# Defeating the curse of dimensionality to compute a vibrational spectrum 

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## Objective

Compute a vibrational spectrum by solving the vibrational Schroedinger equation

$$
\begin{aligned}
& \hat{H} \psi_{k}=E_{k} \psi_{k} \\
& \hat{H}=\hat{K}+\hat{V} .
\end{aligned}
$$

Represent wavefunctions with basis functions

$$
\psi_{k}(\mathbf{q})=\sum_{n} c_{n}^{k} f_{n}(\mathbf{q})
$$

## General potentials

If the potential, $\hat{V}$, is general, i.e.,

- not as a sum-of-products
- not a sum of terms with one, two, etc coordinates

We have developed two methods :

- one with a Smolyak quadrature
- one based a Smolyak-inspired collocation
both these methods require storing vectors with as many components as basis functions.


## Sum-of-products potentials

If the potential is a sum of products one can exploit its structure to avoid storing vectors with as many components as basis functions.

We have developed a reduced rank power method scheme.

- represent wavefunctions with basis functions

$$
\psi_{k}(\mathbf{q})=\sum_{n} c_{n}^{k} f_{n}(\mathbf{q})
$$

- multiply on the left with $f_{m}(\mathbf{q})$, integrate to obtain a matrix eigenvalue problem
- compute eigenvalues and eigenvectors of the Hamiltonian matrix


## Basis sets and quadrature grids are huge

Often one uses product basis functions :

$$
f_{k_{1}, k_{2}, \cdots}=\phi_{k_{1}}\left(r_{1}\right) \phi_{k_{2}}\left(r_{2}\right) \cdots \phi_{k_{N}}\left(\theta_{1}\right) \cdots
$$

Between 10 and 100 1-D functions required for each coordinate.
If $n$ basis functions are required for each coordinate and there are
$D$ coordinates then the size of the basis is $n^{D}$.
To compute vibrational levels $>10^{3 N-6}$ multi-d basis functions are required.

## Does this poor scaling matter?

$$
n_{1 d} \approx 10
$$

$\mathrm{H}_{2} \mathrm{O}$
Size of matrix $10^{3}$
$\mathrm{CH}_{2} \mathrm{O}$
Size of matrix $10^{6}$
$\mathrm{C}_{2} \mathrm{H}_{4}$
Size of matrix $10^{12}$

The curse of dimensionality

- coordinates and (orthogonal) basis functions are chosen so that matrix elements of the kinetic energy operator (KEO) can be calculated exactly (analytically)
- a quadrature is chosen that is exact for all overlap matrix elements
- one solves $\mathbf{H U}=\mathbf{U E}$
- there are no efficient iterative eigensolvers for HU = SUE


## To make an effective variational method one must reduce the size of the basis and the quadrature grid

It is common to use product basis functions that are eigenfunctions of a zeroth-order Hamiltonian,

$$
H=H_{0}+\Delta
$$

$H_{0}$ is a sum of 1 d Hamiltonians (separable).
One can remove basis functions with large zeroth-order energies.
If all the 1d Hamiltonians are identical one simply removes basis functions for which

$$
\sum_{c} n_{c}>b
$$



Pruned basis for the 2 d problem


If $3 N-6=15$ and 15 basis functions are used for each coordinate then the size of the direct product basis is $4 \times 10^{17}$.

By discarding all functions for which $\sum_{c} n_{c}>b=15$ the size of the basis is reduced to $7.7 \times 10^{7}$.

$$
\text { Basis vector : } 3 \times 10^{9} \mathrm{~GB} \rightarrow 0.6 \mathrm{~GB}
$$

## It is also possible to reduce the size of the quadrature grid

- For a 12D problem, a direct product quadrature has $\sim 15^{12}$ points. Storing one vector requires about $10^{6} \mathrm{~GB}$.
- We must find a smaller grid with enough structure that we can efficiently evaluate matrix-vector products


## Smolyak grids

The Smolyak quadrature equation for integrating a function $g\left(x_{1}, x_{2}, \cdots, x_{D}\right)$ can be written as a sum of D-dimensional product quadrature grids,

$$
S(D, H)=\sum_{i_{1}+i_{2}+\cdots \leq H} C_{i_{1}, \ldots, i_{D}}\left[Q^{i_{1}}\left(x_{1}\right) \otimes \cdots \otimes Q^{i_{D}}\left(x_{D}\right)\right],
$$

Using these ideas calculations for molecules with 6 atoms are possible.

## Smolyak Quadrature: 2D Level4 17x1 component


(v)

## Smolyak Quadrature: 2D Level4 9x3 component


$\sqrt{7}$

つac

## Smolyak Quadrature: 2D Level4 5×5 component


$\sqrt{7}$

つac

## Smolyak Quadrature: 2D Level4 3x9 component



## Smolyak Quadrature: 2D Level4 1x17 component


(v)

## Smolyak quadratures adapted to our bases work well

Grid size $\sim 5.7 \times 10^{13} \rightarrow 8.5 \times 10^{6}$
memory cost $500 \mathrm{~TB} \rightarrow 0.07 \mathrm{~GB}$

## Why bother with collocation?

Collocation obviates

- integrals
- the need for basis functions with which matrix elements of the KEO are exact
- the need for orthogonal basis functions


## What is collocation?

Apply $\hat{H}-E_{k}$ to

$$
\psi_{k}(\mathbf{q})=\sum_{n} u_{n}^{k} f_{n}(\mathbf{q})
$$

and determine the $u_{n}^{k}$ by demanding that the Schroedinger equation be satisfied at a set of points, i.e. solve

## $(T+V B) U=B U E$,

- $\mathbf{B} \neq 1$
and it is necessary to solve a generalized eigenvalue problem
- The $\mathbf{H}$ and $\mathbf{B}$ matrices of the collocation eigenvalue problem, $\mathbf{H U}=\mathbf{B U E}$
are not symmetric


## Overcoming the drawbacks

$\mathbf{B} \neq \mathbf{I}$ makes collocation almost unusable (when the number of basis functions is larger than about 50'000).
There are good tools for computing eigenvalues and eigenvectors of a nonsymmetric eigenvalue problem, if $\mathbf{B}=\mathbf{I}$

> | In this talk I present a new collocation method that |
| :--- |
| obviates the need to solve a generalized eigenvalue |
| problem |

- A new tool for solving the Schroedinger equation.


## Avoiding a generalized eigenvalue problem

In 1-D this is easily accomplished by using as basis functions Lagrange-like basis functions that are one at one of the collocation points and zero at all the others.
( $\mathbf{B}=\mathbf{I}$ because $f_{b}\left(x_{a}\right)=\delta_{a b}$ )

## 1-D Lagrange-like functions

Functions that spans the same space as the first $m$ harmonic oscillator functions,

$$
a_{j}(x)=\exp \left(\frac{-x^{2}+x_{j}^{2}}{2}\right) \prod_{\substack{i=1 \\ i \neq j}}^{m}\left(\frac{x-x_{i}}{x_{j}-x_{i}}\right)
$$

Functions that spans the same space as the first $m$ 1-D wavefunctions, $\phi_{q}$,

$$
a_{k}^{m}(x)=\sum_{q} \phi_{q}(x) c_{q}^{k},
$$

where $\sum_{q=1}^{m-1} M_{k^{\prime} q} c_{q}^{k}=\delta_{k, k^{\prime}}$ with $M_{k^{\prime} q}=\phi_{q}\left(x_{k^{\prime}}\right)$.

## In D dimensions

In $D$ dimensions a generalized eigenvalue problem can be avoided by using a direct product Lagrange-like basis.

The usefulness of a direct-product basis is limited by the curse of dimensionality.

If 10 basis functions per coordinate are necessary, the size of a direct product basis is $10^{3 N-6}$. This makes calculations costly, even when the Hamiltonian matrix is not computed, stored, and diagonalized.

How can one both avoid the curse and avoid a generalized eigenvalue problem?

## Multidimensional collocation

The key idea is not to apply $\hat{H}-E_{n}$ to a basis representation of a wavefunction, but to a Smolyak or sparse-grid interpolant.

$$
I(D, H) \Phi_{n}\left(x_{1}, x_{2}, \cdots, x_{D}\right)=\sum_{g\left(i_{1}, i_{2}, \cdots, i_{D}\right) \leq H} C_{i_{1}, i_{2}, \cdots, i_{D}}
$$

$\times \sum_{k_{1}=1}^{m_{i_{1}}} \sum_{k_{2}=1}^{m_{i_{2}}} \cdots \sum_{k_{D}=1}^{m_{i_{D}}} \Phi_{n}\left(x_{k_{1}}^{i_{1}}, x_{k_{2}}^{i_{2}}, \cdots, x_{k_{D}}^{i_{D}}\right) a_{k_{1}}^{i_{1}}\left(x_{1}\right) a_{k_{2}}^{i_{2}}\left(x_{2}\right) \cdots a_{k_{D}}^{i_{D}}\left(x_{D}\right)$.
$C_{i_{1}, i_{2}, \cdots, i_{D}}$ coefficients are the same as those used with Smolyak quadrature and ( $\mathbf{B}=\mathbf{I}$ ).

## Multidimensional collocation

We use

$$
g\left(i_{1}, i_{2}, \cdots, i_{D}\right)=i_{1}+i_{2}+\cdots+i_{D},
$$

but better choices exist.

Smolyak interpolation is usually used with piecewise-linear basis functions. Instead, we use Lagrange-like functions that span the same space as a set 1-D eigenfunctions.

When $D=6$ and $K(=H-D+1)=11$,

$$
R_{S D P}=\frac{N_{\text {Smolyak }}}{N_{\text {direct product }}} \approx 5 \times 10^{-3} .
$$

The ratio decreases as $D$ increases :
for $D=10$ and $K=11$,

$$
R_{S D P}=\frac{2 \times 10^{5}}{11^{10}} \approx 7 \times 10^{-6}
$$

for $D=15$ and $K=11$,

$$
R_{S D P}=\frac{3 \times 10^{6}}{11^{15}} \approx 8 \times 10^{-10}
$$

$N_{\text {Smolyak }}$ does not scale exponentially with $H$ or $D$.

Requiring that the Schroedinger equation be satisfied at a point on the Smolyak grid means imposing

$$
\begin{gathered}
\sum_{g\left(i_{1}, i_{2}, \cdots, i_{D}\right) \leq H} C_{i_{1}, i_{2}, \cdots, i_{D}} \\
\times \sum_{k_{1}=1}^{m_{i_{1}}} \sum_{k_{2}=1}^{m_{i_{2}}} \cdots \sum_{k_{D}=1}^{m_{i_{D}}} \hat{K} \Phi_{n}\left(x_{k_{1}}^{i_{1}}, x_{k_{2}}^{i_{2}}, \cdots, x_{k_{D}}^{i_{D}}\right) a_{k_{1}}^{i_{1}}\left(x_{k_{1}^{\prime}}\right) a_{k_{2}}^{i_{2}}\left(x_{k_{2}^{\prime}}\right) \cdots a_{k_{D}}^{i_{D}}\left(x_{k_{D}^{\prime}}\right) \\
+V_{x_{k_{1}^{\prime}}, x_{k_{2}^{\prime}}, \cdots, x_{k_{D}^{\prime}}} \Phi_{n}\left(x_{k_{1}^{\prime}}, x_{k_{2}^{\prime}}, \cdots, x_{k_{D}^{\prime}}\right)=E_{n} \Phi_{n}\left(x_{k_{1}^{\prime}}, x_{k_{2}^{\prime}}, \cdots, x_{k_{D}^{\prime}}\right) .
\end{gathered}
$$

## The matrix eigenvalue problem

## $(T+V) U=U E$,

- We do not construct $\mathbf{T}$.
- Elements of the eigenvectors are values of wavefunctions at points.
- As $H$ is increased, diagonal elements of $\mathbf{E}$ and columns of $\mathbf{U}$ converge to exact energies and wavefunction values at the Smolyak grid points.
- The number of points on the Smolyak grid is equal to the number of basis functions.


## Matrix-vector products

Potential matrix-vector products are trivial.
Matrix-vector products for the KEO are done term by term.
For each term, sums are evaluated sequentially, exploiting the structure of the Smolyak grid.

The KEO can always be written

$$
\hat{K}=\sum_{i=1}^{D} W^{i}\left(x_{1}, \cdots, x_{D}\right) \frac{\partial}{\partial x_{i}}+\sum_{i=1}^{D} \sum_{j \leq i}^{D} W^{i, j}\left(x_{1}, \cdots, x_{D}\right) \frac{\partial}{\partial x_{i}} \frac{\partial}{\partial x_{j}},
$$

- Cost of computing the spectrum does not depend on the form or complexity of the functions $W^{i}$ and $W^{i, j}$
- Normal coordinates
- Full Watson KEO
- Cut eigenfunctions for 1-D bases


## Results

Table: Energies computed with $b=20$ and $H=26$

| sym | Assig | Exp | Luckhaus $(b)$ | This work $(c)$ | $b-c$ |
| :--- | :--- | ---: | :---: | :---: | ---: |
| $A_{1}$ | ground |  | 5777.44 | 5777.446 | -0.01 |
| $B_{1}$ | $\nu_{4}$ | 1167.4 | 1171.09 | 1171.094 | 0.00 |
| $B_{2}$ | $\nu_{6}$ | 1249.6 | 1252.89 | 1252.888 | 0.00 |
| $A_{1}$ | $\nu_{3}$ | 1500.2 | 1508.60 | 1508.597 | 0.00 |
| $A_{1}$ | $\nu_{2}$ | 1746.1 | 1749.66 | 1749.662 | 0.00 |
| $A_{1}$ | $2 \nu_{4}$ | 2327.5 | 2332.71 | 2332.716 | -0.01 |
| $A_{2}$ | $\nu_{4}+\nu_{6}$ | 2422.4 | 2431.05 | 2431.051 | 0.00 |
| $A_{1}$ | $2 \nu_{6}$ | 2496.1 | 2501.93 | 2501.928 | 0.00 |
| $B_{1}$ | $\nu_{3}+\nu_{4}$ | 2667.1 | 2679.48 | 2679.477 | 0.00 |
| $B_{2}$ | $\nu_{3}+\nu_{6}$ | 2718.6 | 2728.98 | 2728.978 | 0.00 |
| $A_{1}$ | $\nu_{1}$ | 2782.2 | 2782.84 | 2782.834 | 0.01 |
| $B_{2}$ | $\nu_{5}$ | 2843.0 | 2841.67 | 2841.661 | 0.01 |
| $B_{1}$ | $\nu_{2}+\nu_{4}$ | 2906.0 | 2913.26 | 2913.259 | 0.00 |

## Results

| sym | Assig | Exp | Luckhaus $(b)$ | This work $(c)$ | $b-c$ |
| :--- | :--- | :---: | :---: | :---: | ---: |
| $A_{1}$ | $2 \nu_{3}$ | 2998.1 | 3015.72 | 3015.718 | 0.00 |
| $B_{2}$ | $\nu_{2}+\nu_{6}$ | 3000.6 | 3006.73 | 3006.724 | 0.01 |
| $A_{1}$ | $\nu_{2}+\nu_{3}$ | 3239.0 | 3250.41 | 3250.402 | 0.01 |
| $A_{1}$ | $2 \nu_{2}$ | 3471.6 | 3479.87 | 3479.865 | 0.01 |
| $B_{1}$ | $3 \nu_{4}$ | 3480.7 | 3485.00 | 3485.003 | 0.00 |
| $B_{2}$ | $2 \nu_{4}+\nu_{6}$ | 3586.6 | 3596.66 | 3596.665 | -0.01 |
| $B_{1}$ | $\nu_{4}+2 \nu_{6}$ | 3673.5 | 3688.91 | 3688.910 | 0.00 |
| $B_{2}$ | $3 \nu_{6}$ |  | 3747.46 | 3747.460 | 0.00 |
| $A_{1}$ | $\nu_{3}+2 \nu_{4}$ | 3825.3 | 3840.19 | 3840.192 | 0.00 |
| $A_{2}$ | $\nu_{3}+\nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ | 3886.5 | 3902.61 | 3902.604 | 0.01 |
| $A_{1}$ | $\nu_{3}+2 \nu_{6}$ | 3937.4 | 3948.77 | 3948.759 | 0.01 |
| $B_{1}$ | $\nu_{1}+\nu_{4}$ | 3940.2 | 3946.77 | 3946.763 | 0.01 |
|  |  |  |  |  |  |

## Results

| sym | Assig | Exp | Luckhaus $(b)$ | This work (c) | $b-c$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| $A_{2}$ | $\nu_{4}+\nu_{5}$ | 3995.8 | 4001.17 | 4001.157 | 0.01 |
| $B_{2}$ | $\nu_{1}+\nu_{6}$ |  | 4027.23 | 4027.211 | 0.02 |
| $A_{1}$ | $\nu_{2}+2 \nu_{4}$ | 4058.3 | 4066.64 | 4066.641 | 0.00 |
| $A_{1}$ | $\nu_{5}+\nu_{6}$ | 4083.1 | 4088.52 | 4088.499 | 0.02 |
| $A_{2}$ | $\nu_{2}+\nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ | 4163.9 | 4175.55 | 4175.540 | 0.01 |
| $B_{1}$ | $2 \nu_{3}+\nu_{4}$ |  | 4186.18 | 4186.178 | 0.00 |
| $B_{2}$ | $2 \nu_{3}+\nu_{6}$ |  | 4210.67 | 4210.645 | 0.03 |
| $A_{1}$ | $\nu_{2}+2 \nu_{6}$ | 4248.7 | 4257.93 | 4257.923 | 0.01 |
| $A_{1}$ | $\nu_{1}+\nu_{3}$ | 4253.8 | 4265.94 | 4265.906 | 0.03 |
| $B_{2}$ | $\nu_{3}+\nu_{5}$ | 4335.1 | 4345.10 | 4345.066 | 0.03 |
| $B_{1}$ | $\nu_{2}+\nu_{3}$ |  |  |  |  |
|  | $+\nu_{4}$ | 4397.5 | 4413.60 | 4413.591 | 0.01 |

## Results

| sym | Assig | Exp | Luckhaus $(b)$ | This work $(c)$ | $b-c$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| $B_{2}$ | $\nu_{2}+\nu_{3}$ |  |  |  |  |
|  | $+\nu_{6}$ | 4466.8 | 4479.98 | 4479.949 | 0.03 |
| $A_{1}$ | $3 \nu_{3}$ |  | 4520.35 | 4520.328 | 0.02 |
| $A_{1}$ | $\nu_{1}+\nu_{2}$ | 4529.4 | 4533.11 | 4533.063 | 0.05 |
| $B_{2}$ | $\nu_{2}+\nu_{5}$ | 4571.5 | 4573.60 | 4573.555 | 0.05 |
| $B_{1}$ | $2 \nu_{2}+\nu_{4}$ | 4624.3 | 4635.90 | 4635.883 | 0.02 |
| $A_{1}$ | $4 \nu_{4}$ | 4629.0 | 4627.89 | 4627.888 | 0.00 |
| $A_{1}$ | $\nu_{2}+2 \nu_{3}$ | 4730.8 | 4749.30 | 4749.263 | 0.04 |
| $B_{2}$ | $2 \nu_{2}+\nu_{6}$ | 4733.8 | 4744.14 | 4744.108 | 0.03 |
| $A_{2}$ | $3 \nu_{4}+\nu_{6}$ | 4741.9 | 4751.76 | 4751.761 | 0.00 |
| $A_{1}$ | $2 \nu_{4}+2 \nu_{6}$ | 4842.0 | 4858.07 | 4858.069 | 0.00 |
| $A_{2}$ | $\nu_{4}+3 \nu_{6}$ |  | 4945.24 | 4945.238 | 0.00 |
| $A_{1}$ | $2 \nu_{2}+\nu_{3}$ | 4955.2 | 4972.31 | 4972.265 | 0.05 |
| $B_{1}$ | $\nu_{3}+3 \nu_{4}$ | 4977.1 | 4991.38 | 4991.380 | 0.00 |

## Results

| sym | Assig | Exp | Luckhaus $(b)$ | This work (c) | $b-c$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| $A_{1}$ | $4 \nu_{6}$ |  | 4990.19 | 4990.178 | 0.01 |
| $B_{2}$ | $\nu_{3}+2 \nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5043.7 | 5060.42 | 5060.409 | 0.01 |
| $A_{1}$ | $\nu_{1}+2 \nu_{4}$ | 5092.4 | 5100.25 | 5100.243 | 0.01 |
| $B_{1}$ | $\nu_{3}+\nu_{4}$ |  |  |  |  |
|  | $+2 \nu_{6}$ | 5104.0 | 5129.87 | 5129.855 | 0.01 |
| $B_{2}$ | $2 \nu_{4}+\nu_{5}$ | 5140.1 | 5150.73 | 5150.710 | 0.02 |
| $B_{2}$ | $\nu_{3}+3 \nu_{6}$ | 5151.0 | 5168.21 | 5168.174 | 0.04 |
| $A_{1}$ | $3 \nu_{2}$ | 5177.6 | 5191.42 | 5191.382 | 0.04 |
| $A_{2}$ | $\nu_{1}+\nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ |  | 5197.60 | 5197.575 | 0.02 |
| $B_{1}$ | $\nu_{2}+3 \nu_{4}$ | 5205.2 | 5211.64 | 5211.638 | 0.00 |
|  |  |  |  |  |  |

## Results

| sym | Assig | Exp | Luckhaus (b) | This work (c) | $b-c$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $B_{1}$ | $\nu_{4}+\nu_{5}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5244.1 | 5258.99 | 5258.969 | 0.02 |
| $A_{1}$ | $\nu_{1}+2 \nu_{6}$ |  | 5265.19 | 5265.134 | 0.06 |
| $B_{2}$ | $\nu_{5}+2 \nu_{6}$ | 5312.2 | 5324.82 | 5324.788 | 0.03 |
| $A_{1}$ | $2 \nu_{3}+2 \nu_{4}$ | 5321.3 | 5345.49 | 5345.469 | 0.02 |
| $B_{2}$ | $\nu_{2}+2 \nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5325.6 | 5336.91 | 5336.893 | 0.02 |
| $A_{2}$ | $2 \nu_{3}+\nu_{4}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5353.2 | 5382.63 | 5382.600 | 0.03 |
| $A_{1}$ | $2 \nu_{3}+2 \nu_{6}$ | 5389.4 | 5404.04 | 5403.954 | 0.09 |
| $B_{1}$ | $\nu_{1}+\nu_{3}$ |  |  |  |  |
|  | $+\nu_{4}$ |  | 5430.42 | 5430.388 | 0.03 |
| $B_{1}$ | $\nu_{2}+\nu_{4}$ | 5417.6 | 5434.25 | 5434.234 | 0.02 |
|  | $+2 \nu_{6}$ | 5417.6 |  |  |  |

## Results

| sym | Assig | Exp | Luckhaus (b) | This work (c) | $b-c$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| $B_{2}$ | $\nu_{1}+\nu_{3}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5433.4 | 5442.37 | 5442.232 | 0.14 |
| $A_{1}$ | $2 \nu_{1}$ | 5462.7 | 5472.06 | 5471.900 | 0.16 |
| $B_{2}$ | $\nu_{2}+3 \nu_{6}$ |  | 5504.12 | 5504.070 | 0.05 |
| $A_{2}$ | $\nu_{3}+\nu_{4}$ |  |  |  |  |
|  | $+\nu_{5}$ | 5489.0 | 5506.75 | 5506.717 | 0.03 |
| $B_{2}$ | $\nu_{1}+\nu_{5}$ | 5530.5 | 5542.45 | 5542.290 | 0.16 |
| $A_{1}$ | $\nu_{2}+\nu_{3}$ |  |  |  |  |
|  | $+2 \nu_{4}$ | 5546.5 | 5567.85 | 5567.824 | 0.03 |
| $A_{1}$ | $\nu_{3}+\nu_{5}$ |  |  |  |  |
|  | $+\nu_{6}$ | 5551.3 | 5557.47 | 5557.357 | 0.11 |
| $A_{2}$ | $\nu_{2}+\nu_{3}$ |  |  |  |  |
|  | $+\nu_{4}+\nu_{6}$ | 5625.5 | 5644.84 | 5644.797 | 0.04 |
| $A_{1}$ | $2 \nu_{5}$ | 5651.0 | 5653.58 | 5653.395 | 0.18 |

If the potential is a SOP it is possible to drastically reduce the memory cost by using different ideas

$$
n^{2 D} \rightarrow n^{D} \rightarrow \frac{(D+n)!}{D!n!} \rightarrow n
$$

If $D=20$ and $n=15$

$$
9 \times 10^{38} \mathrm{~GB} \rightarrow 3 \times 10^{15} \mathrm{~GB} \rightarrow 26 \mathrm{~GB} \rightarrow 1 \mathrm{~GB}
$$

A basis function,

$$
\Psi\left(q_{1}, \ldots, q_{D}\right)=\sum_{i_{1}=1}^{n_{1}} \ldots \sum_{i_{D}=1}^{n_{D}} F_{i_{1} i_{2} \ldots i_{D}} \prod_{j=1}^{D} \theta_{i_{j}}^{j}\left(q_{j}\right)
$$

is itself a SOP if

$$
F_{i_{1} i_{2} \ldots i_{D}}=\sum_{\ell=1}^{R} \prod_{j=1}^{D} f_{i_{j}}^{(\ell, j)}
$$

The memory cost scales as $R n D$
The canonical polyadic (CP) decomposition for tensors.

- Start with
$F_{i_{1} i_{2} \ldots i_{D}}=\prod_{j=1}^{D} f_{i_{j}}^{(1, j)}$,
with some random $f_{i j}^{(1, j)}$
- the Hamiltonian is

$$
\hat{H}\left(q_{1}, \ldots, q_{D}\right)=\sum_{k=1}^{T} \prod_{j=1}^{D} h_{k j}\left(q_{j}\right)
$$

- Make basis vectors by applying $\mathbf{H}$ to $F_{i_{1} i_{2} \ldots i_{D}}$


## Block Power Method

Make a basis by applying $\widetilde{\mathbf{H}}$ to a set of $B$ start vectors.

$$
\widetilde{H}=H-\sigma l
$$

Alternating successive applications of $\widetilde{\mathbf{H}}$ with a modified Gram-Schmidt orthogonalization, we obtains a basis of SOP vectors,

## Block Power Method

The key step is

$$
\left(\mathbf{F}^{\prime}\right)_{i_{1}^{\prime} i_{2}^{\prime} \ldots i_{D}^{\prime}}=(\mathbf{H F})_{i_{1}^{\prime} \ldots i_{D}^{\prime}}
$$

$$
\begin{aligned}
& \left(\mathbf{F}^{\prime}\right)_{i_{1}^{\prime} i_{2}^{\prime} \ldots i_{D}^{\prime}}^{\prime}=(\mathbf{H F})_{i_{1}^{\prime} \ldots i_{D}^{\prime}} \\
& =\sum_{i_{1}, i_{2}, \ldots, i_{D}} \sum_{k=1}^{T} \prod_{j^{\prime}=1}^{D}\left(\mathbf{h}_{k j^{\prime}}\right)_{i_{j^{\prime}}^{\prime} i_{j}^{\prime}} \sum_{\ell=1}^{R} \prod_{j=1}^{D} f_{i_{j}}^{(\ell, j)} \\
& =\sum_{k=1}^{T} \sum_{\ell=1}^{R} \prod_{j=1}^{D} \sum_{i_{j}}\left(\mathbf{h}_{k j}\right)_{i_{i_{j}^{\prime}} i_{j}} f_{i_{j}}^{(\ell, j)} .
\end{aligned}
$$

Only 1-D matrix-vector products are required

The rank of $\mathbf{F}^{\prime}$ is a factor of $T$ larger than the rank of $\mathbf{F}$.
Applying $\mathbf{H}$ to $\mathbf{F}$, with $R$ terms, yields a vector with $R T$ terms.
The rank must therefore be reduced after each matrix-vector product.

## Rank reduction

To reduce the rank, we use an alternating least squares algorithm of Beylkin and Mohlenkamp to replace

$$
\begin{aligned}
F_{i_{1} i_{2} \ldots i_{D}}^{\text {old }} & =\sum_{\ell=1}^{R_{\text {old }}} \prod_{j=1}^{D}{ }^{\text {old }} f_{i_{j}}^{(\ell, j)} \\
& \Longrightarrow F_{i_{1} i_{2} \ldots i_{D}}^{\text {new }}=\sum_{\ell=1}^{R_{\text {new }}} \prod_{j=1}^{D}{ }^{\text {new }} f_{i_{j}}^{(\ell, j)}
\end{aligned}
$$

by choosing $f_{i_{j}}^{(\ell, j)}$ to minimize $\left\|\mathbf{F}^{\text {new }}-\mathbf{F}^{\text {old }}\right\|$.

$$
\begin{aligned}
H\left(q_{1}, \ldots, q_{D}\right) & =\sum_{j=1}^{D} \frac{\omega_{j}}{2}\left(p_{j}^{2}+q_{j}^{2}\right)+\sum_{\substack{i, j=1 \\
i>j}}^{D} \alpha_{i j} q_{i} q_{j} \\
\omega_{j} & =\sqrt{j / 2}, j=1, \ldots, 6 .
\end{aligned}
$$

$\alpha_{i j}=0.1$
$D=20$
$n=10$
Reduction rank $=20$
Block size $=56$
$\operatorname{Max}\left(N_{\text {pow }}\right)=5000$

## Memory cost

A single vector has $10^{20}$ components; $8 \times 10^{11} \mathrm{~GB}$.

With the rank reduction method, we require less than 1 GB

Table: Energy levels of the 20 coupled oscillator Hamiltonian. From left to right : energy level number, exact energy level, RRBPM energy level, relative error, normal mode assignment.

| $n$ | $E_{n, \text { th }}$ | $E_{n, \text { num }}$ | $\frac{E_{n, \text { num }}-E_{n, \text { th }}}{E_{n, \text { th }}}$ | Assignment |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 21.719578 | 21.719587 | $4.2 \times 10^{-7}$ | - |
| 1 | 22.398270 | 22.398294 | $1.1 \times 10^{-6}$ | $\nu_{1}$ |
| 2 | 22.691775 | 22.691826 | $2.2 \times 10^{-6}$ | $\nu_{2}$ |
| 3 | 22.917012 | 22.917129 | $5.1 \times 10^{-6}$ | $\nu_{3}$ |
| 4 | 23.076962 | 23.077014 | $2.3 \times 10^{-6}$ | $2 \nu_{1}$ |
| 5 | 23.106960 | 23.107006 | $2.0 \times 10^{-6}$ | $\nu_{4}$ |
| 6 | 23.274380 | 23.274502 | $5.3 \times 10^{-6}$ | $\nu_{5}$ |
| 7 | 23.370467 | 23.370629 | $6.9 \times 10^{-6}$ | $\nu_{1}+\nu_{2}$ |
| 8 | 23.425814 | 23.425951 | $5.8 \times 10^{-6}$ | $\nu_{6}$ |
| 9 | 23.565153 | 23.565222 | $2.9 \times 10^{-6}$ | $\nu_{7}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |


| $n$ | $E_{n, \text { th }}$ | $E_{n, \text { num }}$ | $\frac{E_{n, \text { num }}-E_{n, \text { th }}}{E_{n, \text { h }}}$ | Assignment |
| :---: | :---: | :---: | :---: | :---: |
| 20 | 24.049160 | 24.049374 | $8.9 \times 10^{-6}$ | $2 \nu_{1}+\nu_{2}$ |
| 21 | 24.079158 | 24.079914 | $3.1 \times 10^{-5}$ | $\nu_{3}+\nu_{4}$ |
| 22 | 24.104506 | 24.104878 | $1.6 \times 10^{-5}$ | $\nu_{1}+\nu_{6}$ |
| 23 | 24.114446 | 24.114570 | $5.2 \times 10^{-6}$ | $2 \nu_{3}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 30 | 24.342665 | 24.343080 | $1.7 \times 10^{-5}$ | $\nu_{1}+2 \nu_{2}$ |
| 31 | 24.346217 | 24.346365 | $6.1 \times 10^{-6}$ | $\nu_{14}$ |
| 32 | 24.373625 | 24.373996 | $1.5 \times 10^{-5}$ | $\nu_{1}+\nu_{8}$ |
| 33 | 24.398012 | 24.398676 | $2.7 \times 10^{-5}$ | $\nu_{2}+\nu_{6}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |


| $n$ | $E_{n, \text { th }}$ | $E_{n, \text { num }}$ | $\frac{E_{n, \text { num }}-E_{n, \text { th }}}{E_{n, \text { th }}}$ | Assignment |
| :---: | :---: | :---: | :---: | :---: |
| 40 | 24.532333 | 24.533376 | $4.3 \times 10^{-5}$ | $\nu_{16}$ |
| 41 | 24.537351 | 24.539130 | $7.3 \times 10^{-5}$ | $\nu_{2}+\nu_{7}$ |
| 42 | 24.567902 | 24.570246 | $9.5 \times 10^{-5}$ | $\nu_{1}+\nu_{2}+\nu_{3}$ |
| 43 | 24.611100 | 24.613013 | $7.8 \times 10^{-5}$ | $\nu_{1}+\nu_{10}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 50 | 24.709939 | 24.725314 | $6.2 \times 10^{-4}$ | $\nu_{18}$ |
| 51 | 24.721068 | 24.765667 | $1.8 \times 10^{-3}$ | $\nu_{1}+\nu_{11}$ |
| 52 | 24.727852 | 24.786084 | $2.4 \times 10^{-3}$ | $3 \nu_{1}+\nu_{2}$ |
| 53 | 24.757850 | 24.810515 | $2.1 \times 10^{-3}$ | $\nu_{1}+\nu_{2}+\nu_{4}$ |
| 54 | 24.762587 | 24.823982 | $2.5 \times 10^{-3}$ | $\nu_{3}+\nu_{7}$ |
| 55 | 24.783198 | 24.863299 | $3.2 \times 10^{-3}$ | $2 \nu_{1}+\nu_{6}$ |



## Conclusion

- Smolyak ideas, whether used to do quadrature or with collocation, significantly reduce the memory cost of computing vibrational spectra
- Polynomial basis functions are much better than piecewise linear functions
- With collocation one can use non-orthogonal basis functions and obviate the need to solve a generalized eigenvalue problem.
- 12-D calculations are possible. Grid size $\sim 5.7 \times 10^{13} \rightarrow 8.5 \times 10^{6}$ Memory cost $500 \mathrm{~GB} \rightarrow 0.07 \mathrm{~GB}$
- When the potential is simple, SOP basis functions, a shifted power method, and rank reduction scheme make 20-D calculations are possible with a memory cost of less than 1 GB .

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