

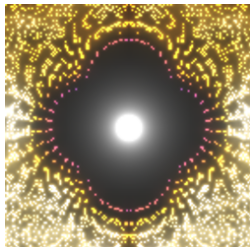
Mathematics in the Computer

Mario Carneiro

Carnegie Mellon University

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Who am I?



Github: digama0

Zulip: Mario Carneiro

- ▶ PhD student in Logic at CMU
- ▶ Proof engineering since 2013
 - ▶ Metamath (maintainer)
 - ▶ Lean 3 (maintainer)
 - ▶ Dabbled in Isabelle, HOL Light, Coq, Mizar
 - ▶ Metamath Zero (author)
- ▶ Proved 37 of Freek's 100 theorems list in Metamath
- ▶ Lots of library code in `set.mm` and `mathlib`
- ▶ Say hi at <https://leanprover.zulipchat.com>

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- ▶ Now I'm a PhD at CMU philosophy!

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- ▶ Also it’s the world’s best puzzle game

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- ▶ To produce proofs, you need a *proof assistant*, and there are several of these (not as many as the verifiers).
- ▶ I used `mmj2`¹ for this for many years (and now I'm the maintainer)

¹<https://github.com/digama0/mmj2/>

Sidebar: Metamath architecture

```
ProofAsstGUI - Page303.mmp
File Edit Cancel Unify Search TL GMMF Help
$( <MM> <PROOF_ASST> THEOREM=sylClone LOC_AFTER=a2i
*
  Press Ctrl-U now to Unify the proof.
Page303.mmp

h1000::sylClone.1 |- ( ph -> ps )
h200::sylClone.2 |- ( ps -> ch )
h30::sylClone.3  |- ( ch -> th )
3:200:a1i        |- ( ph -> ( ps -> ch ) )
4:3:a2i         |- ( ( ph -> ps ) -> ( ph -> ch ) )
qed:1000,4:ax-mp |- ( ph -> ch )

*There are two IMPORTANT things to NOTICE in the proof steps above:
- Hypothesis Step 30 is redundant. It serves no purpose except
  to make the point: mmj2 and Metamath do not warn the user
  about unused Logical Hypotheses in proofs!
- Hypothesis Steps NEVER have Hyp's of their own. That is, the
  Hyp portion of the Step:Hyp:Ref field is always null.
- And remember, no blanks inside the Step:Hyp:Ref fields! That
  will generate an error message.

I-PA-0119 Theorem sylClone: RPN-format Metamath proof generated!
```

Search “mmj2 tutorial” to see it in action

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- ▶ You PR your changes to the set `.mm` repository

What is it like to formalize mathematics?

In Lean:

- ▶ You state a theorem in the formal language
- ▶ You apply tactics, which transform the goals into subgoals in some defined way
- ▶ Often the tactic is simply apply *thm* where *thm* is a lemma from the library
- ▶ When you finish the proof, you leave the tactic script in the file
- ▶ You PR your changes to the mathlib repository

What is it like to formalize mathematics?

The screenshot shows the Lean IDE interface. The main editor contains the following Lean code:

```
1 -- The Xena project -- mathematicians learning Lean by doing. @XenaProject
2
3 -- proving a basic logical proposition in the Lean theorem prover
4
5 example (p q r : Prop) : ((p ∨ q) → r) ↔ ((p → r) ∧ (q → r)) :=
6 begin
7   split,
8   { intro h,
9     split,
10    { intro hp,
11      apply h,
12      left,
13      assumption},
14    { intro hq,
15      apply h,
16      right,
17      assumption}},
18   { intro h,
19     cases
20     sorry
21 end
```

The goal pane on the right shows an error:

```
scratch3487345876.lean:19:4: error
unknown identifier 'cas'
scratch3487345876.lean:19:4: error
don't know how to synthesize placeholder
context:
p q r : Prop
⊢ Type ?
```

A tooltip for the `cases` tactic is visible, showing a list of options and a detailed description:

- `cases`
- `cases_arg_p`
- `cases_core`
- `cases_matching`
- `cases_type`
- `casesm`
- `by_cases`
- `with_cases`

(id :)? expr (with id)*?

Assuming `x` is a variable in the local context with an inductive type, `cases x` splits the main goal, producing one goal for each constructor of the inductive type, in which the target is replaced by a general instance of that constructor. If the type of an element in the local context depends on `x`, that element is reverted and reintroduced afterward, so that the case split affects that hypothesis as well.

From Kevin Buzzard's "10 minute Lean tutorial: proving logical propositions"

How do Metamath and Lean differ?

- ▶ Lean has a lot more institutional support (MSR, CMU)
- ▶ Lean has a great user experience and is generally better suited to mathematician users
- ▶ Metamath is deliberately as simple as it can be, and writing a verifier for Metamath is a weekend project
 - ▶ “Batteries not included”: tooling is decentralized and DIY
- ▶ There is essentially only one Lean verifier
 - ▶ Parsing lean files is nearly impossible for anything other than lean
 - ▶ Lean has an export format that has a few alternate verifiers
- ▶ Compiling `set.mm` is about 1000× faster than compiling `mathlib`

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 - ▶ The possible gains are great, but correctness makes all the difference.
1. The verifier should be as simple as it can be
 2. This isn't good enough, because the chance of a bug in the program will still be larger than the computer's own error rate

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- ▶ Hammer, meet nail

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- ▶ Wait, didn't Gödel prove this is impossible?
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- ▶ The important observation is that we want to prove “implementation correctness”, not consistency
- ▶ We will not be able to completely eliminate the circularity, though, so we still need to rely on human verification to some extent

The metamathematics of theorem provers

- ▶ Let $\mathcal{M} \subseteq \{0, 1\}^* \times \{0, 1\}^*$ be a machine semantics, where $\mathcal{M}(P, x)$ means that program P on input x terminates and indicates success.
 - ▶ For example, $\mathcal{M}(P, x)$ if P encodes a Turing machine that when run on input x stops in finitely many steps with a 1 on the tape
- ▶ Let $\mathcal{L} \subseteq \{0, 1\}^*$ be a language of assertions
 - ▶ For example, $\varphi \in \mathcal{L}$ if φ encodes a statement in FOL
 - ▶ We generally want $\varphi \in \mathcal{L}$ to be decidable
- ▶ Let T be a theory of interest
 - ▶ For example, $T = \text{ZFC}$

Implementation correctness for a theorem prover

Program V is a theorem prover (for T in \mathcal{M} and \mathcal{L}) if for all $\varphi \in \mathcal{L}$, if there exists p such that $\mathcal{M}(V, (\varphi, p))$, then $T \vdash \varphi$.

Bootstrapping trust

1. Suppose V is a correct verifier, i.e. if $T \vdash_V A$ then $T \vdash A$ for all T, A .
2. Suppose we prove, in $V + PA$, the correctness theorem for W , that is, $PA \vdash_V \forall T, A: (T \vdash_W A \rightarrow T \vdash A)$
3. Then $PA \vdash \forall T, A: (T \vdash_W A \rightarrow T \vdash A)$
4. If PA is sound, then $\forall T, A: (T \vdash_W A \rightarrow T \vdash A)$, that is, $T \vdash_W A$ implies $T \vdash A$, so W is a correct verifier
 - ▶ Bootstrapping: set $W := V$ in the above
 - ▶ Note that this does not run afoul of Gödel incompleteness
 - ▶ Circular proof! Need a backup to ground the argument
 - ▶ \Rightarrow small verifier
 - ▶ independent bootstraps

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- ▶ $V = \text{Metamath Zero}$

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 - ▶ Runs tactics and metaprograms and exports MM0 proofs

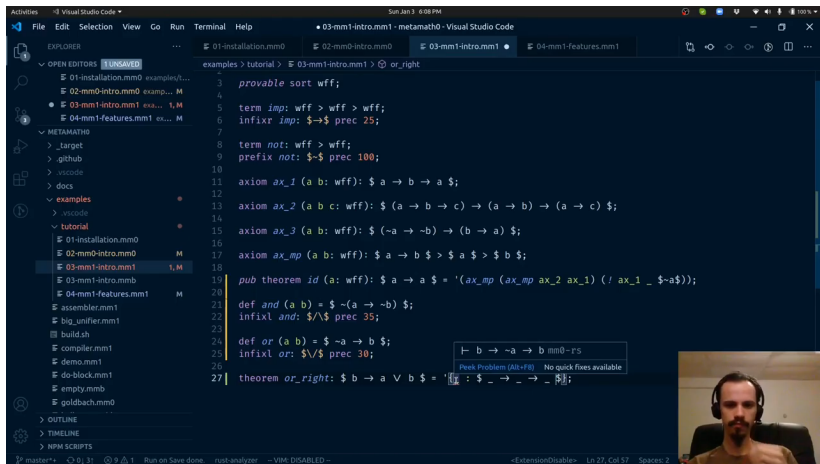
Metamath Zero Architecture

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- ▶ MMC: A verified compiler (in progress)
 - ▶ A programming language embedded as an MM1 tactic for producing x86 programs with a proof of correctness
- ▶ The plan: write a verifier for MM0 in the MMC language

Metamath Zero



The screenshot shows the Visual Studio Code interface with the file `03-mm1-intro.mm1` open. The editor contains the following Metamath script:

```
examples > tutorial > 03-mm1-intro.mm1 > or_right
1
2   provable sort wff;
3
4
5   term imp: wff > wff > wff;
6   infixr imp: $->$ prec 25;
7
8   term not: wff > wff;
9   prefix not: $~$ prec 100;
10
11  axiom ax_1 (a b: wff): $ a → b → a $;
12
13  axiom ax_2 (a b c: wff): $ (a → b → c) → (a → b) → (a → c) $;
14
15  axiom ax_3 (a b: wff): $ (~a → ~b) → (b → a) $;
16
17  axiom ax_mp (a b: wff): $ a → b $ > $ a $ > $ b $;
18
19  pub theorem id (a: wff): $ a → a $ = '(ax_mp (ax_mp ax_2 ax_1) (! ax_1 _ $-a$));
20
21  def and (a b) = $ ~(a → ~b) $;
22  infixl and: $/\$ prec 35;
23
24  def or (a b) = $ ~a → b $;
25  infixl or: $\/$ prec 30;
26
27  theorem or_right: $ b → a ∨ b $ = '
28    | b → ~a → b mm0-ps
29    | $ _ → _ → _ $];
```

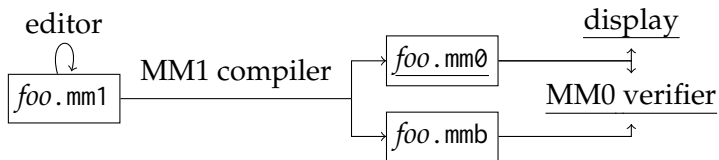
A tooltip is visible over the last line of the script, showing the text `| b → ~a → b mm0-ps` and the message `Peek Problem (Alt+F8) No quick fixes available`. In the bottom right corner, there is a small video inset showing a man with a beard and headphones, presumably the presenter.

From “Metamath Zero (MM0/MM1) tutorial”

Metamath Zero Architecture

MM0 input is split into two parts:

- ▶ **.mm0 specification file**
 - ▶ trusted, human readable
 - ▶ contains the statement of axioms and assertions
- ▶ **.mmb proof file**
 - ▶ untrusted, binary
 - ▶ fully elaborated, designed for efficient checking by the verifier



Underlined components are trusted.

goldbach.mm0

```
delimiter $ ( [ ~ , $ -- these tokens don't need a space after them
              $ ) ] $; -- these tokens don't need a space before them

-- Propositional logic
strict provable sort wff;

term im: wff > wff > wff;
infixr im: $->$ prec 25;

term not: wff > wff;
prefix not: $~$ prec 41;

axiom ax_1 (ph ps: wff): $ ph -> ps -> ph $;
axiom ax_2 (ph ps ch: wff): $ (ph -> ps -> ch) -> (ph -> ps) -> ph -> ch $;
axiom ax_3 (ph ps: wff): $ (~ph -> ~ps) -> ps -> ph $;
axiom ax_mp (ph ps: wff): $ ph -> ps $ > $ ph $ > $ ps $;

def an (a b: wff): wff = $ ~(a -> ~b) $;
infixl an: $/\ $ prec 34;

def iff (a b: wff): wff = $ (a -> b) /\ (b -> a) $;
infixl iff: $<->$ prec 20;

def or (a b: wff): wff = $ ~a -> b $;
infixl or: $\/ $ prec 30;
```

goldbach.mm0

```
-- Predicate logic (on nat)
sort nat;
term al {x: nat} (ph: wff x): wff;
prefix al: $A.$ prec 41;

def ex {x: nat} (ph: wff x): wff = $ ~(A. x ~ph) $;
prefix ex: $E.$ prec 41;

term eq (a b: nat): wff;
infixl eq: $=$ prec 50;

axiom ax_gen {x: nat} (ph: wff x): $ ph $ > $ A. x ph $;
axiom ax_4 {x: nat} (ph ps: wff x): $ A. x (ph -> ps) -> A. x ph -> A. x ps $;
axiom ax_5 {x: nat} (ph: wff): $ ph -> A. x ph $;
axiom ax_6 (a: nat) {x: nat}: $ E. x x = a $;
axiom ax_7 (a b c: nat): $ a = b -> a = c -> b = c $;
axiom ax_10 {x: nat} (ph: wff x): $ ~ A. x ph -> A. x ~ A. x ph $;
axiom ax_11 {x y: nat} (ph: wff x y): $ A. x A. y ph -> A. y A. x ph $;
axiom ax_12 {x: nat} (a: nat) (ph: wff x): $ x = a -> ph -> A. x (x = a -> ph) $;

def sb (a: nat) {x .y: nat} (ph: wff x): wff =
  $ A. y (y = a -> A. x (x = y -> ph)) $;
notation sb (a: nat) {x: nat} (ph: wff x): wff =
  ($[$:41) a ($/$:0) x ($)$:0) ph;
```

goldbach.mm0

```
-- Peano's axioms
term d0: nat; prefix d0: $0$ prec max;
term suc (n: nat): nat;
axiom peano1 (a: nat): $ ~ suc a = 0 $;
axiom peano2 (a b: nat): $ suc a = suc b <-> a = b $;
axiom peano5 {x: nat} (P: wff x):
  $ [0 / x] P -> A. x (P -> [suc x / x] P) -> A. x P $;

term add: nat > nat > nat; infixl add: $$ prec 64;
term mul: nat > nat > nat; infixl mul: $$ prec 70;

axiom addeq (a b c d: nat): $ a = b -> c = d -> a + c = b + d $;
axiom muleq (a b c d: nat): $ a = b -> c = d -> a * c = b * d $;
axiom add0 (a: nat): $ a + 0 = a $;
axiom addS (a b: nat): $ a + suc b = suc (a + b) $;
axiom mul0 (a: nat): $ a * 0 = 0 $;
axiom mulS (a b: nat): $ a * suc b = a * b + a $;
```

goldbach.mm0

```
-- Definitions and theorems
def d1: nat = $suc 0$; prefix d1: $1$ prec max;
def d2: nat = $suc 1$; prefix d2: $2$ prec max;

def le (a b .x: nat): wff = $ E. x a + x = b $;   infixl le: $<=$ prec 50;
def lt (a b: nat): wff = $ suc a <= b $;         infixl lt: $<$ prec 50;
def dvd (a b .c: nat): wff = $ E. c c * a = b $;   infixl dvd: $|$ prec 50;
def prime (p .x: nat): wff = $ 1 < p /\ A. x (x | p -> x = 1 \/ x = p) $;

theorem goldbach (n: nat) {p q: nat}:
  $ 2 < n /\ 2 | n -> E. p E. q (prime p /\ prime q /\ n = p + q) $;
```

- ▶ This is a complete .mm0 file that asserts that Goldbach's conjecture (GC) is derivable from the axioms of Peano Arithmetic.
- ▶ The correctness theorem for MM0 implies that if the MM0 verifier accepts any .mmb proof of this .mm0 file, then GC is in fact provable in PA.

The MM0 toolchain

- ▶ MM0 verifier is currently implemented in C (2000 LOC)
 - ▶ The MM1 proof assistant is in Rust
 - ▶ MMC implementation in progress (in Rust)
- ▶ Scales well to large developments
 - ▶ Can verify set.mm library of ~30000 proofs in 200 ms, faster than metamath itself (metamath.exe – 8 s, smm – 900 ms)
 - ▶ That's 10,000× faster than lean, although this is an incredibly unfair comparison for a number of reasons
 - ▶ The library of supporting material from PA for this project checks in 2 ms
- ▶ Proof terms are stored in the binary format as fully elaborated and fully deduplicated terms
 - ▶ Essentially linear time verification
- ▶ Proof size is comparable to compiled proof formats in other languages (.mm, .olean)

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- ▶ A theorem prover that can bootstrap itself can also be the foundation for others
- ▶ Proving Lean correct in MM0 is no easier than Lean in Lean, but we have another alternative: proof translation
- ▶ If all theorems in Lean can be translated in a proof preserving way to MM0 theorems, then Lean can be used as an MM0 IDE
 - ▶ MM0 gains all the benefits of Lean's user interface
 - ▶ We don't need to convince anyone to switch
 - ▶ Work on Lean → MM0 translation can proceed independently of new theorems to mathlib

Translation

- ▶ The MM0 formal system lies at the intersection of Metamath and second order logic, and so it has easy translation paths to each
 - ▶ $\text{MM} \rightarrow \text{MM0}$
 - ▶ $\text{MM0} \rightarrow \text{OpenTheory}$
 - ▶ $\text{MM0} \rightarrow \text{Lean}$
- ▶ The $\text{MM} \rightarrow \text{MM0}$ translator has been used to losslessly translate the entire Metamath ZFC library into MM0

theorem dirith' {n : \mathbb{N} } {a : \mathbb{Z} } (n0 : n \neq 0)
(g1 : int.gcd a n = 1) :
 \neg set.finite {x | nat.prime x \wedge \uparrow n | \uparrow x - a}

The Rosy Future

- ▶ Improved user experience in high level proof assistants like Lean means that more mathematicians can get involved
- ▶ The bottleneck on interesting formalized mathematics today is lack of mathematicians who know and care to formalize interesting mathematics
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 - ▶ Also, by translation *from* MM0, libraries can communicate formal content and build on material proved in other theorem provers
- ▶ The MM0 bootstrap itself can be improved independently, for example by verifying the electronic model of the hardware.

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Correctness: solved!

Conclusion

- ▶ Verification systems are the means by which we check mathematics in the computer
- ▶ Metamath and Lean are near polar opposite designs for a theorem prover
 - ▶ (I recommend Lean for mathematicians)
- ▶ To improve on the correctness frontier, we need to verify the verifier
- ▶ The goal of the MM0 project is to prove a correctness theorem for the verifier of the form “if execution of verifier V according to the semantics of x86 machine code reports that theorem φ follows from axioms T , then $T \vdash \varphi$ ”
- ▶ Large parts of the project are already complete, and you can play with the MM1 proof assistant today

Resources

- ▶ Metamath: <http://us.metamath.org/>
- ▶ Lean/mathlib: <http://leanprover-community.github.io/>
- ▶ Metamath Zero: <https://github.com/digama0/mm0>
- ▶ Lean Zulip: <https://leanprover.zulipchat.com/>
 - ▶ Ask me anything on Zulip, I'm there a lot

Thanks!