



Dynkin Diagrams of Simple Lie Algebras



$A_n(4)$	$B_2(3)$	$C_3(3)$	$D_4(2)$	${}^2D_4(2^2)$
25 930	4 000 000 000	174 382 400	107 406 720	
$B_4(4)$	$C_3(5)$	$D_4(3)$	${}^2D_4(3)$	
478 200	336 000	4 905 170 640 000	24 071 744	

$A_7$	$A_1(11)$	$E_6(2)$	$E_7(2)$	$E_8(2)$	$F_4(2)$	$G_2(3)$	${}^3D_4(2^3)$	${}^2E_6(2^2)$	${}^2B_2(2^3)$	${}^2F_4(2)^f$	${}^2G_2(3^3)$	$B_3(2)$	$C_4(3)$	$D_3(2)$	${}^2D_4(3)$
2 520	640	22 800 000 000 40 875 20 000	8 000 000	4 000 000 000	4 245 000	211 341 312	774 000 000	774 000 000 000	39 120	17 071 200	10 071 600 070	1 451 520	40 760 700 400 000 000	400 000 000	24 071 744

$A_8$	$A_1(13)$	$E_6(3)$	$E_7(3)$	$E_8(3)$	$F_4(3)$	$G_2(4)$	${}^3D_4(3^3)$	${}^2E_6(3^2)$	${}^2B_2(2^5)$	${}^2F_4(2^2)$	${}^2G_2(3^3)$	$B_2(5)$
20 160	1 092	22 800 000 000 474 400 700 000	8 000 000 000	4 000 000 000 000	4 774 400 700 000 474 400 700 000	211 396 600	22 800 000 000 000	22 800 000 000 000	32 137 400	264 760 160 400 400 700 000 000	10 000 407	4 680 000

$A_9$	$A_1(17)$	$E_6(4)$	$E_7(4)$	$E_8(4)$	$F_4(4)$	$G_2(5)$	${}^3D_4(4^3)$	${}^2E_6(4^2)$	${}^2B_2(2^7)$	${}^2F_4(2^3)$	${}^2G_2(3^3)$	$B_2(7)$
181 440	2 400	22 800 000 000 482 700 000 000	8 000 000 000 000	4 000 000 000 000 000	4 827 000 000 482 700 000 000	1 049 000 000	22 800 000 000 000 000	22 800 000 000 000 000	64 000 000	100 000 000 000	100 000 000 000	120 216

$A_n$	$A_n(q)$	$E_6(q)$	$E_7(q)$	$E_8(q)$	$F_4(q)$	$G_2(q)$	${}^3D_4(q^3)$	${}^2E_6(q^2)$	${}^2B_2(2^{n+1})$	${}^2F_4(2^{n+1})$	${}^2G_2(3^{n+1})$	$B_n(q)$
$\frac{n!}{q}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$	$\frac{n!}{q^n}$

- Alternating Groups
- Classical Chevalley Groups
- Classical Groups
- Classical Steinberg Groups
- Heisenberg Groups
- Suzuki Groups
- Unitary Groups and Their Group\*
- Sporadic Groups
- Simple Groups

\*The group  ${}^2G_2(3^k)$  is a twisted Chevalley group. It is the fixed point subgroup of  $G_2(3^k)$  under the Steinberg endomorphism  $\sigma$ . It is a simple group for  $k \geq 1$ .

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Alternant?  
Symbol  
Order?

$M_{11}$	$M_{12}$	$M_{22}$	$M_{23}$	$M_{24}$	$f(1), f(11)$	$H_1$	$H_2$	$H_{1M}$	$J_4$	$He$
7 920	93 600	443 520	10 200 768	244 821 040	175 760	404 830	10 232 768	96 770 070 688 277 500 000	64 332 000	898 128 000

$S_2$	$Suz$	${}^2F_4(2)$	$O'N$	$O_3$	$O_2$	$O_1$	$H,N$	$Ly$	$T_h$	$F_{22}$	$F_{23}$	$F_{24}^*$	$B$	$M$
480 540 987 600	480 540 987 600	480 768 000 000	52 360 512 000	4 187 776 000	273 000	31 768 074	90 768 768	400 000 000	407 972 500	64 944 704 448 000	1 200 000 768 000	64 770 202 500		

\*The group  $F_{24}^*$  is a twisted Chevalley group. It is the fixed point subgroup of  $F_{24}$  under the Steinberg endomorphism  $\sigma$ . It is a simple group for  $k \geq 1$ .



# ON THE COMPUTATION OF GREEN FUNCTIONS

## Lie Groups and Representation Theory Seminar

University of Maryland, 7 April 2021

- Fix a prime  $p$  and a simple algebraic group  $G$  over  $k = \overline{\mathbb{F}}_p$ .
- Assume  $G$  defined over  $\mathbb{F}_q \subseteq k$  ( $q = p^f$ ), with Frobenius map  $F: G \rightarrow G$ .
- Obtain finite group  $G(\mathbb{F}_q) = G^F = \{g \in G \mid F(g) = g\}$ .  
 $|G(\mathbb{F}_q)| = q^{\dim G} + \text{smaller powers of } q$ .

### General aim/program:

Determine  $\text{Irr}(G^F) = \text{complex irreducible characters of } G^F$ .

- Parametrisation, values on semisimple elements: solved (Lusztig 1980s).
- Arbitrary elements: theory of character sheaves (Lusztig 1984–today) ...
- ... where certain normalisations (roots of unity) remain to be determined.

*And with this, create an electronic ATLAS of “generic” character tables, extending the famous Cambridge ATLAS of finite groups.*

(E.g., the latter contains character table of  $F_4(\mathbb{F}_2)$ ; would like this for  $F_4(\mathbb{F}_{2^f})$ , all  $f \geq 1$ .)

## In this talk:

- Special case for above program: “Green functions”.
- “Normalisation problem” for Green functions solved in most cases, mainly by work of Beynon–Spaltenstein, Shoji and Lusztig (1980s–2000s).
- Will explain how to solve last remaining open cases, for  $G$  of exceptional types (uses computer calculations).

## Platform for electronic ATLAS and computer calculations (ongoing project).

CHEVIE: M.Geck, G.Hiss, F.Luebeck, G.Malle, J.Michel, G.Pfeiffer

<http://www.math.rwth-aachen.de/~CHEVIE/>

Implemented in GAP3; 64-bit version, with many extensions, see:

<http://webusers.imj-prg.fr/~jmichel/gap3>

Latest development: Port to Julia language (J. Michel).

Let  $T \subseteq G$  be an  $F$ -stable maximal torus and  $\theta \in \text{Irr}(T^F)$

$\leadsto R_{T,\theta}$  virtual character of  $G^F$  (Deligne and Lusztig 1970s).

Let  $G_{\text{uni}}$  be the variety of unipotent elements of  $G$

$\leadsto$  **Green function**  $Q_T: G_{\text{uni}}^F \rightarrow \overline{\mathbb{Q}}_\ell, u \mapsto R_{T,\theta}(u)$ .

- $Q_T$  has values in  $\mathbb{Z}$ , and does not depend on  $\theta$ .
- Character formula: Get all values of  $R_{T,\theta}$  from  $Q_T$  and inductive procedure.

Lusztig 1984: Knowledge of all  $Q_T$ 's  $\leadsto$  “average value” character table of  $G^F$ .

Let  $\rho \in \text{Irr}(G^F)$  and  $C$  be an  $F$ -stable conjugacy class of  $G$ . Then  $C^F$  splits into finitely many classes in  $G^F$ , with representatives  $g_1, \dots, g_r \in C^F$  say.

$\leadsto$  “average value”  $AV(\rho, C) := \sum_{1 \leq i \leq r} [A_i : A_i^F] \rho(g_i)$ ,

where  $A_i = C_G(g_i)/C_G^\circ(g_i)$  finite group (with induced action of  $F$ ).

Assume from now on:  $G$  of adjoint type, defined and split over  $\mathbb{F}_q$ .

The  $G^F$ -conjugacy classes of  $F$ -stable maximal tori  $T \subseteq G$  are parametrised by the conjugacy classes of  $W$  (Weyl group of  $G$ ); write  $T = T_w$  for  $w \in W$ .

- “New” Green functions  $Q_\phi := |W|^{-1} \sum_{w \in W} \phi(w) Q_{T_w}$  for  $\phi \in \text{Irr}(W)$ .
- Values of  $Q_\phi$  are given by  $m \times n$  table, where  
 $m = |\text{Irr}(W)|$  and  $n =$  number of unipotent classes of  $G^F$ .

**Example:**  $G$  of type  $E_8$ .

$$m = |\text{Irr}(W)| = 112 \quad \text{and} \quad n = \begin{cases} 146 & \text{if } p = 2, \\ 127 & \text{if } p = 3, \\ 117 & \text{if } p = 5, \\ 113 & \text{if } p > 5, \end{cases} \quad (\text{Mizuno 1980}).$$

Above assumption implies: If  $C$  is a unipotent class of  $G$ , then  $F(C) = C$  and there exists  $u \in C^F$  such that  $F$  acts trivially on  $A(u) = C_G(u)/C_G^\circ(u)$ .

## Values of $Q_\phi$ “almost known” by a general, purely combinatorial algorithm.

- For  $\phi \in \text{Irr}(W)$  there is a unique unipotent class  $C = C_\phi$  of  $G$  such that

$$\{g \in G_{\text{uni}}^F \mid Q_\phi(g) \neq 0\} \subseteq \bar{C} \quad \text{and} \quad Q_\phi|_{C^F} \neq 0.$$

Thus, obtain a map  $\phi \mapsto C_\phi$  (= Springer correspondence).

- Set  $d_\phi := (\dim G - \dim C_\phi - \text{rank}(G))/2 \in \mathbb{Z}_{\geq 0}$ . Define  $Y_\phi: G_{\text{uni}}^F \rightarrow \mathbb{Q}$  by

$$Q_\phi|_{C_\phi^F} = q^{d_\phi} Y_\phi \quad (\text{and extend by } 0 \text{ outside } C_\phi^F).$$

- Lusztig, Shoji, ... (1976–2012): There are unique  $p_{\phi',\phi} \in \mathbb{Z}$  such that

$$Q_\phi = \sum_{\phi' \in \text{Irr}(W)} q^{d_\phi} p_{\phi',\phi} Y_{\phi'} \quad \text{for all } \phi \in \text{Irr}(W).$$

Matrix  $(p_{\phi',\phi})$  is triangular with 1 on diagonal.

It can be computed by a purely combinatorial algorithm, which relies on a priori knowledge of the map  $\phi \mapsto C_\phi$ , i.e., Springer correspondence for  $G$ .

- $\leadsto$  Function `ICCTable` in J. Michel’s version of CHEVIE.

“Almost known” ? Let  $\phi \in \text{Irr}(W)$ ,  $C = C_\phi$ , and  $Y_\phi$  be the corresponding function. The remaining problem is to determine the values of  $Y_\phi$  on  $C_\phi^F$ .

- Let  $u_\phi \in C_\phi^F$  be such that  $F$  acts trivially on  $A(u_\phi) = C_G(u_\phi)/C_G^\circ(u_\phi)$ .
- Springer correspondence also associates to  $\phi$  a character  $\psi_\phi \in \text{Irr}(A(u_\phi))$ .
- Let  $a_1, \dots, a_r \in A(u_\phi)$  be representatives of the conjugacy classes of  $A(u_\phi)$ .
- There are corresponding representatives  $u_1, \dots, u_r \in C_\phi^F$  of the conjugacy classes of  $G^F$  into which  $C_\phi^F$  splits.

Then there exists a sign  $\delta_\phi = \pm 1$  such that  $Y_\phi(u_i) = \delta_\phi \psi_\phi(a_i)$  for all  $i$ .

↪ *Everything is reduced to the — tricky! — task of determining the signs  $\delta_\phi$ .*

- For  $G$  of classical type, signs are determined by Shoji (1980s, 2007).
- For  $G$  of exceptional type, Beynon–Spaltenstein (1984), except for cases where  $p$  is small.
- For  $G_2, {}^3D_4, F_4, E_6, {}^2E_6$  and  $p$  small, various explicit computations by Enomoto, Enomoto–Yamada, Spaltenstein, Malle, Porsch, Marcelo–Shinoda (1970s–1990s).

Open cases:  ${}^2E_6(\mathbb{F}_{3^f})$ ,  $E_7(\mathbb{F}_{2^f})$ ,  $E_7(\mathbb{F}_{3^f})$ ,  $E_8(\mathbb{F}_{2^f})$ ,  $E_8(\mathbb{F}_{3^f})$ ,  $E_8(\mathbb{F}_{5^f})$ .

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**Theorem** (G., 2020) Let  $\phi \in \text{Irr}(W)$  and  $\delta_\phi$  be the corresponding sign.

For  $n \geq 1$ , consider the group  $G^{F^n} = G(\mathbb{F}_{q^n})$  and the Green function  $Q_{\phi,n}: G_{\text{uni}}^{F^n} \rightarrow \mathbb{Q}$ , with corresponding sign  $\delta_{\phi,n}$ . Then we have  $\delta_{\phi,n} = \delta_\phi^n$ .

Proof uses interpretation of Green functions in terms of character sheaves, work of Lusztig and Shoji; and there are no restrictions on the characteristic  $p$ .

Theorem motivated by general character theory of finite groups. Let  $\Gamma$ ,  $S$  be finite groups of coprime order such that  $S$  is solvable and acts by automorphisms on  $\Gamma$ .

**Glauberman correspondence:**  $\text{Irr}_S(\Gamma) \xleftrightarrow{1-1} \text{Irr}(C_\Gamma(S)), \quad \chi \leftrightarrow \chi^*.$

**Problem/Conjecture** (1990s): *The degree of  $\chi^*$  divides the degree of  $\chi$ .*



Hartley–Turull (1994): (1) Reduction to finite simple groups; (2) by classification: difficult case are groups of Lie type; and (3) for these, it is enough to show:

### Congruence condition for Green functions.

Let  $T \subseteq G$  be an  $F$ -stable maximal torus and  $u \in G^F$  be unipotent.

Let  $r \in \mathbb{N}$  be a prime such that  $r \nmid |G^{Fr}|$ . Then  $Q_{T,F}(u) \equiv Q_{T,Fr}(u) \pmod{r}$ .

In G. 2020, this is deduced from the theorem; so,  $\chi^*(1) \mid \chi(1)$  holds in general !

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### Back to problem of determining the signs $\delta_\phi$ for $\phi \in \text{Irr}(W)$ .

Recall  $G^F = G(\mathbb{F}_q)$  where  $q = p^f$  with  $f \geq 1$ .

- Theorem implies that it is enough to compute  $\delta_\phi$  assuming  $f = 1$ .
- Hence, “only” need to compute values of  $Q_\phi$  for the 6 individual groups

$${}^2E_6(\mathbb{F}_3), \quad E_7(\mathbb{F}_2), \quad E_7(\mathbb{F}_3), \quad E_8(\mathbb{F}_2), \quad E_8(\mathbb{F}_3), \quad E_8(\mathbb{F}_5).$$

**Open case challenge:**  $G(\mathbb{F}_q) = E_8(\mathbb{F}_2)$ ; 112 functions  $Q_\phi$ .

If character table of  $E_8(\mathbb{F}_2)$  was known (like for other groups as in the Cambridge ATLAS), then we could easily determine the 112 missing signs.

But:  $|E_8(\mathbb{F}_2)| \approx 3 \cdot 10^{73}$  and size of character table is  $1156 \times 1156$

(see <http://www.math.rwth-aachen.de/~Frank.Luebeck/chev/index.html>)

Brute force methods won't work. Solved by alternative methods, as follows.

Recall:  $Q_\phi$ 's linear combinations of  $Y_\phi$ 's,  $Y_\phi$  known up to  $\delta_\phi$ . Further information:

Let  $B \subseteq G$  be an  $F$ -stable Borel subgroup and  $T_1 \subseteq B$  an  $F$ -stable maximal torus.

$R_{T_1,1}$  = permutation character of  $G^F$  on cosets of  $B^F$ .

So, if  $u \in G^F$  is unipotent, then

$$\sum_{\phi \in \text{Irr}(W)} \phi(1) Q_\phi(u) = Q_{T_1}(u) = R_{T_1,1}(u) = |\{gB^F \in G^F/B^F \mid g^{-1}ug \in B^F\}|.$$

Hence, if we can compute the right hand side, then we may get information on  $\delta_\phi$ 's.

**Example:**  $G^F = E_8(\mathbb{F}_q)$  with  $q = p^f$  where  $p \neq 3$

Let  $C =$  unipotent class  $E_8(b_6)$  with representative  $u = z_{77}$  (Mizuno 1980,  $D_8(a_3)$ ).

We have  $A(u) = C_G(u)/C_G^\circ(u) \cong \mathfrak{S}_3$  and  $|C_G(u)^F| = 6q^{28}$ .

There are three  $\phi \in \text{Irr}(W)$  such that  $C_\phi = C$ , denoted  $2240_{10}$ ,  $175_{12}$  and  $840_{13}$ . (Springer correspondence known by Spaltenstein 1982, 1985.)

Want to determine  $\delta_{2240_{10}} = \pm 1$ ,  $\delta_{175_{12}} = \pm 1$ ,  $\delta_{840_{13}} = \pm 1$ .

Beynon–Spaltenstein (1984): If  $p > 5$ , then  $\delta_{2240_{10}} = \delta_{175_{12}} = 1$ ,  $\delta_{840_{13}} \equiv \mathbf{q} \pmod{\mathbf{3}}$ .

For  $p \in \{2, 5\}$ , run the GAP algorithm ICCTable. This yields the following identity:

$$\begin{aligned} |\{gB(\mathbb{F}_q) \in G(\mathbb{F}_q)/B(\mathbb{F}_q) \mid g^{-1}z_{77}g \in B(\mathbb{F}_q)\}| &= R_{T_1,1}(z_{77}) = Q_{T_1}(z_{77}) \\ &= (2240q^{10} + 3688q^9 + 3444q^8 + 2360q^7 + 1351q^6 + 672q^5 + 294q^4 + 112q^3 \\ &\quad + 35q^2 + 8q + 1)\delta_{2240_{10}} + 350q^{10}\delta_{175_{12}} + (840q^{10} + 650q^9 + 160q^8)\delta_{840_{13}} \end{aligned}$$

By Theorem, we only need to consider the cases where  $q = p$ .

For  $q = p = 2$ , obtain identity  $|\{gB(\mathbb{F}_2) \in G(\mathbb{F}_2)/B(\mathbb{F}_2) \mid g^{-1}z_{77}g \in B(\mathbb{F}_2)\}|$   
 $= 5,479,485 \delta_{2240_{10}} + 358,400 \delta_{175_{12}} + 1,233,920 \delta_{840_{13}}$ .

For  $q = p = 5$ , obtain identity  $|\{gB(\mathbb{F}_5) \in G(\mathbb{F}_5)/B(\mathbb{F}_5) \mid g^{-1}z_{77}g \in B(\mathbb{F}_5)\}|$   
 $= 30,631,220,541 \delta_{2240_{10}} + 3,417,968,750 \delta_{175_{12}} + 9,535,156,250 \delta_{840_{13}}$ .

In both cases, we can already conclude that  $\delta_{2240_{10}} = 1$ .

Now, total number of cosets  $G(\mathbb{F}_p)/B(\mathbb{F}_p)$  is still huge, roughly  $\sqrt{|G(\mathbb{F}_p)|}$ .  
So practically impossible to create actual permutation representation.

Consider Bruhat decomposition  $G(\mathbb{F}_p) = \bigsqcup_{w \in W} B(\mathbb{F}_p)wB(\mathbb{F}_p)$ ;

each double coset  $B(\mathbb{F}_p)wB(\mathbb{F}_p)$  contains exactly  $p^{\ell(w)}$  cosets of  $B(\mathbb{F}_p)$ .

$\leadsto$  Systematic way of enumerating (in principle) all coset representatives  
for  $G(\mathbb{F}_p)/B(\mathbb{F}_p)$ , proceeding by increasing  $\ell(w)$ .

Can use matrix realization of  $G(\mathbb{F}_p)$  to perform these computations.

This is not very efficient but it was good enough to obtain:

**Proposition** (G. 2020). Re-compute, or compute for the first time, the signs  $\delta_\phi$  for all exceptional  $G \neq E_8$  and  $p = 2, 3$ . In all these cases, we always have  $\delta_\phi = 1$ .

- Suggestions of F. Lübeck: Instead of matrices, work with Chevalley generators  $x_\alpha(t)$  of  $G$  (where  $\alpha$  is a root,  $t \in k$ ) and commutator relations.
- Number of cosets fixed by an element can be obtained as number of  $\mathbb{F}_p$ -solutions of system of polynomial equations in several variables.
- $\leadsto$  Julia package [ChevLie1.1](#) (G.; independent GAP programs by Lübeck).

Back to  $E_8$ : For  $p = 2$ , number of cosets fixed by  $z_{77}$  should equal

$$5,479,485 + 358,400 \delta_{175_{12}} + 1,233,920 \delta_{840_{13}}.$$

With [ChevLie1.1](#), find exact number of cosets fixed by  $z_{77}$  : **4,603,965**.

(This takes  $< 2$  mins on my laptop.) Hence,  $\delta_{175_{12}} = 1$  and  $\delta_{840_{13}} = -1$ .

For  $p = 5$ , number of cosets fixed by  $z_{77}$  should equal

$$30,631,220,541 + 3,417,968,750 \delta_{175_{12}} + 9,535,156,250 \delta_{840_{13}}.$$

With ChevLie1.1, find all 24,514,033,041 (!!!) cosets fixed by  $z_{77}$  in  $< 4$  mins.

(Note  $[G(\mathbb{F}_5) : B(\mathbb{F}_5)] \approx 4 \cdot 10^{84}$ .) Hence, again,  $\delta_{175_{12}} = 1$  and  $\delta_{840_{13}} = -1$ .

Lübeck (2021) has now worked through all remaining classes in  $E_8$  and  $p = 2, 3, 5$ .

**Corollary.** The Green functions are explicitly known in all cases (all  $G$ ). The class  $C = E_8(b_6)$  considered above is the only example where we can have  $\delta_\phi = -1$ .

### Next steps:

- Compute Lusztig's **generalised** Green functions.
- Determine complete tables of values (not just average values) of unipotent characters of  $G(\mathbb{F}_q)$ , for  $G$  of exceptional type and all  $q = p^f$ ,  $f \geq 1$ .