbb{H}^2 \mid (x^1)^2 + (y^1)^2 = 1 \right\} / (x_1, y_1) \sim (x, y)$$

$$=: \mathbb{HP}^1 \cong S^4.$$

Therefore  $S^7$  can be regarded as a principal  $SU(2)$ -bundle over  $S^4$ . The associated  $\mathbb{C}^2$ -bundle is given by

$$\gamma := \left\{ ([x, y], s, t) \in \mathbb{HP}^1 \times \mathbb{H} \times \mathbb{H} \mid \begin{array}{l} \exists p \text{ s.t.} \\ s = x_1 \\ t = y_1 \end{array} \right\}$$

Define  $D_A := \overline{\pi} \circ d$ , where  $d$  is the exterior differential for sections of the trivial  $\mathbb{H}^2$ -bundle, and  $\overline{\pi}$  is the orthogonal projection onto  $V$ .

Local chart of  $\mathbb{HP}^1$ :  $\{[1, x] \mid x \in \mathbb{H}\}$

local basis:  $s = \begin{pmatrix} \frac{1}{\sqrt{1+|x|^2}} \\ \frac{x}{\sqrt{1+|x|^2}} \end{pmatrix} \in \mathbb{H}^2$

$$\begin{aligned}
 D_A s &= \overline{I} \cdot d \left( \frac{\frac{1}{\sqrt{1+|x|^2}}}{\frac{x}{\sqrt{1+|x|^2}}} \right) \\
 &= \cancel{s} \cdot s^* \cdot d \left( \frac{\frac{1}{\sqrt{1+|x|^2}}}{\frac{x}{\sqrt{1+|x|^2}}} \right)^{\frac{1}{2}} \\
 &= s \cdot \left( \frac{1}{\sqrt{1+|x|^2}}, \frac{\bar{x}}{\sqrt{1+|x|^2}} \right) \left( -\frac{1}{2}(1+|x|^2)^{-\frac{1}{2}} dx |x|^2 \right. \\
 &\quad \left. -\frac{1}{2}(1+|x|^2)^{-\frac{3}{2}} dx |x|^2 \cdot x + dx \cdot (1+|x|^2)^{-\frac{1}{2}} \right) \\
 &= s \cdot \left( -\frac{1}{2(1+|x|^2)^2} dx |x|^2 - \frac{|x|^2}{2(1+|x|^2)^2} dx |x|^2 \right. \\
 &\quad \left. + \frac{\bar{x} dx}{1+|x|^2} \right) \\
 &= s \cdot \left( -\frac{d(|x|^2)}{2(1+|x|^2)} + \frac{\bar{x} dx}{1+|x|^2} \right) \\
 &= s \cdot \frac{\frac{1}{2}(\bar{x} dx) - (dx) \cdot x}{1+|x|^2} \\
 &= s \cdot \frac{\text{Im}(\bar{x} dx)}{1+|x|^2}.
 \end{aligned}$$

So the connection matrix of  $A = \frac{\text{Im}(\bar{x} dx)}{1+|x|^2}$

If we write  $x = x^0 + x^1 I + x^2 J + x^3 k$

$$\text{where } I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$k = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}.$$

Then  $A(x^0, x^1, x^2, x^3)$

$$= \frac{1}{1 + |x|^2} \left( (x^0 dx^1 - x^1 dx^0 - x^2 dx^3 + x^3 dx^2) I \right. \\ \left. + (x^0 dx^2 - x^2 dx^0 - x^3 dx^1 + x^1 dx^3) J \right. \\ \left. + (x^0 dx^3 - x^3 dx^0 - x^1 dx^2 + x^2 dx^1) K \right)$$

Notice that if  $b = b^0 + b^1 I + b^2 J + b^3 K \in \mathfrak{L}' \otimes H$ ,  
then  $\text{Im}(b \wedge b) = 2(b^2 \wedge b^3) I + b^3 \wedge b^1 J + b^1 \wedge b^2 K$

Therefore  $\bar{F}_A = dA + A \wedge A$

$$= \frac{\text{Im}(d\bar{x} \wedge dx)}{1 + |x|^2} - \frac{\text{Im}|x|^2 \wedge (\bar{x} dx)}{(1 + |x|^2)^2} \\ + \frac{\text{Im}(\bar{x} dx \wedge \bar{x} dx)}{(1 + |x|^2)^2}$$

$$= \frac{\text{Im}(d\bar{x} \wedge dx)}{1 + |x|^2} - \frac{\text{Im}((d\bar{x}) \times \bar{x} dx)}{(1 + |x|^2)^2}$$

$$= \frac{\text{Im}(d\bar{x} \wedge dx)}{1 + |x|^2} - \frac{|x|^2}{(1 + |x|^2)^2} \text{Im}(d\bar{x} \wedge dx)$$

$$= \frac{\text{Im}(d\bar{x} \wedge dx)}{(1 + |x|^2)^2}$$

$$dx \wedge d\bar{x} = dx^0 + dx^1 I + dx^2 J + dx^3 K$$

$$d\bar{x} = dx^0 - dx^1 I - dx^2 J - dx^3 K$$

$$\Rightarrow d\bar{x} \wedge dx = 2(dx^0 \wedge dx^1 - dx^2 \wedge dx^3) I \\ + 2(dx^0 \wedge dx^2 + dx^3 \wedge dx^1) J \\ + 2(dx^0 \wedge dx^3 - dx^1 \wedge dx^2) K \in \Omega^2.$$

Therefore A is an ASD connection.

By definition, the BPS instanton is invariant under the action of  $Sp(2) \subset H^2$ , which reduces to the action of  $SO(5) \subset S^4$ .

Example of bubbling:  $A_\lambda := f_\lambda^*(A)$ , where  $f_\lambda(x) = \lambda x$ . Then  $\lim_{\lambda \rightarrow +\infty} \|F_{A_\lambda}\|^2 \rightarrow 8\pi^2 \int$  as distributions.

• Functional analysis.

15

$L: V \rightarrow W$  linear surjection between Banach spaces

$P: W \rightarrow V$  s.t.  $P$  is ~~bounded~~ bounded, linear  
and  $L \circ P = \text{id}_W$ .

$Q: V \times V \rightarrow W$  bounded bilinear map.

$$\|Q(x, y)\| \leq \|Q\| \cdot \|x\| \cdot \|y\|$$

Claim 1. if  $\|L' - L\| < \frac{1}{2\|P\|}$  then

$L'$  is surjective, with a right inverse  $P'$ , and  
we can choose  $P'$  s.t.

$$\|P'\| \leq 2\|P\|.$$

Proof. Let  $\delta = L' - L$ .

Then  $P' := P \sum_{i=0}^{\infty} (-1)^i (\delta P)^i$  is  
a right inverse of  $L'$ .  $\square$

Claim 2 Consider the map

$f: V \rightarrow W$

$$x \mapsto L(x) + Q(x, x)$$

Then  $\text{Im}(f) \supseteq B_W \left( \frac{1}{2\|P\|^2\|Q\|} \right)$

Proof.  $f^{-1}: \mathcal{B}_w \left( \frac{\cdot}{\|Q \circ P\|^2} \right) \rightarrow V$

where  $f^{-1}(x) = P \left( \sum_{i=0}^{\infty} (-1)^i (Q \circ P)^i \right)$   
defines an inverse of  $f$ .  $\square$

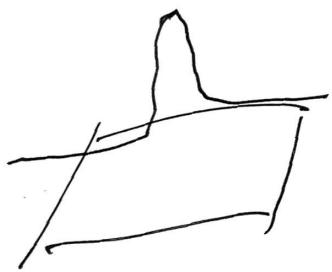
Taubes' gluing.

$X$  closed 4-mfd.  $A_X$ : ASD connection on  $X$

For simplicity, assume  $X$  is locally flat near  $P$ .



After rescaling,  $A_X \approx 0$  under  
a local trivialization.



Let  $\overset{As^4}{\cancel{A}}$  be the rescaled BPST  
instanton on  $S^4$  with curvature  
concentrated near a point

Let  $A := \chi A_X + (1-\chi) \overset{As^4}{\cancel{A}}$

with  $\|\nabla X\|_{L^4} \ll 1$ .

Then  $\|\bar{F}_A^+\|_{L^2} \ll 1$

Need to find  $\alpha$  s.t.  $\bar{F}_{A+\alpha}^+ = 0$ . 7

$$\bar{F}_{A+\alpha}^+ = \bar{F}_A^+ + d_A^+ \alpha + (\alpha \wedge \alpha)^+$$

If  $\exists$  a right inverse  $P$  of  $d_A^+$  s.t.  $u P u \leq c$ , then the desired result follows from Claim 2 above.

By (a variation of) Claim 1, we can

show that such a map  $P$  exists if

(1)  $d_{A_\alpha}^+$ ,  $d_{A_{S^4}}^+$  are both surjective

(2) we use the conformal-invariant Sobolev

$$\text{norm } \| \cdot \|_{L^4} + \| \nabla_A \cdot \|_{L^2}$$

on  $\Omega' \otimes \text{ad } P$ .

Proposition.  $d_{A_{S^4}}^+$  is surjective for the

BPSI instanton.

Observation.  $d_A^+$  is surjective if  $A$  is the trivial connection and  $b_2^+(X) = 0$ .