



# Ground States and Dynamics of the Nonlinear Schrodinger / Gross-Pitaevskii Equations

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# Outline

## ⚡ Nonlinear Schrodinger / Gross-Pitaevskii equations

### ⚡ Ground states

- Existence, uniqueness & non-existence
- Numerical methods & results

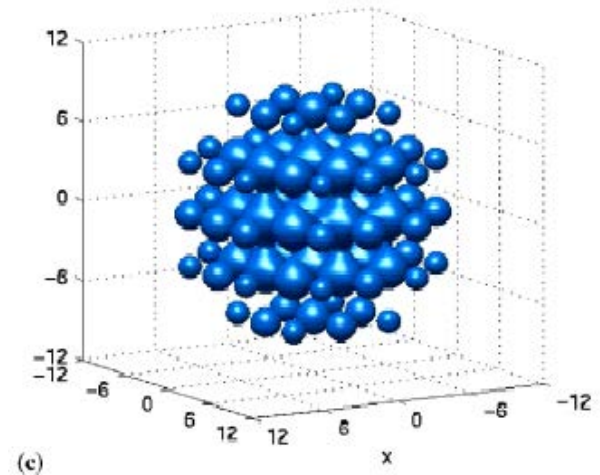
### ⚡ Dynamics

- Well-posedness & dynamical laws
- Numerical methods & results

### ⚡ Applications --- collapse & explosion of a BEC

### ⚡ Extension to rotation, nonlocal interaction & system

### ⚡ Conclusions



(c)

# NLSE / GPE

- The nonlinear **Schrodinger** equation (**NLSE**) ---1925

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x}) \psi + \beta |\psi|^2 \psi$$

- $t$  : time &  $\vec{x} (\in \mathbb{R}^d)$  : spatial coordinate ( $d=1,2,3$ )
- $\psi(\vec{x}, t)$  : complex-valued wave function
- $V(\vec{x})$  : real-valued external potential
- $\beta$  : dimensionless interaction constant
  - =0: linear; >0(<0): repulsive (attractive) interaction
- **Gross-Pitaevskii** equation (**GPE**) :
  - E. Schrodinger 1925';
  - E.P. Gross 1961'; L.P. Pitaevskii 1961'



# Model for BEC

## ☛ Bose-Einstein condensation (BEC):

- Bosons at nano-Kelvin temperature
- Many atoms occupy in one orbit -- at quantum mechanical ground state
- Form like a `super-atom', New matter of wave --- fifth state

## ☛ Theoretical prediction – S. Bose & E. Einstein 1924'

## ☛ Experimental realization – JILA 1995'

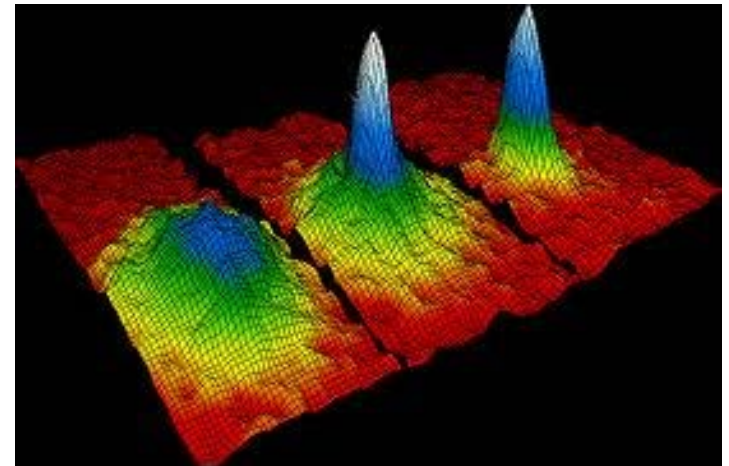
## ☛ 2001 Noble prize in physics

- E. A. Cornell, W. Ketterle, C. E. Wieman

## ☛ Mean-field approximation

- Gross-Pitaevskii equation (GPE) :

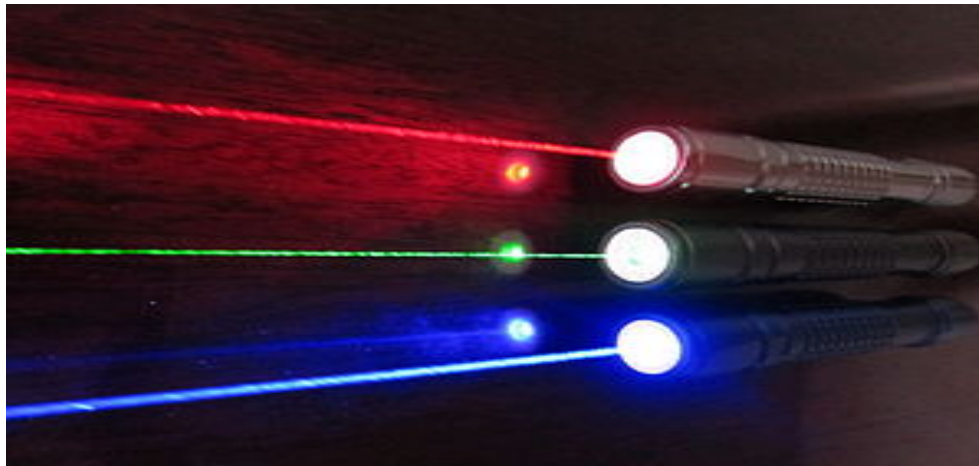
- E.P. Gross 1961'; L.P. Pitaevskii 1961'



BEC@ JILA

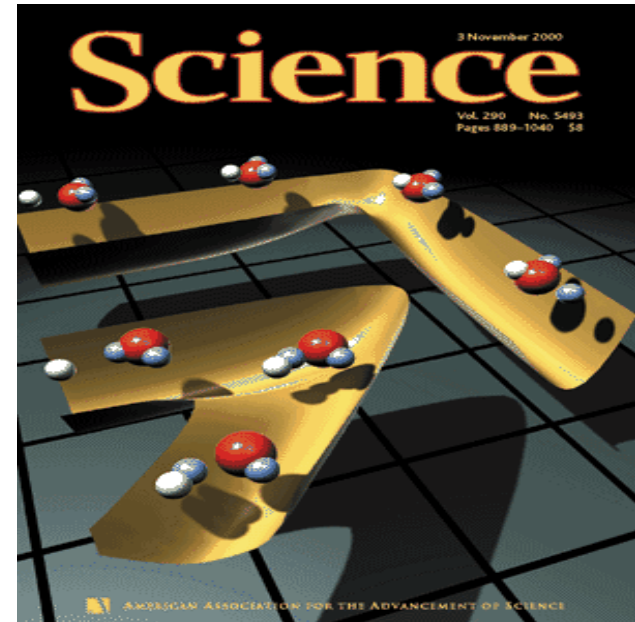
# Laser beam propagation

- ✦ Nonlinear **wave** (or **Maxwell**) equations
- ✦ **Helmholtz** equation – time harmonic
- ✦ In a **Kerr** medium
- ✦ **Paraxial** (or **parabolic**) approximation -- **NLSE**



# Other applications

- ✚ In **plasma** physics: wave interaction between electrons and ions
  - Zakharov system, .....
- ✚ In quantum **chemistry**: chemical interaction based on the first principle
  - Schrodinger-Poisson system
- ✚ In **materials science**:
  - First principle computation
  - Semiconductor industry
- ✚ In nonlinear (quantum) **optics**
- ✚ In **biology** – protein folding
- ✚ In **superfluids** – flow without friction





# Conservation laws

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x}) \psi + \beta |\psi|^2 \psi$$

✚ **Dispersive**

✚ **Time symmetric**:  $t \rightarrow -t$  & take conjugate  $\Rightarrow$  unchanged!!

✚ **Time transverse** (gauge) invariant

$$V(\vec{x}) \rightarrow V(\vec{x}) + \alpha \Rightarrow \psi \rightarrow \psi e^{-i\alpha t} \Rightarrow \rho = |\psi|^2 \text{ --unchanged!!}$$

✚ **Mass** conservation

$$N(t) := N(\psi(\cdot, t)) = \int_{\mathbb{R}^d} |\psi(\vec{x}, t)|^2 d\vec{x} \equiv \int_{\mathbb{R}^d} |\psi(\vec{x}, 0)|^2 d\vec{x} = 1, \quad t \geq 0$$

✚ **Energy** conservation

$$E(t) := E(\psi(\cdot, t)) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla \psi|^2 + V(x) |\psi|^2 + \frac{\beta}{2} |\psi|^4 \right] d\vec{x} \equiv E(0), \quad t \geq 0$$

# Stationary states

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x}) \psi + \beta |\psi|^2 \psi$$

✚ Stationary states (ground & excited states)

$$\psi(\vec{x}, t) = \phi(\vec{x}) e^{-it\mu}$$

✚ Nonlinear eigenvalue problems: Find  $(\mu, \phi)$  s.t.

$$\mu \phi(\vec{x}) = -\frac{1}{2} \nabla^2 \phi(\vec{x}) + V(\vec{x}) \phi(\vec{x}) + \beta |\phi(\vec{x})|^2 \phi(\vec{x}), \quad \vec{x} \in \mathbb{R}^d$$

$$\text{with } \|\phi\|^2 := \int_{\mathbb{R}^d} |\phi(\vec{x})|^2 d\vec{x} = 1$$

✚ Time-independent NLSE or GPE:

✚ Eigenfunctions are

- Orthogonal in linear case & Superposition is valid for dynamics!!
- Not orthogonal in nonlinear case !!!! No superposition for dynamics!!!



# Ground states

✚ The **eigenvalue** is also called as **chemical potential**

$$\mu := \mu(\phi) = E(\phi) + \frac{\beta}{2} \int_{\mathbb{R}^d} |\phi(\vec{x})|^4 d\vec{x}$$

– With **energy**

$$E(\phi) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla \phi(\vec{x})|^2 + V(\vec{x}) |\phi(\vec{x})|^2 + \frac{\beta}{2} |\phi(\vec{x})|^4 \right] d\vec{x}$$

✚ **Ground states** -- **nonconvex** minimization problem

$$E(\phi_g) = \min_{\phi \in S} E(\phi) \quad S = \{ \phi \mid \|\phi\| = 1, \quad E(\phi) < \infty \}$$

– **Euler-Lagrange** equation  $\rightarrow$  nonlinear eigenvalue problem

# Existence & uniqueness

$$C_b = \inf_{0 \neq f \in H^1(\mathbb{R}^2)} \frac{\|\nabla f\|_{L^2(\mathbb{R}^2)}^2 \|f\|_{L^2(\mathbb{R}^2)}^2}{\|f\|_{L^4(\mathbb{R}^2)}^4}$$

⚡ **Theorem** (Lieb, etc, PRA, 02'; Bao & Cai, KRM, 13') If potential is confining

$$V(\vec{x}) \geq 0 \text{ for } \vec{x} \in \mathbb{R}^d \quad \& \quad \lim_{|\vec{x}| \rightarrow \infty} V(\vec{x}) = \infty$$

– There exists a ground state if one of the following holds

(i)  $d = 3$  &  $\beta \geq 0$ ; (ii)  $d = 2$  &  $\beta > -C_b$ ; (iii)  $d = 1$  &  $\beta \in \mathbb{R}$

– The ground state can be chosen as nonnegative  $|\phi_g|$ , i.e.  $\phi_g = |\phi_g| e^{i\theta_0}$

– Nonnegative ground state is unique if  $\beta \geq 0$

– The nonnegative ground state is strictly positive if  $V(\vec{x}) \in L^2_{\text{loc}}$

– There is no ground states if one of the following holds

(i)'  $d = 3$  &  $\beta < 0$ ; (ii)'  $d = 2$  &  $\beta \leq -C_b$

# Key Techniques in Proof

✚ **Positivity** & semi-lower continuous

$$E(\phi) \geq E(|\phi|) = E(\sqrt{\rho}), \quad \forall \phi \in S \quad \text{with } \rho = |\phi|^2$$

✚ The energy  $\tilde{E}(\rho) := E(\sqrt{\rho})$  is **bounded below** if conditions (i) or (ii) or (iii) and strictly **convex** if  $\beta \geq 0$

✚ **Confinement** potential implies decay at far field

✚ The set  $S = \left\{ \rho \mid \int_{\mathbb{R}^d} \rho(\vec{x}) d\vec{x} = 1 \text{ \& } \tilde{E}(\rho) < \infty \right\}$  is **convex** in  $\rho$

✚ Using **convex** minimization theorem

✚ **Non-existence** result

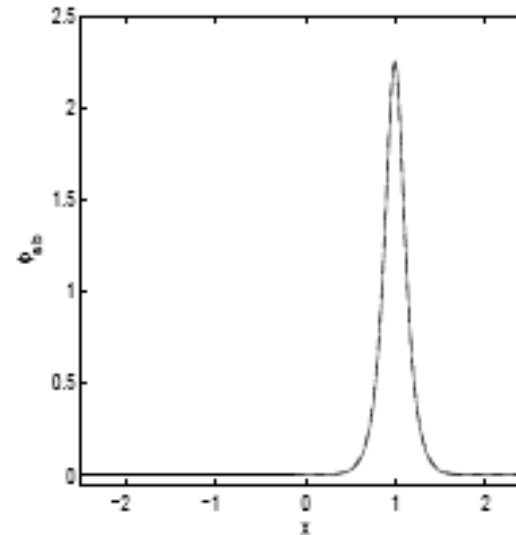
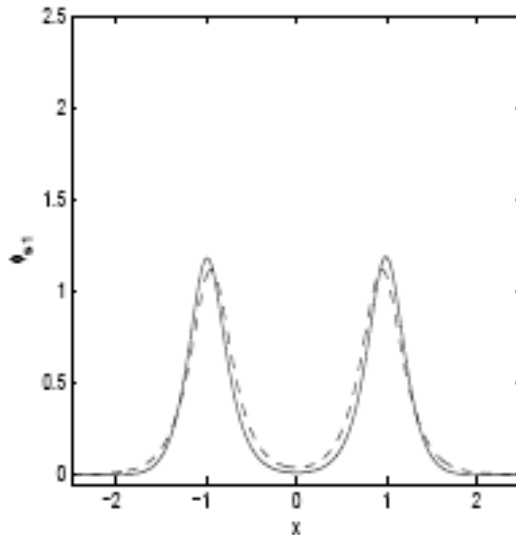
$$\phi_\varepsilon(\vec{x}) = \frac{1}{(2\pi\varepsilon)^{d/4}} \exp\left(-\frac{|\vec{x}|^2}{2\varepsilon}\right), \quad \vec{x} \in \mathbb{R}^d \quad \text{with } \varepsilon \rightarrow 0$$

# Phase transition – symmetry breaking

⚡ **Attractive** interaction with double-well potential in 1D

$$\mu \phi(x) = -\frac{1}{2} \phi''(x) + V(x)\phi(x) + \beta |\phi(x)|^2 \phi(x), \quad \text{with} \quad \int_{-\infty}^{\infty} |\phi(x)|^2 dx = 1$$

$$V(x) = (x^2 - 1)^2 \quad \& \quad \beta: \text{positive} \rightarrow 0 \rightarrow \text{negative}$$



# Excited states

✚ Excited states:  $\phi_1, \phi_2, \phi_3, \dots$

✚ Open question: (Bao & W. Tang, JCP, 03'; Bao, F. Lim & Y. Zhang, Bull Int. Math, 06')

$$\varphi_g, \quad \varphi_1, \quad \varphi_2, \quad \dots$$

$$E(\varphi_g) < E(\varphi_1) \leq E(\varphi_2) \leq \dots$$

$$\mu(\varphi_g) < \mu(\varphi_1) \leq \mu(\varphi_2) \leq \dots \quad ???????$$

✚ Gaps between ground and first excited states

$$\delta_\mu(\beta) := \mu(\phi_1^\beta) - \mu(\phi_g^\beta) > 0, \quad \delta_E(\beta) := E(\phi_1^\beta) - E(\phi_g^\beta) > 0$$

– Linear case – **fundamental gap** conjecture (B. Andrews & J. Clutterbuck, JAMS 11')

$$\delta := \delta_\mu(0) = \delta_E(0) \geq \frac{3\pi^2}{|D|} \quad \text{on bounded domain } D \subset \mathbb{R}^d$$

– **Nonlinear** case ??????

$$\delta_\mu(\beta) \geq C_1 > 0, \quad \delta_E(\beta) \geq C_2 > 0, \quad \beta \geq 0????$$

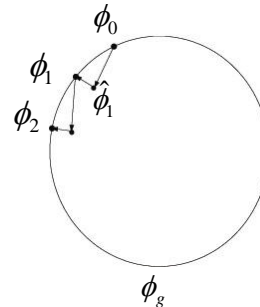
# Computing ground states

🔦 Idea: Steepest decent method + Projection

$$\partial_t \varphi(\vec{x}, t) = -\frac{1}{2} \frac{\delta E(\varphi)}{\delta \varphi} = \frac{1}{2} \nabla^2 \varphi - V(\vec{x})\varphi - \beta |\varphi|^2 \varphi, \quad t_n \leq t < t_{n+1}$$

$$\varphi(\vec{x}, t_{n+1}) = \frac{\varphi(\vec{x}, t_{n+1}^-)}{\|\varphi(\vec{x}, t_{n+1}^-)\|}, \quad n = 0, 1, 2, \dots$$

$$\varphi(\vec{x}, 0) = \varphi_0(\vec{x}) \quad \text{with} \quad \|\varphi_0(\vec{x})\| = 1.$$



$$E(\hat{\phi}_1) < E(\phi_0)$$

$$E(\hat{\phi}_1) < E(\phi_1)$$

$$E(\phi_1) < E(\phi_0) \quad ??$$

– The first equation can be viewed as choosing  $t = i\tau$  in NLS

– For linear case: (Bao & Q. Du, SIAM Sci. Comput., 03)

$$E_0(\phi(., t_{n+1})) \leq E_0(\phi(., t_n)) \leq \dots \leq E_0(\phi(., 0))$$

– For nonlinear case with small time step, CNGF



# Normalized gradient glow

🔗 **Idea:** letting time step go to 0 (Bao & Q. Du, SIAM Sci. Comput., 03')

$$\partial_t \phi(\vec{x}, t) = \frac{1}{2} \nabla^2 \phi - V(\vec{x}) \phi - \beta |\phi|^2 \phi + \frac{\mu(\phi(\cdot, t))}{\|\phi(\cdot, t)\|^2} \phi, \quad t \geq 0,$$

$$\phi(\vec{x}, 0) = \phi_0(\vec{x}) \quad \text{with} \quad \|\phi_0(\vec{x})\| = 1.$$

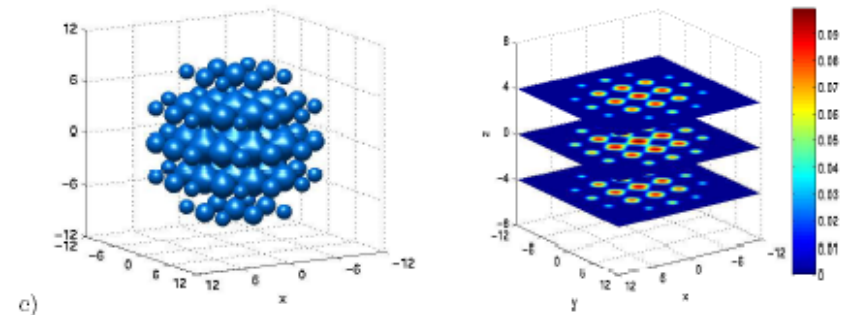
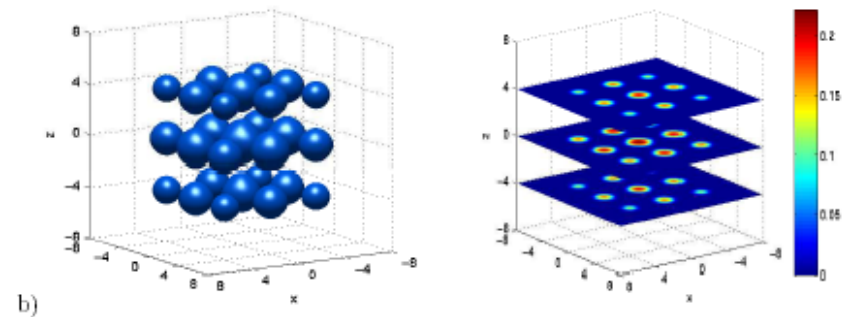
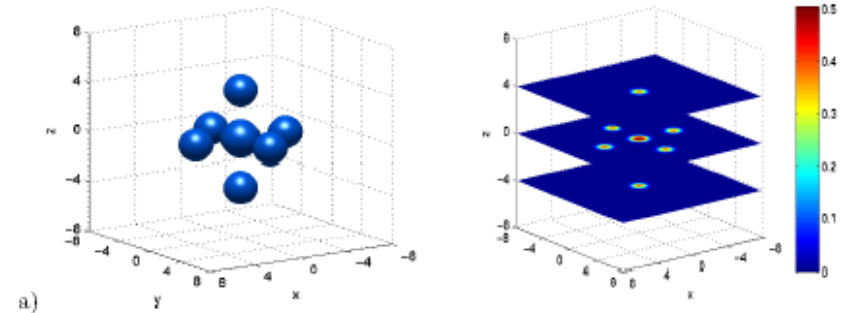
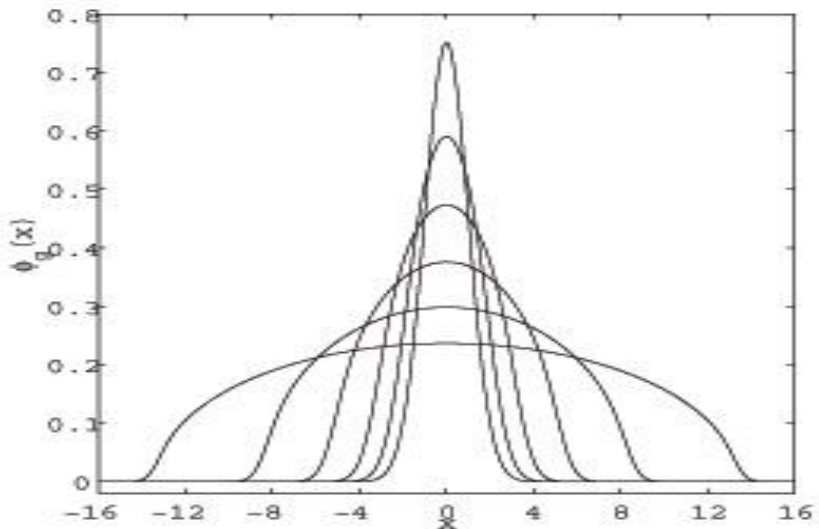
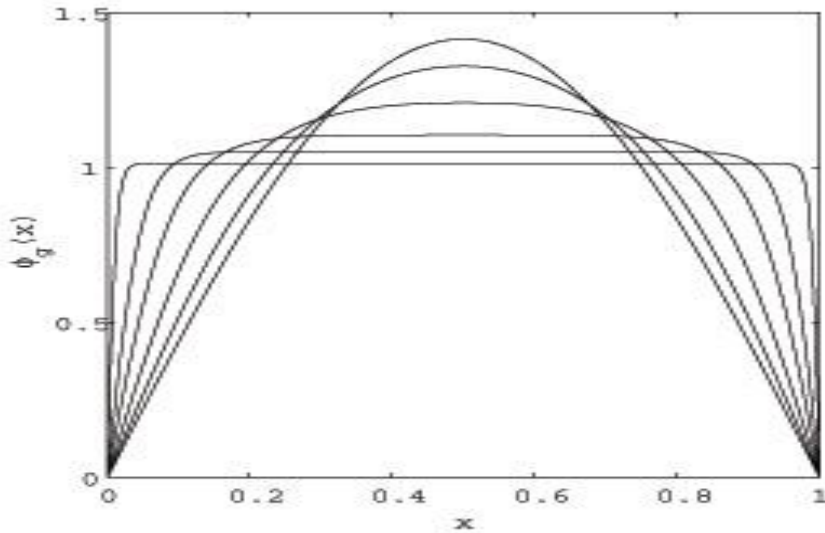
– Mass conservation & **energy** diminishing

$$\|\phi(\cdot, t)\| = \|\phi_0\| = 1, \quad \frac{d}{dt} E(\phi(\cdot, t)) \leq 0, \quad t \geq 0$$

– **Numerical** discretizations

- **BEFD:** Energy diminishing & monotone (Bao & Q. Du, SIAM Sci. Comput., 03')
- **TSSP:** Spectral accurate with splitting error (Bao & Q. Du, SIAM Sci. Comput., 03')
- **BESP:** Spectral accuracy in space & stable (Bao, I. Chern & F. Lim, JCP, 06')

# Ground states in 1D & 3D



# Dynamics

## Time-dependent NLSE / GPE

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x})\psi + \beta |\psi|^2 \psi, \quad \vec{x} \in \mathbb{R}^d, \quad t > 0$$

$$\psi(\vec{x}, 0) = \psi_0(\vec{x})$$

## Well-posedness & dynamical laws

- Well-posedness & finite time blow-up
- Dynamical laws
  - Soliton solutions
  - Center-of-mass
  - An exact solution under special initial data
- Numerical methods and applications

# Dynamics with **no** potential

$$V(\vec{x}) \equiv 0, \quad \vec{x} \in \mathbb{R}^d$$

✚ **Momentum** conservation  $\vec{J}(t) := \text{Im} \int_{\mathbb{R}^d} \bar{\psi} \nabla \psi d\vec{x} \equiv \vec{J}(0) \quad t \geq 0$

✚ **Dispersion** relation  $\psi(\vec{x}, t) = A e^{i(\vec{k} \cdot \vec{x} - \omega t)} \Rightarrow \omega = \frac{1}{2} |\vec{k}|^2 + \beta A^2$

✚ Soliton solutions in 1D:

– **Bright** soliton  $\beta < 0$  ----decaying to zero at far-field

$$\psi(x, t) = \frac{a}{\sqrt{-\beta}} \text{sech}(a(x - vt - x_0)) e^{i(vx - \frac{1}{2}(v^2 - a^2)t + \theta_0)}, \quad x \in \mathbb{R}, \quad t \geq 0$$

– **Dark** (or gray) soliton  $\beta > 0$  -- nonzero & oscillatory at far-field

$$\psi(x, t) = \frac{1}{\sqrt{\beta}} [a \tanh(a(x - vt - x_0)) + i(v - k)] e^{i(kx - \frac{1}{2}(k^2 + 2a^2 + 2(v - k)^2)t + \theta_0)}, \quad x \in \mathbb{R}, \quad t \geq 0$$

# Dynamics with harmonic potential

✦ **Harmonic potential**  $V(\vec{x}) = \frac{1}{2} \begin{cases} \gamma_x^2 x^2 & d = 1 \\ \gamma_x^2 x^2 + \gamma_y^2 y^2 & d = 2 \\ \gamma_x^2 x^2 + \gamma_y^2 y^2 + \gamma_z^2 z^2 & d = 3 \end{cases}$

✦ **Center-of-mass:**  $\vec{x}_c(t) = \int_{\mathbb{R}^d} \vec{x} |\psi(\vec{x}, t)|^2 d\vec{x}$

$\ddot{\vec{x}}_c(t) + \text{diag}(\gamma_x^2, \gamma_y^2, \gamma_z^2) \vec{x}_c(t) = 0, \quad t > 0 \Rightarrow \text{each component is periodic!!}$

✦ **An analytical solution if**  $\psi_0(\vec{x}) = \phi_s(\vec{x} - \vec{x}_0)$

$\psi(\vec{x}, t) = e^{-i\mu_s t} \phi_s(\vec{x} - \vec{x}_c(t)) e^{i w(\vec{x}, t)}, \quad \vec{x}_c(0) = \vec{x}_0 \quad \& \quad \Delta w(\vec{x}, t) = 0$

$\Rightarrow \rho(\vec{x}, t) := |\psi(\vec{x}, t)|^2 = |\phi_s(\vec{x} - \vec{x}_c(t))|^2 \quad \text{-- moves like a particle!!}$

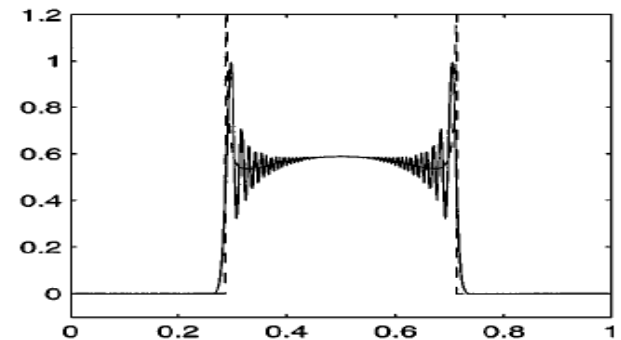
$\mu_s \phi_s(\vec{x}) = -\frac{1}{2} \nabla^2 \phi_s + V(\vec{x}) \phi_s + \beta |\phi_s|^2 \phi_s$

# Numerical difficulties

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x}) \psi + \beta |\psi|^2 \psi, \quad \vec{x} \in \mathbb{R}^d, \quad t > 0$$

$$\text{with } \psi(\vec{x}, 0) = \psi_0(\vec{x})$$

- ⚡ **Dispersive** & **nonlinear**
- ⚡ Solution and/or potential are **smooth** but may **oscillate** wildly
- ⚡ Keep the **properties** of NLS on the discretized level
  - Time reversible & time transverse invariant
  - Mass & energy conservation
  - Dispersion relation
- ⚡ In **high** dimensions: many-body problems
- ⚡ Design **efficient** & **accurate** numerical algorithms
  - **Explicit** vs **implicit** (or computation cost)
  - Spatial/temporal **accuracy**, **Stability**
  - **Resolution** in strong interaction regime:  $\beta \gg 1$





# Numerical methods

## ✦ Different methods

- Crank-Nicolson finite difference method (CNFD)
- Time-splitting spectral method (TSSP)
- Leap-frog (or RK4) + FD (or spectral) methods
- .....

## ✦ Time-splitting spectral method (TSSP)

$$i \partial_t \psi(\vec{x}, t) = (A + B) \psi \quad \text{with } A = -\frac{1}{2} \nabla^2, \quad B = V(\vec{x}) + \beta |\psi|^2$$

$$\psi(\vec{x}, t_{n+1}) = e^{-i(A+B)\Delta t} \psi(\vec{x}, t_n) \approx \begin{pmatrix} e^{-iA\Delta t} e^{-iB\Delta t} \psi(\vec{x}, t_n) + O((\Delta t)^2) \\ e^{-iA\Delta t/2} e^{-iB\Delta t} e^{-iA\Delta t/2} \psi(\vec{x}, t_n) + O((\Delta t)^3) \\ \dots + O((\Delta t)^5) \end{pmatrix}$$

# Time-splitting spectral method (TSSP)

⚡ For  $[t_n, t_{n+1}]$ , apply **time-splitting** technique

– Step 1: Discretize by **spectral method** & integrate in phase space **exactly**

$$i \partial_t \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi$$

– Step 2: solve the nonlinear ODE **analytically**

$$i \partial_t \psi(\vec{x}, t) = V(\vec{x}) \psi(\vec{x}, t) + \beta |\psi(\vec{x}, t)|^2 \psi(\vec{x}, t)$$

$$\Downarrow \partial_t (|\psi(\vec{x}, t)|^2) = 0 \Rightarrow |\psi(\vec{x}, t)| = |\psi(\vec{x}, t_n)|$$

$$i \partial_t \psi(\vec{x}, t) = V(\vec{x}) \psi(\vec{x}, t) + \beta |\psi(\vec{x}, t_n)|^2 \psi(\vec{x}, t)$$

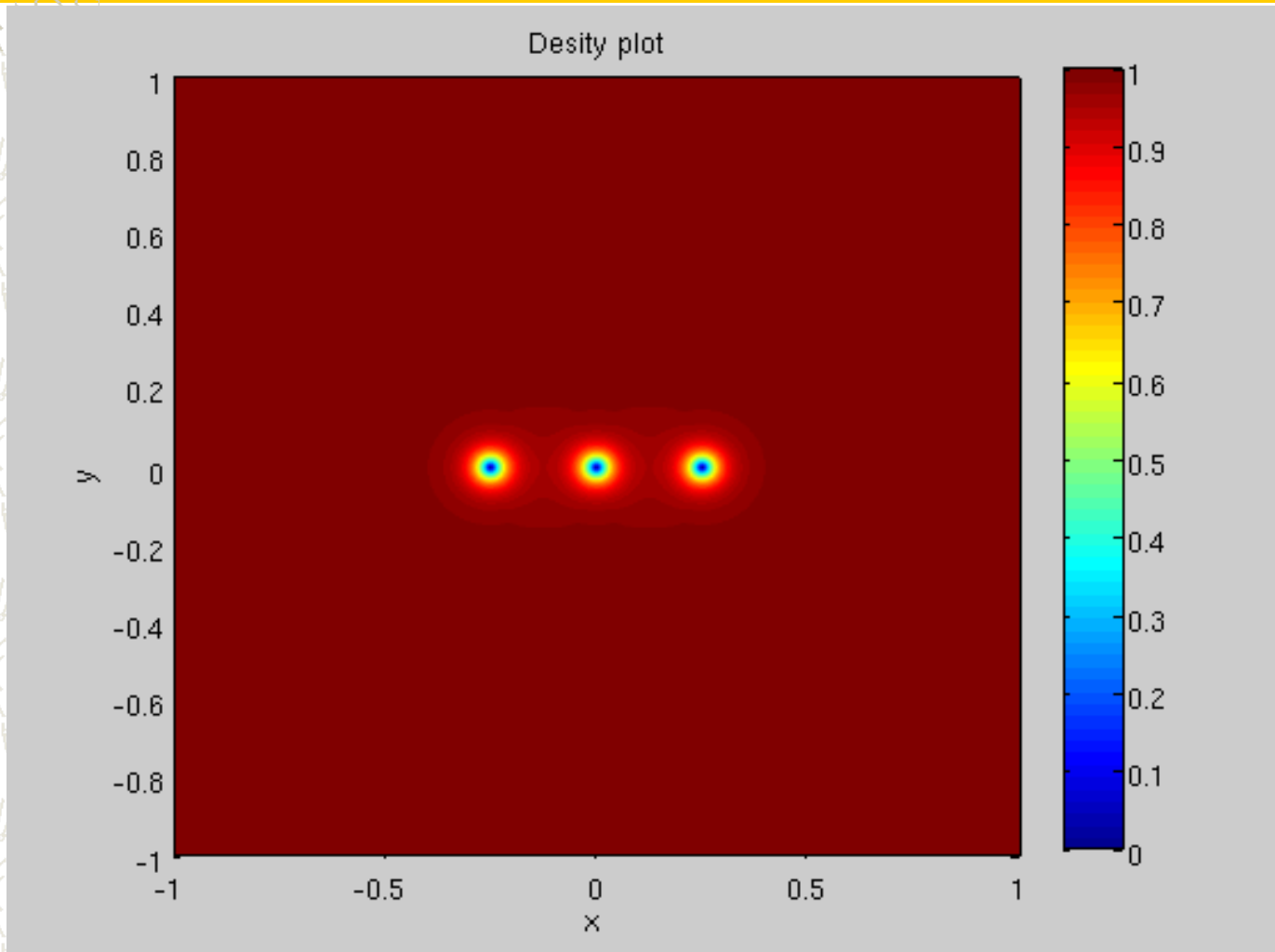
$$\Rightarrow \psi(\vec{x}, t) = e^{-i(t-t_n)[V(x) + \beta |\psi(\vec{x}, t_n)|^2]} \psi(\vec{x}, t_n)$$

⚡ Use **2<sup>nd</sup>** order Strang splitting (or **4<sup>th</sup>** order time-splitting)

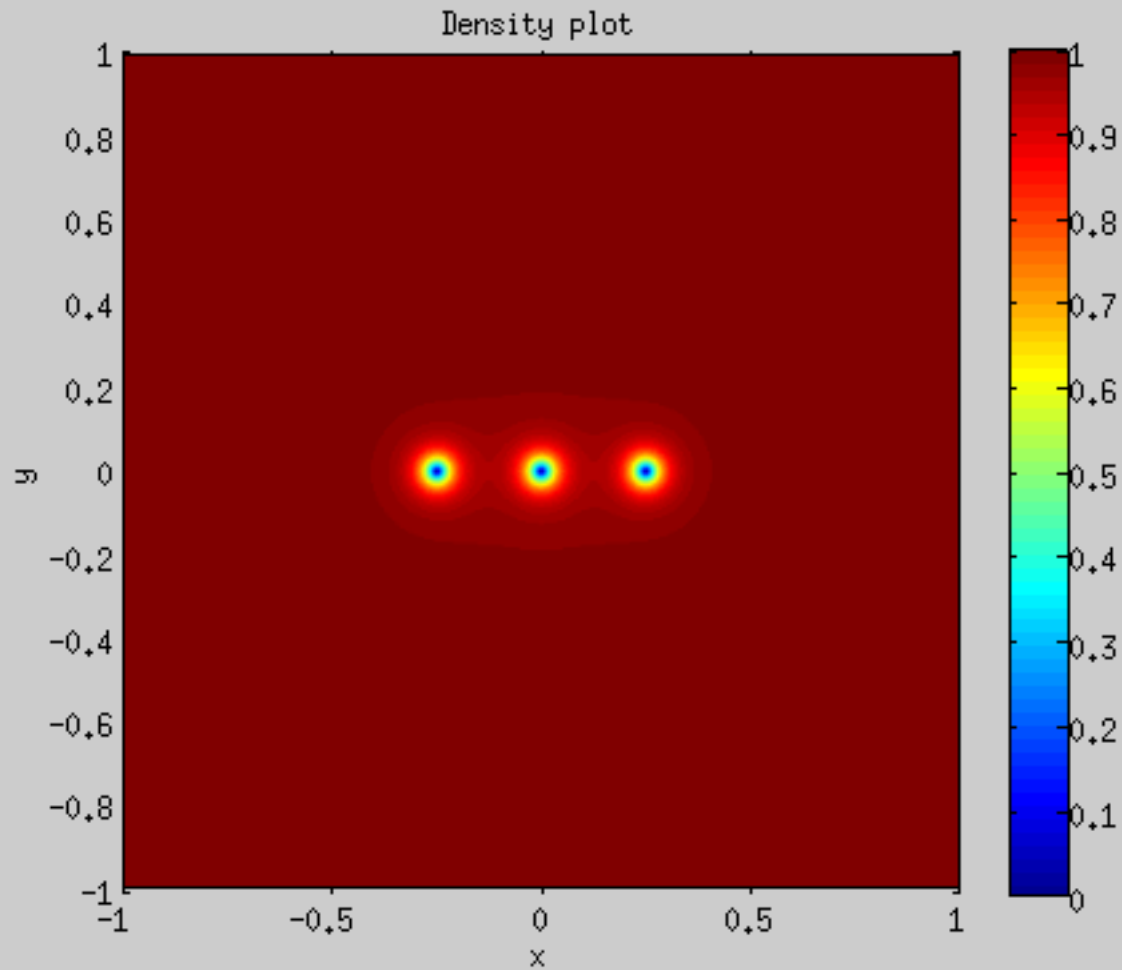
# Properties of TSSP

- ✚ Explicit & computational cost per time step:  $O(M \ln M)$
- ✚ Time symmetric: yes
- ✚ Time transverse invariant: yes
- ✚ Mass conservation: yes
- ✚ Stability: yes
- ✚ Dispersion relation without potential: yes
- ✚ Accuracy
  - Spatial: spectral order; Temporal: 2<sup>nd</sup> or 4<sup>th</sup> order
- ✚ Best resolution in strong interaction regime:  $\beta \gg 1$

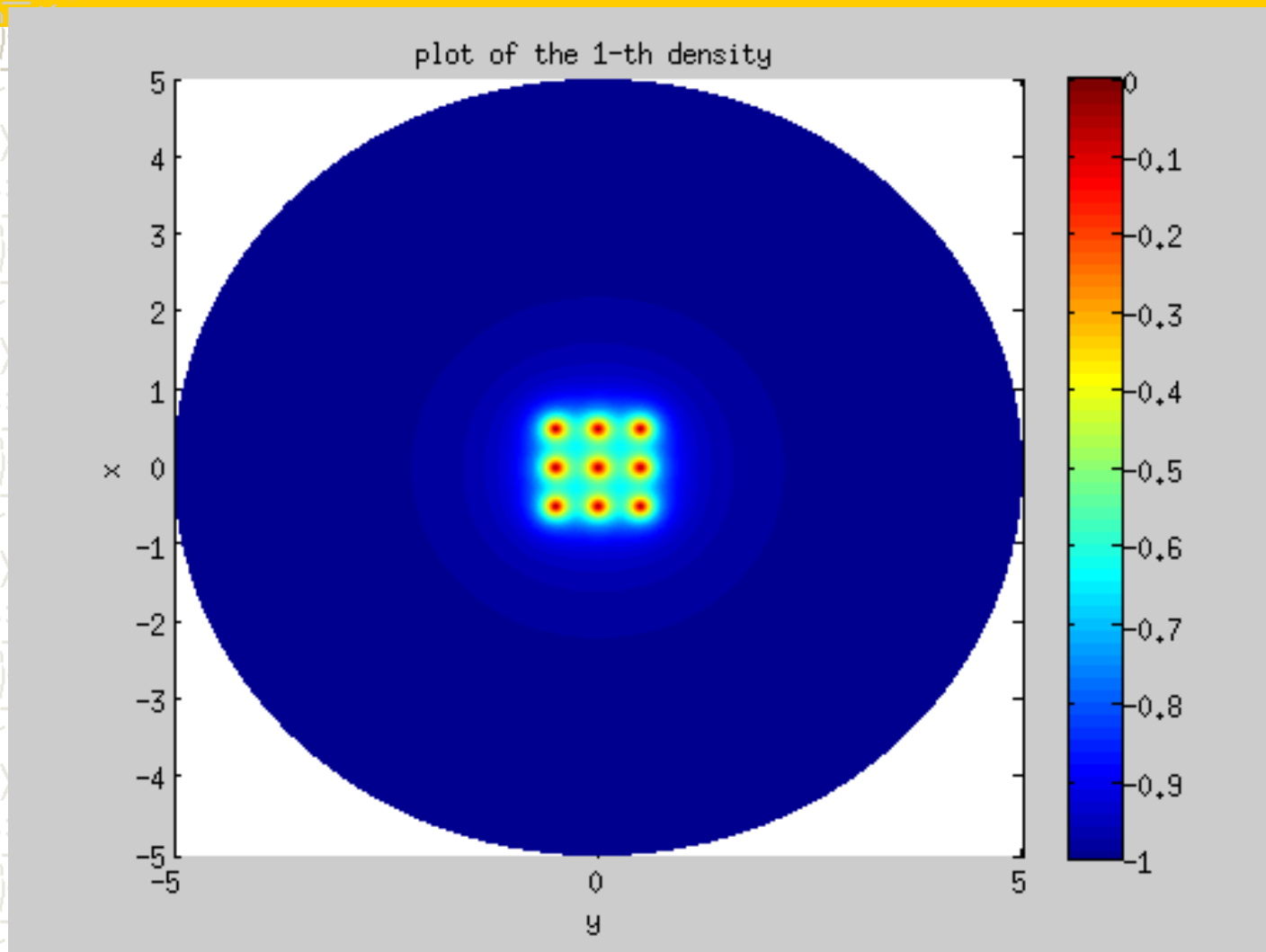
# Interaction of 3 like vortices



# Interaction of 3 opposite vortices



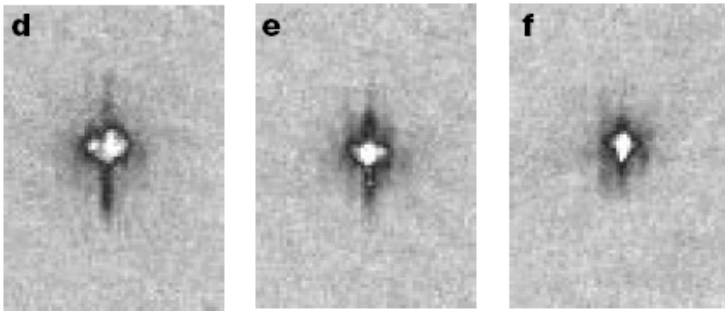
# Interaction of a lattice





# 3D collapse & explosion of BEC

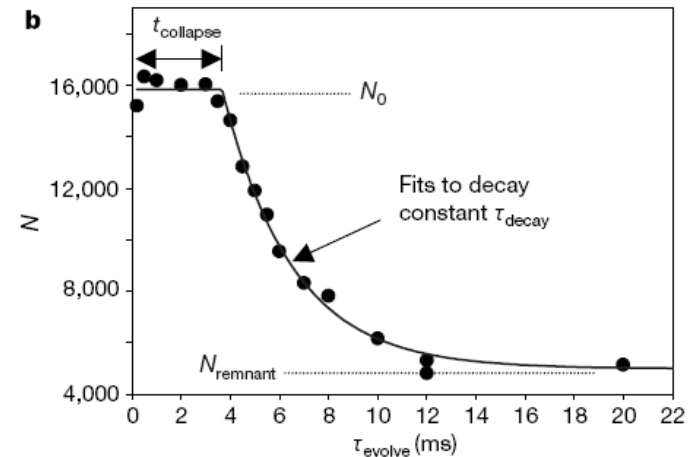
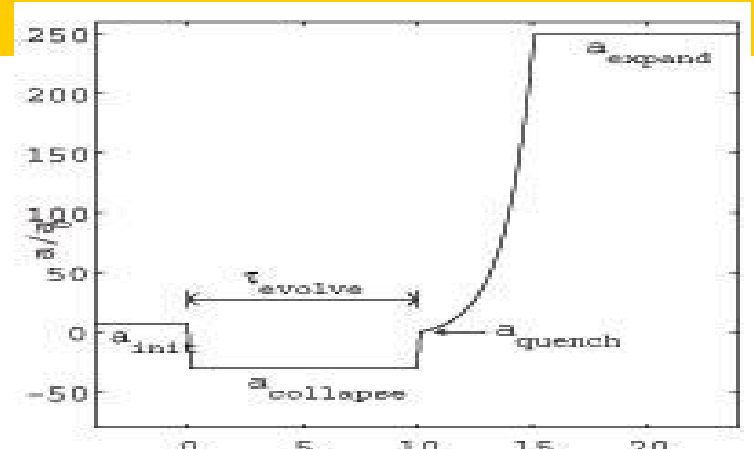
- ✦ Experiment (Donley et., Nature, 01')
  - Start with a stable condensate ( $a_s > 0$ )
  - At  $t=0$ , change  $a_s$  from (+) to (-)
  - Three body recombination loss



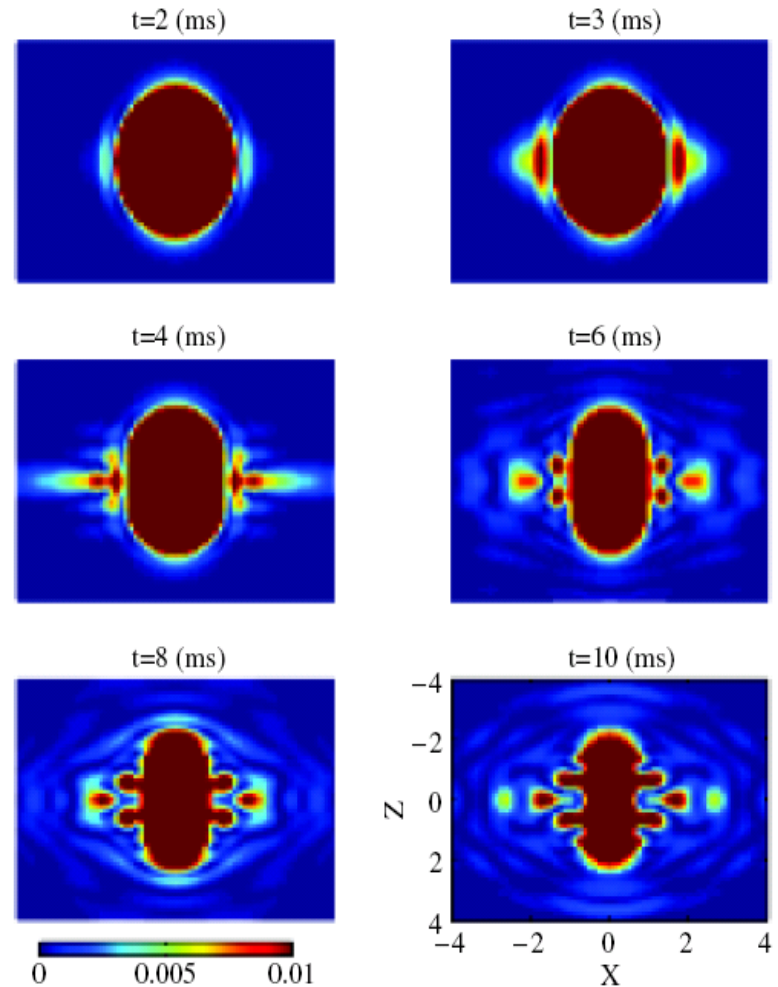
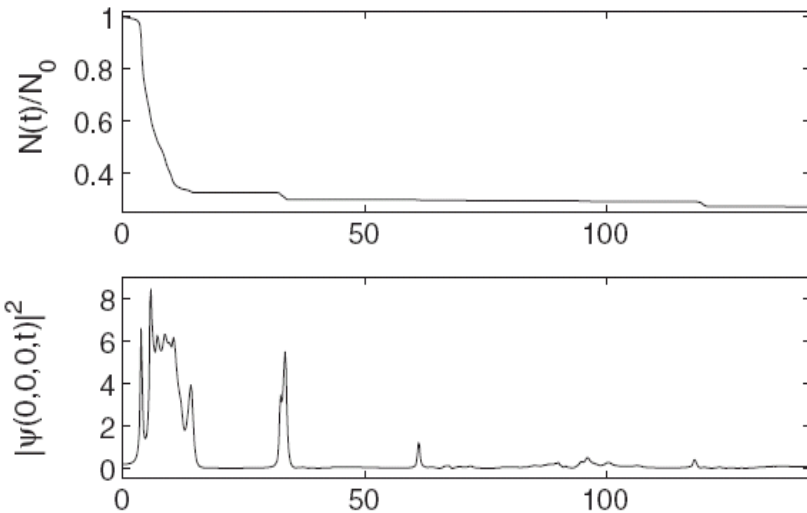
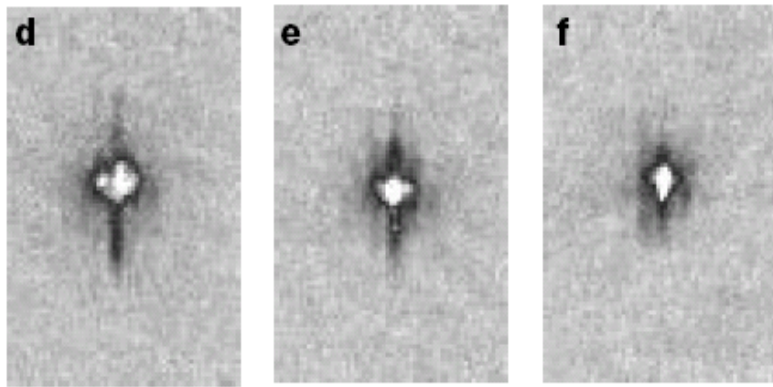
- ✦ Mathematical model (Duine & Stoof, PRL, 01')

$$i \frac{\partial}{\partial t} \psi(\vec{x}, t) = -\frac{1}{2} \nabla^2 \psi + V(\vec{x}) \psi + \beta |\psi|^2 \psi - i \delta_0 \beta^2 |\psi|^4 \psi$$

$$\beta = \frac{4\pi N a_s}{x_s}$$

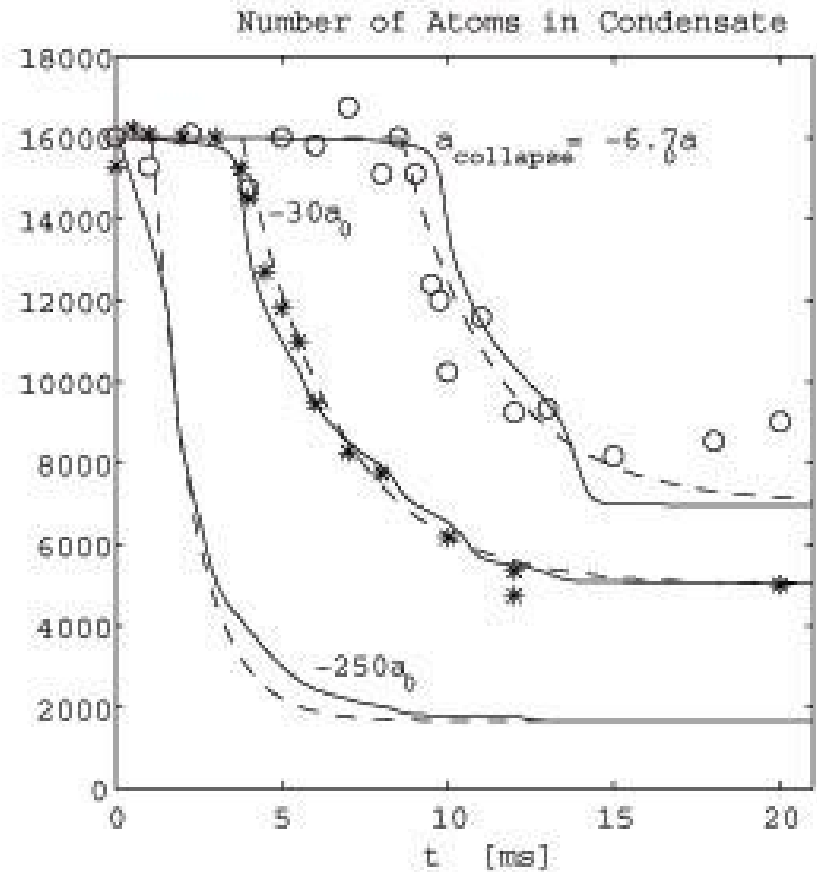
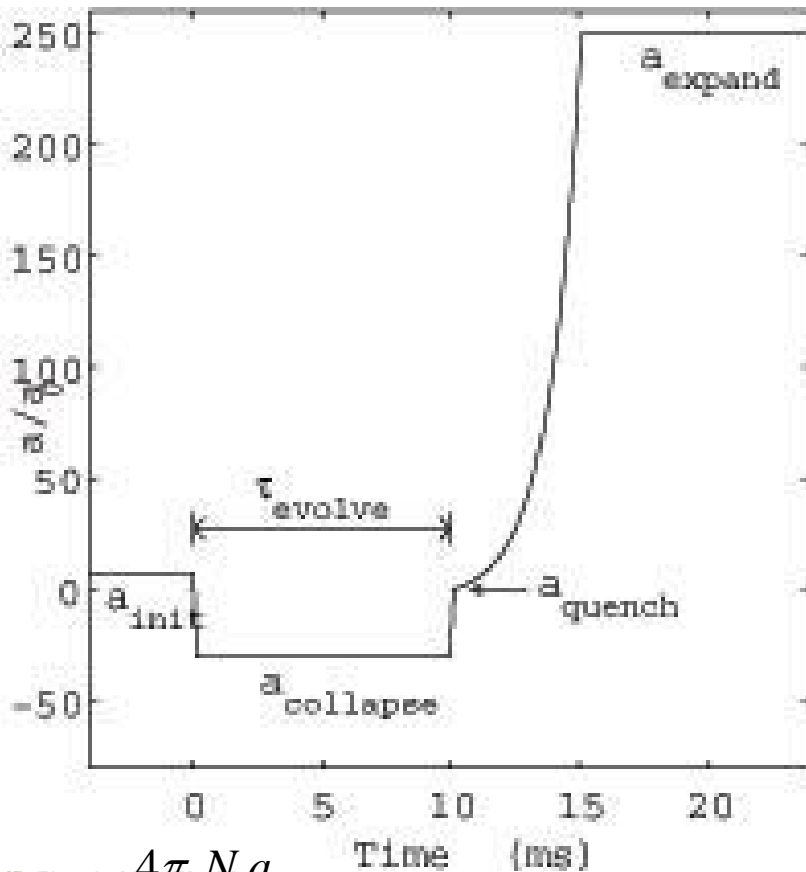


# Numerical results (Bao et., J Phys. B, 04)



Jet formation

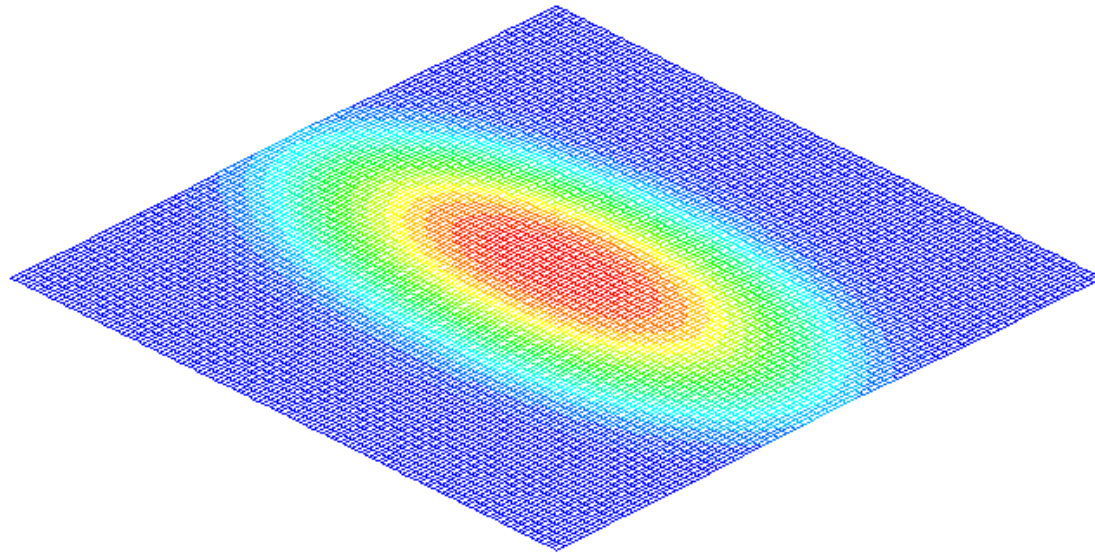
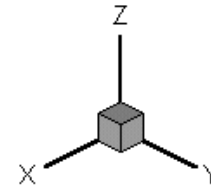
# 3D Collapse and explosion in BEC



$$\beta = \frac{4\pi N a_s}{x_s}$$

# 3D Collapse and explosion in BEC

Frame 001 | 03 Mar 2003 |



# Extension to GPE with rotation

✿ **GPE / NLSE** with an angular momentum rotation

$$i \partial_t \psi(\vec{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) - \Omega L_z + \beta |\psi|^2 \right] \psi, \quad \vec{x} \in \mathbb{R}^d, \quad t > 0$$

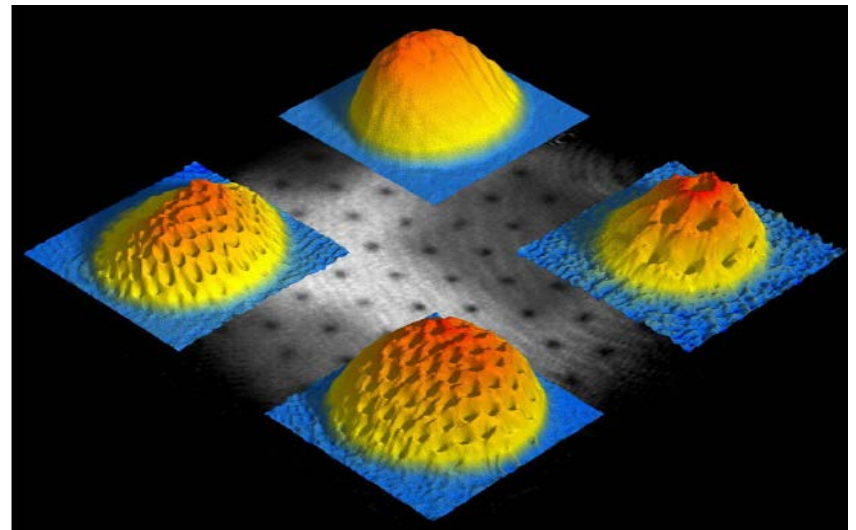
$$L_z := xp_y - yp_x = -i(x\partial_y - y\partial_x) \equiv -i\partial_\theta, \quad L = \vec{x} \times \vec{P}, \quad \vec{P} = -i\nabla$$

✿ **Mass** conservation

$$N(t) = \int_{\mathbb{R}^d} |\psi(\vec{x}, t)|^2 d\vec{x}$$

✿ **Energy** conservation

$$E_\Omega(\psi) := \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla \psi|^2 + V(x) |\psi|^2 - \Omega \bar{\psi} L_z \psi + \frac{\beta}{2} |\psi|^4 \right] d\vec{x}$$



Vortex @MIT



# Ground states

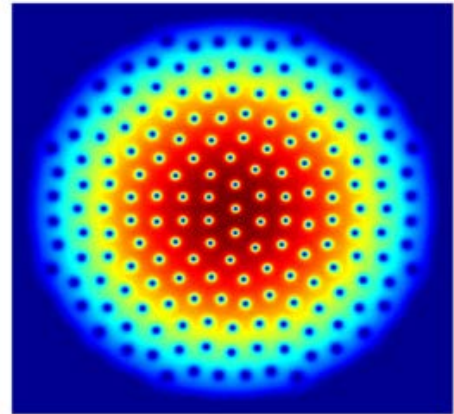
⚡ Ground states – Seiringer, CMP, 02'; Bao, Wang & Markowich, CMS, 05'; .....

$$\min_{\phi \in \mathcal{S}} E_{\Omega}(\phi)$$

⚡ Existence & uniqueness

- Exists a ground state when  $\beta \geq 0$  &  $|\Omega| \leq \min\{\gamma_x, \gamma_y\}$
- Uniqueness when  $|\Omega| < \Omega_c(\beta)$
- Quantized vortices appear when  $|\Omega| \geq \Omega_c(\beta)$
- Phase transition & bifurcation in energy diagram

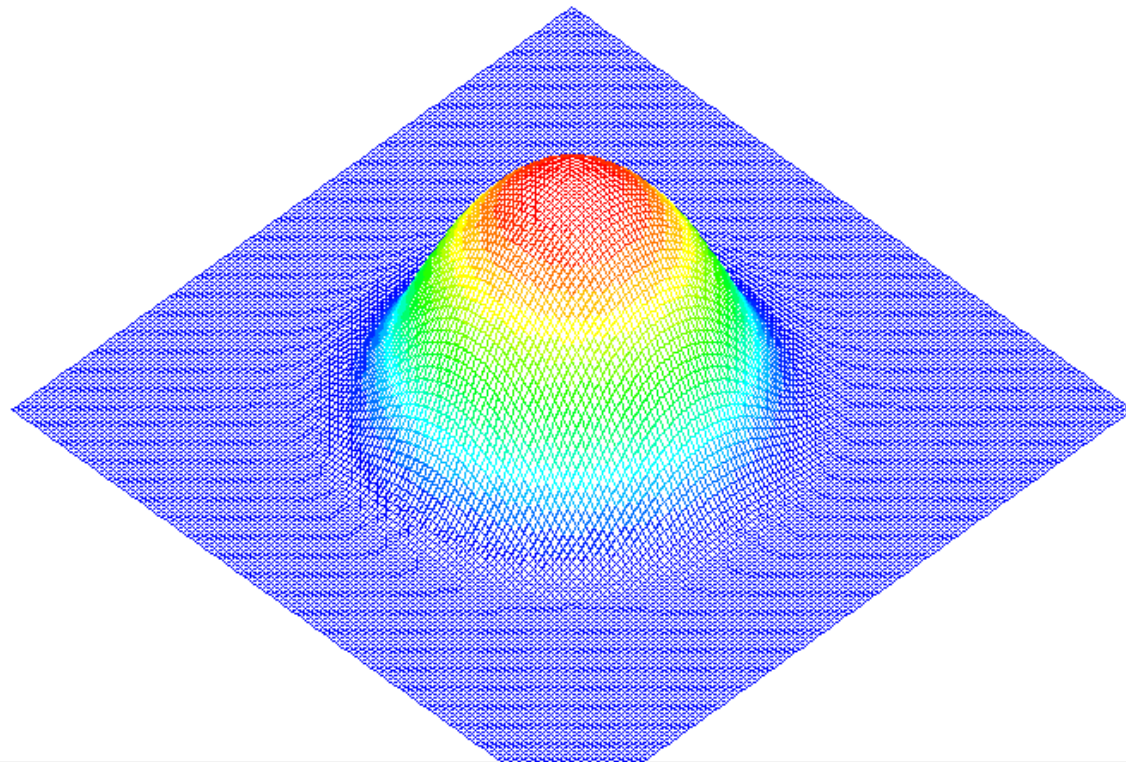
⚡ Numerical methods --- GFDN & BEFD or BEFP



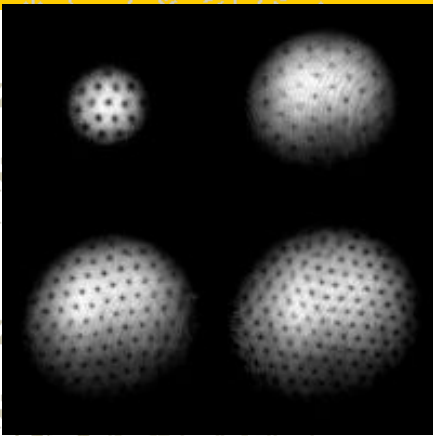


# Ground states with different $\Omega$

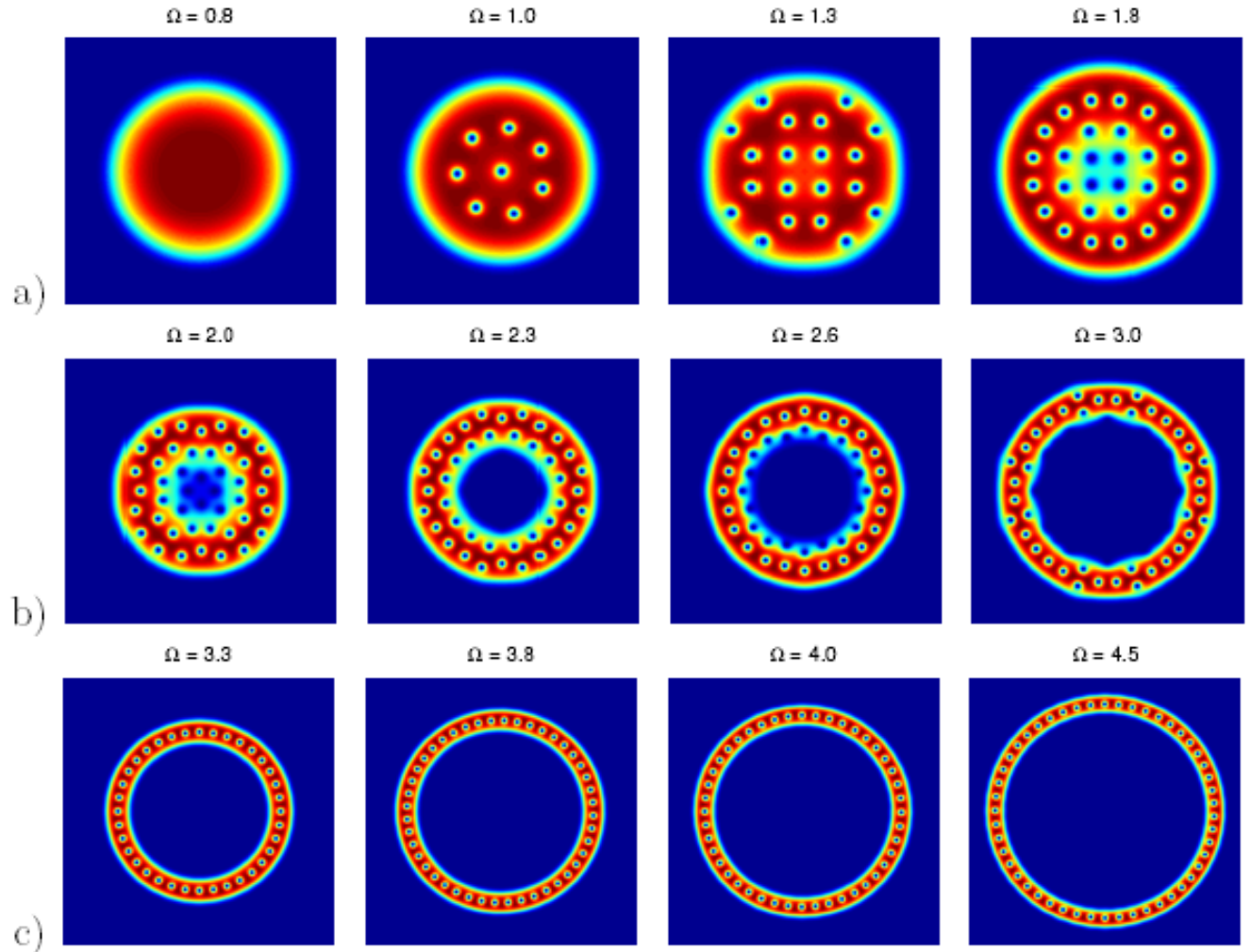
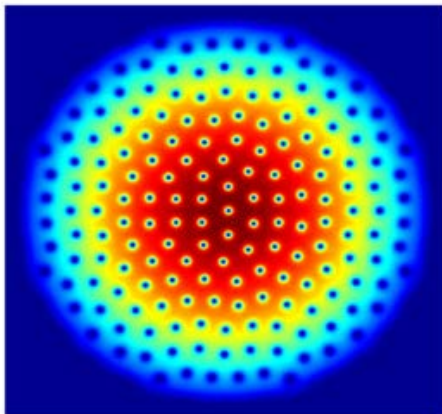
Frame 001 | 16 Mar 2004



# Ground states of rapid rotation



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# Dynamics

– Bao, Du & Zhang, SIAP, 05'; Bao & Cai, KRM, 13'; .....

• Numerical methods

$$\tilde{\vec{x}} = A(t)^{-1} \vec{x} \quad \&$$

• A new formulation

$$\phi(\tilde{\vec{x}}, t) := \psi(\vec{x}, t) = \psi(A(t)\vec{x}, t)$$

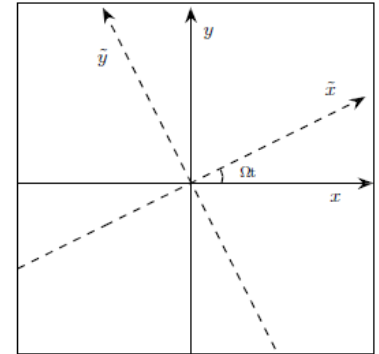
– A rotating **Lagrange** coordinate:

$$A(t) = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{bmatrix} \quad \text{for } d = 2; \quad A(t) = \begin{bmatrix} \cos(\Omega t) & \sin(\Omega t) & 0 \\ -\sin(\Omega t) & \cos(\Omega t) & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{for } d = 3$$

– **GPE** in rotating Lagrange coordinates

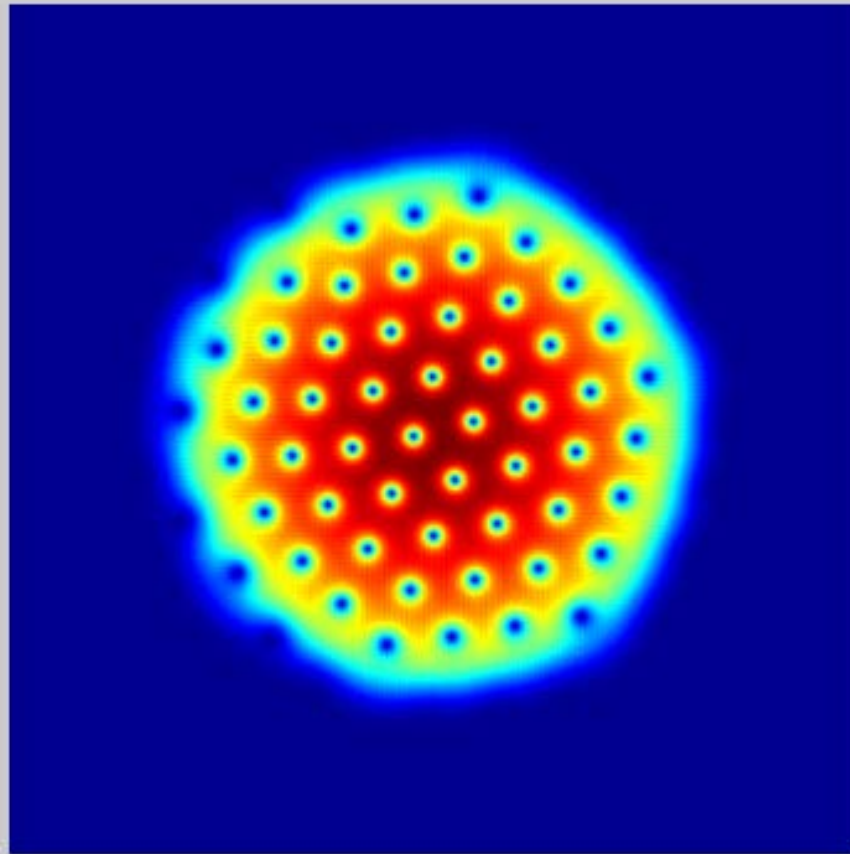
$$i \partial_t \phi(\tilde{\vec{x}}, t) = \left[ -\frac{1}{2} \nabla^2 + V(A(t)\tilde{\vec{x}}) + \beta |\phi|^2 \right] \phi, \quad \tilde{\vec{x}} \in \mathbb{R}^d, \quad t > 0$$

– Analysis & numerical methods -- Bao & Wang, JCP6'; Bao, Li & Shen, SISC, 09'; Bao, Marahrens, Tang & Zhang, 13', .....



# Dynamics of a vortex lattice

t=0



# Extension to dipolar quantum gas

✦ **Gross-Pitaevskii** equation (re-scaled)  $\psi = \psi(\vec{x}, t)$   $\vec{x} \in \mathbb{R}^3$

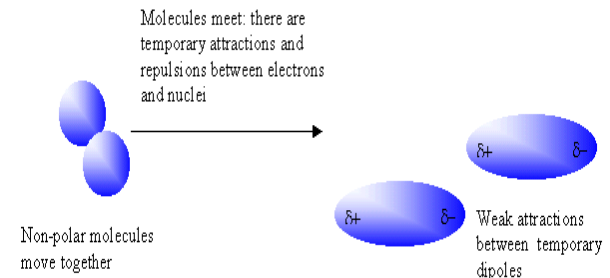
$$i \partial_t \psi(\vec{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) - \Omega L_z + \beta |\psi|^2 + \lambda (U_{\text{dip}} * |\psi|^2) \right] \psi$$

- Trap potential  $V(\vec{x}) = \frac{1}{2} (\gamma_x^2 x^2 + \gamma_y^2 y^2 + \gamma_z^2 z^2)$
- Interaction constants  $\beta = \frac{4\pi N a_s}{x_s}$  (short-range),  $\lambda = \frac{mN \mu_0 \mu_{\text{dip}}^2}{3\hbar^2 x_s}$  (long-range)
- Long-range **dipole-dipole** interaction kernel

$$U_{\text{dip}}(\vec{x}) = \frac{3}{4\pi} \frac{1 - 3(\vec{n} \cdot \vec{x})^2 / |\vec{x}|^2}{|\vec{x}|^3} = \frac{3}{4\pi} \frac{1 - 3\cos^2(\theta)}{|\vec{x}|^3}$$

✦ References:

- L. Santos, et al. PRL 85 (2000), 1791-1797
- S. Yi & L. You, PRA 61 (2001), 041604(R);
- D. H. J. O'Dell, PRL 92 (2004), 250401





# A New Formulation

$$r = |\vec{x}| \quad \& \quad \partial_{\vec{n}} = \vec{n} \cdot \nabla \quad \& \quad \partial_{\vec{n}\vec{n}} = \partial_{\vec{n}}(\partial_{\vec{n}})$$

✦ Using the **identity** (O'Dell et al., PRL 92 (2004), 250401, Parker et al., PRA 79 (2009), 013617)

$$U_{\text{dip}}(\vec{x}) = \frac{3}{4\pi r^3} \left( 1 - \frac{3(\vec{n} \cdot \vec{x})^2}{r^2} \right) = -\delta(\vec{x}) - 3\partial_{\vec{n}\vec{n}} \left( \frac{1}{4\pi r} \right)$$

$$\Rightarrow \quad \hat{U}_{\text{dip}}(\xi) = -1 + \frac{3(\vec{n} \cdot \xi)^2}{|\xi|^2}$$

✦ Dipole-dipole interaction becomes

$$U_{\text{dip}} * |\psi|^2 = -|\psi|^2 - 3\partial_{\vec{n}\vec{n}} \varphi$$

$$\varphi = \frac{1}{4\pi r} * |\psi|^2 \Leftrightarrow -\nabla^2 \varphi = |\psi|^2$$

# A New Formulation

✚ **Gross-Pitaevskii-Poisson** type equations (Bao, Cai & Wang, JCP, 10')

$$i \partial_t \psi(\vec{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) - \Omega L_z + (\beta - \lambda) |\psi|^2 - 3\lambda \partial_{\vec{n}\vec{n}} \varphi \right] \psi$$

$$-\nabla^2 \varphi(\vec{x}, t) = |\psi(\vec{x}, t)|^2, \quad \vec{x} \in \mathbb{R}^3, \quad \lim_{|\vec{x}| \rightarrow \infty} \varphi(\vec{x}, t) = 0$$

– **Energy**

$$E(\psi(\cdot, t)) := \int_{\mathbb{R}^3} \left[ \frac{1}{2} |\nabla \psi|^2 + V(\vec{x}) |\psi|^2 - \Omega \bar{\psi} L_z \psi + \frac{\beta - \lambda}{2} |\psi|^4 + \frac{3\lambda}{2} |\partial_{\vec{n}} \nabla \varphi|^2 \right] d\vec{x}$$

– **Model in 2D**

$$\rightarrow (-\Delta_{\perp})^{1/2} \varphi(\vec{x}, t) = |\psi(\vec{x}, t)|^2, \quad \vec{x} \in \mathbb{R}^2, \quad \lim_{|\vec{x}| \rightarrow \infty} \varphi(\vec{x}, t) = 0$$

✚ **Ground state** – Bao, Cai & Wang, JCP, 10'; Bao, Ben Abdallah & Cai, SIMA, 12'

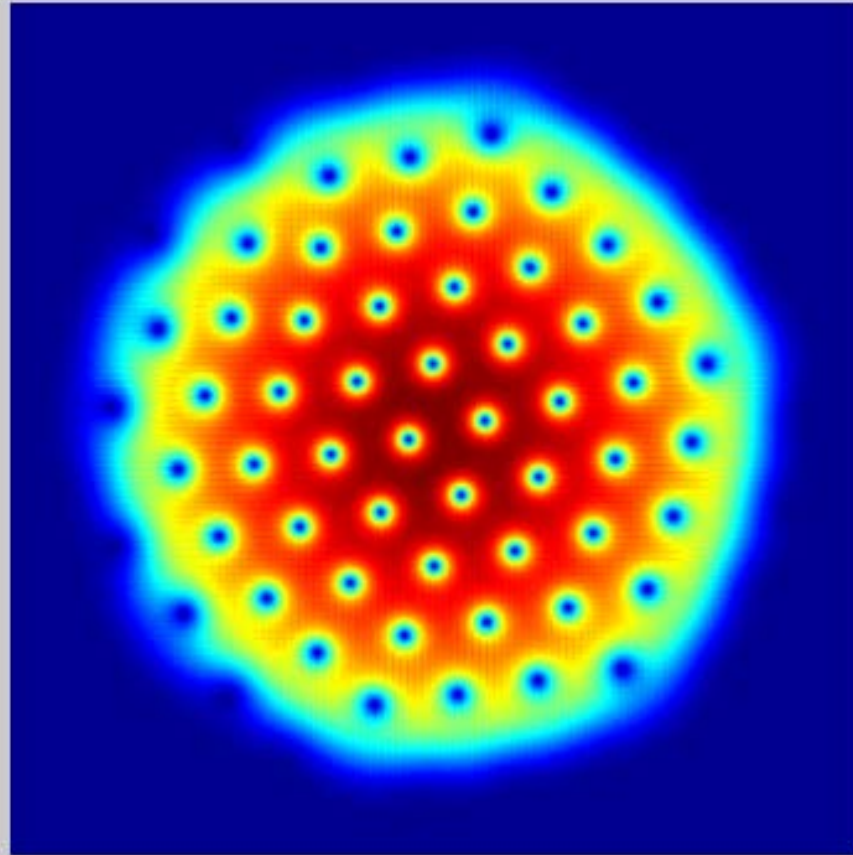
✚ **Dynamics** – Bao, Cai & Wang, JCP, 10'; Bao & Cai, KRM, 13'  $\beta \geq 0$  &  $-\frac{\beta}{2} \leq \lambda \leq \beta$

✚ **Dimension reduction** – Cai, Rosenkranz, Lei & Bao, PRA, 10'; Bao & Cai, KRM, 13'



# Dynamics of a vortex lattice

t=0



# Coupled GPEs

## ✦ Spinor F=1 BEC

$$i \frac{\partial}{\partial t} \psi_1 = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) + \beta_n \rho \right] \psi_1 + \beta_s (\rho_1 + \rho_0 - \rho_{-1}) \psi_1 + \beta_s \psi_{-1}^* \psi_0^2$$

$$i \frac{\partial}{\partial t} \psi_0 = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) + \beta_n \rho \right] \psi_0 + \beta_s (\rho_1 + \rho_{-1}) \psi_1 + 2\beta_s \psi_1 \psi_{-1} \psi_0^*$$

$$i \frac{\partial}{\partial t} \psi_{-1} = \left[ -\frac{1}{2} \nabla^2 + V(\vec{x}) + \beta_n \rho \right] \psi_{-1} + \beta_s (\rho_{-1} + \rho_0 - \rho_1) \psi_{-1} + \beta_s \psi_1^* \psi_0^2$$

## ✦ With

$$\rho = \rho_{-1} + \rho_0 + \rho_1, \quad \rho_j = |\psi_j|^2, \quad \beta_n = \frac{4\pi N(a_0 + 2a_2)}{3x_s}, \quad g_s = \frac{4\pi N(a_2 - a_0)}{3x_s}$$

$a_0, a_2$ : s-wave scattering length with the total spin 0 and 2 channels

## ✦ Analysis & numerical methods:

- For ground state (Bao & Wang, SIAM J. Numer. Anal., 07'; Bao & Lim, SISC, 08'; PRE, 08')
- For Dynamics (Bao, Markowich, Schmeiser & Weishaupl, M3AS, 05')

# Conclusions & Future Challenges

## 🔦 Conclusions:

- NLSE / GPE – brief derivation
- Ground states
  - Existence, uniqueness, non-existence
  - Numerical methods -- BEFD
- Dynamics
  - Well-posedness & dynamical laws
  - Numerical methods -- TSSP

## 🔦 Future Challenges

- System of NLSE/GPE; with random potential; high dimensions
- Coupling GPE & Quantum Boltzmann equation (QBE)



# Collaborators



## ✚ In Mathematics

- External: P. Markowich (KAUST, Vienna, Cambridge); Q. Du (PSU); J. Shen (Purdue); S. Jin (UW-Madison); L. Pareschi (Italy); P. Degond (France); N. Ben Abdallah (Toulouse), W. Tang (Beijing), I.-L. Chern (Taiwan), Y. Zhang (MUST), H. Wang (China), Y. Cai (UW/UM), H.L. Li (Beijing), T.J. Li (Peking), .....
- Local: X. Dong, Q. Tang, X. Zhao, .....

## ✚ In Physics

- External: D. Jaksch (Oxford); A. Klein (Oxford); M. Rosenkranz (Oxford); H. Pu (Rice), Donghui Zhang (Dalian), W. M. Liu (IOP, Beijing), X. J. Zhou (Peking U), .....
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✚ Fund support: ARF Tier 1 & Tier 2