

# From Boltzmann to Landau: convergence of solutions and propagation of integrability in the Coulomb case

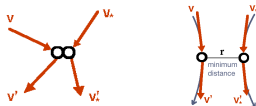
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## We are interested in the behavior of rarefied gases with long-range intermolecular interactions:

- Forces between gas particles are inversely proportional to the distance between them:  $r^{-s}$ ,  $s \geq 2$ .
- Interacting particles barely change each others' trajectories:  $|v' - v|, |v'_* - v_*| \sim 0$ , or
- Trajectories of particles very close to each other are almost parallel:  $|v - v_*| = |v' - v'_*| \sim 0$
- Particles interact at very small angles:  $(v - v_*) \cdot (v' - v'_*) \sim |v - v_*|^2$ .



### The model:

For  $t > 0$ , let  $0 \leq f = f(v, x, t) \in L^1_x \times L^1_v(\mathbb{R}^3)$  be the particles' probability distribution function.

If  $s > 2$ , the flow of a rarefied gas is modeled by the Boltzmann equation:

$$\partial_t f(v, x, t) + v \cdot \nabla_x f(v, x, t) = Q_B(f, f)(v, x, t)$$

$s = 2$  corresponds to *Coulomb forces* - use the *Landau equation* instead:

$$\partial_t f(v, x, t) + v \cdot \nabla_x f(v, x, t) = Q_L(f, f)(v, x, t)$$

Transition from Boltzmann to Landau equations is called the *grazing collisions limit*.

## Structure of this talk

### 1 Introduction

- description of the Boltzmann and Landau equations
- the grazing collisions limit
- well-posedness

### 2 An angle-potential concentrated collision kernel

- motivation
- connection with  $Q_L$

### 3 Existence of $L^p$ Boltzmann solutions:

- $L^p$  estimates on the collision operator
- Bressan's theorem for existence and uniqueness

### 4 Future study

- compactness
- convergence to a Landau solution?

## The Boltzmann equation: geometry of collisions

Suppose that

$v, v_*$  are velocities of two colliding particles,

$v', v'_*$  are their pre- (or post-) collisional velocities  
(elastic collisions are reversible).

Conservation laws

$$v + v_* = v' + v'_* \quad (\text{momentum})$$

$$|v|^2 + |v_*|^2 = |v'|^2 + |v'_*|^2 \quad (\text{kinetic energy})$$

Representation by  $\sigma$

For  $v, v_* \in \mathbb{R}^3$ , any  $v', v'_*$  can be uniquely represented by

$$v' = v'(v, v_*, \sigma) = v + \frac{1}{2}|u|(\sigma - \hat{u})$$

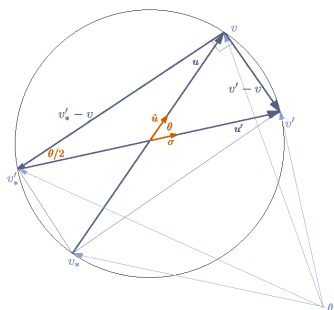
$$v'_* = v'_*(v, v_*, \sigma) = v_* - \frac{1}{2}|u|(\sigma - \hat{u})$$

Facts

$$|u| = |u'|$$

$$u' - u = 2(v' - v) = -2(v'_* - v_*)$$

$$|v' - v| = |v'_* - v_*| = |u| \sin(\theta/2)$$



Notation

$$u := v - v_*$$

$$u' := v' - v'_*$$

$$\sigma := \frac{u'}{|u'|} = \frac{u}{|u|}$$

$$\cos \theta = \hat{u} \cdot \sigma$$

## The Boltzmann equation as a birth-death process

The Boltzmann equation can be derived from the Chapman-Kolmogorov equations for birth-death processes: for all  $t > 0$ ,

$$\begin{aligned}\frac{d}{dt}f(v) &= \int_{\mathbb{R}^3} \left( [\text{rate of entering state } (v, v_*)] - [\text{rate of leaving state } (v, v_*)] \right) dv_* \\ &= \int_{\mathbb{R}^3} \int_{S^2} [\text{rate of leaving some state } (v', v'_*)] - [\text{rate of leaving state } (v, v_*)] d\sigma dv_* \\ &= \int_{\mathbb{R}^3} \int_{S^2} B(|v - v_*|^\gamma, \hat{u} \cdot \sigma) (f(v')f(v'_*) - f(v)f(v_*)) d\sigma dv_* = Q_B(f, f)(v).\end{aligned}$$

- $B(|u|^\gamma, \hat{u} \cdot \sigma)$  is the *collision kernel* - models transition rates.
- $\gamma = \gamma(s) = \frac{s-5}{s-1}$  is the *potential* and depends on the strength of intermolecular forces.
  - $0 < \gamma \leq 1 \rightarrow$  *hard potentials*
  - $\gamma = 0 \rightarrow$  *Maxwell molecules*
  - $-2 < \gamma < 0 \rightarrow$  *soft potentials*
  - $-3 < \gamma \leq -2 \rightarrow$  *very soft potentials*
  - $\gamma = -3 \rightarrow$  *Coulomb potential - no good for Boltzmann*

The space homogenous Boltzmann equation is

$$\begin{cases} \frac{\partial}{\partial t} f(v, t) = \int_{\mathbb{R}^3} \int_{S^2} B(|u|^\gamma, \hat{u} \cdot \sigma) (f' f'_* - f f_*) d\sigma dv_* \\ f(v, 0) = f_0(v). \end{cases}$$



## The Landau equation

In 1936, L. Landau used the *Rutherford collisional cross section* heuristically to come up with

$$Q_L(f, h)(v, t) := \nabla_v \cdot \int_{\mathbb{R}^3} \frac{1}{|u|^{\gamma+2}} \Pi(u) (\nabla_v f(v, t) h(v_*, t) - f(v, t) \nabla_{v_*} h(v_*, t)) dv_*$$

$$\Pi(u) := I_{3 \times 3} - \frac{u \otimes u}{|u|^2} \in \mathbb{R}^{3 \times 3}$$

$\gamma = -d = -3 \rightarrow$  "true Landau".

The Landau equation is

$$\begin{cases} \partial_t f = Q_L(f, f)(v, t) \\ f(v, 0) = f_0(v), \end{cases}$$

Remarks:

- No post collisional velocities  $v', v'_*$  or integration over the sphere: particles stay away from each other - barely change each other's trajectories. No actual collisions.
- BTE cannot handle the case  $\gamma = -3!$

## Conservation laws, entropy decay

For  $Q = Q_B$  or  $Q_L$ , the following holds:

- Conservation Laws

$$\frac{\partial}{\partial t} \int f(v, t)(1, v, |v|^2)dv = \int Q(f, f)(1, v, |v|^2)dv = 0$$

by symmetry of  $Q$ . Therefore mass (1), momentum ( $v$ ) and energy ( $|v|^2$ ) are conserved:

$$\int f(v, t)(1, v, |v|^2)dv = \int f_0(v)(1, v, |v|^2)dv$$

- The H-Theorem

$$\frac{d}{dt} \mathcal{H}(t) = \frac{d}{dt} \int f(v, t) \log f(v, t)dv = \int Q(f, f)(v, t) \log f(v, t)dv \leq 0,$$

and equality holds if and only if  $f(v, t)$  is Maxwellian in  $v$ .

We will always assume that  $f_0 = f(\cdot, 0) \in L^1_2 \cap L \log L(\mathbb{R}^3)$ , i.e.

$$\int f_0(v) \log f_0(v)dv < \infty \qquad \int f_0(v)(1 + |v|^2)dv < \infty$$



## The grazing collisions limit: truncation

How does the Boltzmann equation become the Landau equation in the Coulomb case?  
Choose a suitable truncation of  $B(|u|^\gamma, \hat{u} \cdot \sigma)$ . Examples:

### Classical Rutherford truncation:

[Villani, '98] and [Gamba, Haack '15]

$$b_\varepsilon(\cos \theta) \sin \theta d\theta = -\frac{I_{\sin(\theta/2) > \varepsilon}(\theta)}{2\pi \log \sin(\varepsilon/2)} \sin^{-4}(\theta/2) \sin \theta d\theta.$$

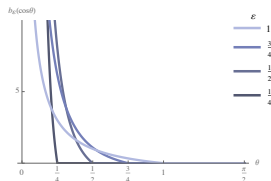
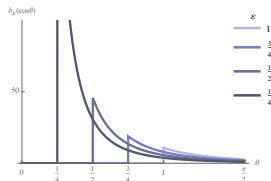
\* [Gamba, Haack]: also works for  $4 < m < 6$   
(different  $\varepsilon$  - coefficient)

### Approximation of $\theta$ -singularity:

[Lingbing He, '14]

$$b_\varepsilon(\cos \theta) \sin \theta d\theta = k\varepsilon^{1+2\varepsilon} \theta^{2\varepsilon-3} \zeta\left(\frac{\theta}{\varepsilon}\right) d\theta,$$

where  $\zeta \in C([0, \pi/2])$ ,  $\text{supp}(\zeta) \in [0, 1]$ .



The grazing collisions limit: the convergence  $Q_{B_\varepsilon} \rightarrow Q_L$

Many possibilities for  $b_\varepsilon$ , but in order for  $Q_{B_\varepsilon}(f, f) \rightarrow Q_L(f, f)$  need

$$\left\{ \begin{array}{l} \lim_{\varepsilon \rightarrow 0} \beta_2^\varepsilon = \lim_{\varepsilon \rightarrow 0} \int_0^\pi b_\varepsilon(\cos \theta) \sin^2(\theta/2) \sin \theta d\theta = \frac{2}{\pi} < \infty, \\ \lim_{\varepsilon \rightarrow 0} \beta_k^\varepsilon = \lim_{\varepsilon \rightarrow 0} \int_0^\pi b_\varepsilon(\cos \theta) \sin^k(\theta/2) \sin \theta d\theta = 0 \quad \forall k > 2, \\ \forall \theta_0 \in (0, \frac{\pi}{2}), \lim_{\varepsilon \rightarrow 0} b_\varepsilon(\cos \theta) = 0 \text{ uniformly on } \{\theta > \theta_0\}. \end{array} \right.$$

### Proposition 1.

Consider a sequence of nonnegative collision kernels,  
 $B_\varepsilon = B_\varepsilon(|u|^\gamma, \hat{u} \cdot \sigma) = |u|^\gamma b_\varepsilon(\hat{u} \cdot \theta)$ ,  $-3 \leq \gamma \leq -1$ , satisfying the properties above,  
and let  $0 \leq f \in L_2^1 \cap L^p$ , where

$$p > \frac{6}{8 + \gamma} \text{ if } \gamma \leq -2,$$

$$p > 1 \text{ if } \gamma > -2.$$

Then for any test function  $\varphi \in C_0^\infty(\mathbb{R}^3)$  and for any  $t > 0$ ,

$$\lim_{\varepsilon \rightarrow 0} \left| \int (Q_{B_\varepsilon}(f, f)(v, t) - Q_L(f, f)(v, t)) \varphi(v) dv \right| = 0.$$

## Proof

One can check that

$$\begin{aligned}
 2 \int Q_L(f, f) \varphi dv &= \iint f f_* |u|^\gamma \left[ G_L^1(v, v_*) + G_L^2(v, v_*) \right] dv_* dv \\
 &= \iint f f_* |u|^\gamma \left[ -4(\partial_{v_i} \varphi(v) - \partial_{v_{*i}} \varphi(v_*)) u_i \right. \\
 &\quad \left. + |u|^2 (\partial_{v_i v_j} \varphi(v) + \partial_{v_{*i} v_{*j}} \varphi(v_*)) \Pi(u)_{ij} \right] dv_* dv,
 \end{aligned}$$

$$\begin{aligned}
 2 \int Q_{B_\varepsilon}(f, f) \varphi dv &= \iint f f_* G[B_\varepsilon](v, v_*) dv_* dv \\
 &= \iint f f_* |u|^\gamma \left[ \int_0^{\pi/2} b_\varepsilon(\cos) \int (\varphi' + \varphi'_* - \varphi - \varphi_*) d\phi d\theta \right] dv_* dv.
 \end{aligned}$$

Taylor expansion of  $\varphi$ :

$$\begin{aligned}
 (\varphi' - \varphi) + (\varphi'_* - \varphi_*) &= \partial_{v_i} \varphi(v) (v'_i - v_i) + \partial_{v_{*i}} \varphi(v_*) (v_{*i}' - v_{*i}) \\
 &\quad + \frac{1}{2} \partial_{v_i v_j} \varphi(v) (v'_i - v_i) (v'_j - v_j) + \frac{1}{2} \partial_{v_{*i} v_{*j}} \varphi(v_*) (v_{*i}' - v_{*i}) (v_{*j}' - v_{*j}) \\
 &\quad + \frac{1}{6} \partial_{v_i v_j v_k} \varphi(\zeta) (v'_i - v_i) (v'_j - v_j) (v'_k - v_k) + \frac{1}{6} \partial_{v_{*i} v_{*j} v_{*k}} \varphi(\xi) (v_{*i}' - v_{*i}) (v_{*j}' - v_{*j}) (v_{*k}' - v_{*k})
 \end{aligned}$$

$$= (\partial_{v_i} \varphi - \partial_{v_{*i}} \varphi_*) (v'_i - v_i) + \frac{1}{2} (\partial_{v_i v_j} \varphi + \partial_{v_{*i} v_{*j}} \varphi_*) (v'_i - v_i) (v'_j - v_j) + Err.$$

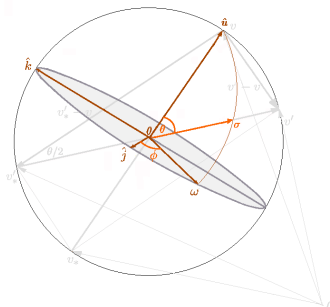
## Proof (II)

Then,

$$\begin{aligned}
 \mathbf{G}[\mathbf{B}_\epsilon] &= G_1[B_\epsilon] + G_2[B_\epsilon] + G_3[B_\epsilon] \\
 &= (\partial_{v_i} \varphi - \partial_{v_{*i}} \varphi_*) \int_0^{\pi/2} b_\epsilon(\cos \theta) \sin \theta \int_{-\pi}^{\pi} (v'_i - v_i) d\phi d\theta \\
 &+ \frac{1}{2} (\partial_{v_i} v_j \varphi(v) + \partial_{v_{*i} v_{*j}} \varphi(v_*)) \int_0^{\pi/2} b_\epsilon(\cos \theta) \sin \theta \int_{-\pi}^{\pi} (v'_i - v_i)(v'_j - v_j) d\phi d\theta \\
 &+ \int_0^{\pi/2} b_\epsilon(\cos \theta) \int_{-\pi}^{\pi} (Err) d\phi d\theta.
 \end{aligned}$$

Using the geometry of collisions,

$$\begin{aligned}
 \int_{-\pi}^{\pi} (v'_i - v_i) d\phi &= -2\pi u_i \sin^2(\theta/2) \\
 \int_{-\pi}^{\pi} (v'_i - v_i)(v'_j - v_j) d\phi \\
 &= \pi \sin^4(\theta/2) (2u_i u_j - |u|^2 \Pi(u)_{ij}) \\
 &+ \pi |u|^2 \Pi(u)_{ij} \sin^2(\theta/2) \\
 \int_{-\pi}^{\pi} |v' - v|^3 d\phi &= |u|^3 \int_{-\pi}^{\pi} \sin^3(\theta/2) d\phi \\
 &= 2\pi |u|^3 \sin^3(\theta/2)
 \end{aligned}$$



### Proof (III)

So,

$$\begin{aligned} \int_0^{\pi/2} b_\varepsilon(\cos \theta) \sin \theta \int_{-\pi}^{\pi} (v'_i - v_i) d\phi d\theta &= -2\pi \mathbf{u}_i \beta_2^\varepsilon, \\ \int_0^{\pi/2} b_\varepsilon(\cos \theta) \sin \theta \int_{-\pi}^{\pi} (v'_i - v_i)(v'_j - v_j) d\phi d\theta &= \pi \left( 2\mathbf{u}_i \mathbf{u}_j - |\mathbf{u}|^2 \Pi(\mathbf{u})_{ij} \right) \beta_4^\varepsilon \\ &\quad + \pi |\mathbf{u}|^2 \Pi(\mathbf{u})_{ij} \beta_2^\varepsilon \\ \int_0^{\pi/2} b_\varepsilon(\cos \theta) \int_{-\pi}^{\pi} (Err) d\phi d\theta &\leq \frac{1}{3} \|D^2 \varphi\|_{L^\infty} |\mathbf{u}|^3 \beta_3^\varepsilon. \end{aligned}$$

Thanks to the assumption that  $f \in L^p$ , the integrals below are finite and we can use the Dominated Convergence Theorem to get

$$\begin{aligned} \int Q_{B_\varepsilon}(f, f) \varphi dv &= \iint f f_* |\mathbf{u}|^\gamma G[B_\varepsilon] dv_* dv \\ &\rightarrow \iint f f_* |\mathbf{u}|^\gamma [-4(\partial_{v_i} \varphi - \partial_{v_{*i}} \varphi_*) \mathbf{u}_i] dv_* dv \\ &+ \iint f f_* |\mathbf{u}|^\gamma [|\mathbf{u}|^2 (\partial_{v_i v_j} \varphi + \partial_{v_{*i} v_{*j}} \varphi_*) \Pi(\mathbf{u})_{ij}] \\ &= \iint f f_* |\mathbf{u}|^\gamma \mathbf{G}_L^1 dv_* dv + \iint f f_* |\mathbf{u}|^\gamma \mathbf{G}_L^2 dv_* dv = \int Q_L(f, f) \varphi dv. \end{aligned}$$



## Weak formulations (I)

Two types of weak solutions to the Boltzmann and Landau equations:

- 1 "classical" weak solutions ('weak solutions') - exist only when  $\gamma > -2$ .
- 2 weak-H solutions ('H-solutions') - they are *weaker* than weak solutions and exist for  $\gamma \leq -2$ .

*The difference: "definition" of  $\int Q\varphi$ .*

Boltzmann: Formally, if  $\varphi \in C_0^\infty(\mathbb{R}^3)$ ,

$$\begin{aligned}\int Q_B(f, f)(v)\varphi(v)dv &= \iint_{\mathbb{R}^3 \times \mathbb{R}^3} \int_{S^2} B(|u|^\gamma, \hat{u} \cdot \sigma) (f' f'_* - f f_*) \varphi(v) d\sigma dv_* dv \\ &= \frac{1}{4} \iiint \int B(|u|^\gamma, \hat{u} \cdot \sigma) (f' f'_* - f f_*) \cdot\end{aligned}$$

$$(1) \quad \cdot (\varphi + \varphi_* - \varphi' - \varphi'_*) d\sigma dv_* dv \leftarrow H \text{ form}$$

$$= \frac{1}{4} \iiint \int B(|u|^\gamma, \hat{u} \cdot \sigma) f(v') f(v'_*) (\varphi + \varphi_* - \varphi' - \varphi'_*) d\sigma dv_* dv$$

$$- \frac{1}{4} \iiint \int B(|u|^\gamma, \hat{u} \cdot \sigma) f(v) f(v_*) (\varphi + \varphi_* - \varphi' - \varphi'_*) d\sigma dv_* dv$$

$$(2) \quad = \frac{1}{2} \iint f(v) f(v_*) |u|^\gamma \int b(\hat{u} \cdot \sigma) (\varphi + \varphi_* - \varphi' - \varphi'_*) d\sigma dv_* dv. \leftarrow \text{weak form}$$

Landau: Similarly for  $\varphi \in C_0^\infty(\mathbb{R}^3)$ , formally

$$\begin{aligned} \int Q_L(f, f)(v)\varphi(v)dv &= \int_{\mathbb{R}^3} \varphi(v)\partial_{v_i} \int_{\mathbb{R}^3} |u|^{\gamma+2}\Pi(u)_{ij} \left( f_*\partial_{v_j}f - f\partial_{v_*j}f_* \right) dv_*dv \\ &= -\frac{1}{2} \iint |u|^{\gamma+2} (\partial_{v_i}\varphi - \partial_{v_*i}\varphi_*) \Pi(u)_{ij} \cdot \end{aligned}$$

$$(3) \quad \cdot \left( f_*\partial_{v_j}f - f\partial_{v_*j}f_* \right) dv_*dv \leftarrow H \text{ form}$$

$$= -2 \iint f(v)f(v_*)|u|^\gamma (\partial_{v_i}\varphi(v) - \partial_{v_*i}\varphi(v_*))u_i dv_*dv$$

$$(4) \quad +\frac{1}{2} \iint f(v)f(v_*)|u|^{\gamma+2} (\partial_{v_i}v_j\varphi(v) + \partial_{v_*i}v_*j\varphi(v_*))\Pi(u)_{ij} dv_*dv \leftarrow \text{weak form.}$$

- If  $\gamma > -2$  and  $f \in L_2^1 \cap L \log L$ , the H and weak forms are equivalent and well defined  $\Rightarrow$  weak and H solutions are the same.
- If  $\gamma \leq -2$  and  $f \in L_2^1 \cap L \log L$ , the weak forms blow up. H forms could blow up too, unless

$$\left| \frac{d}{dt} \mathcal{H}(t) \right| = \left| \frac{d}{dt} \int f(v, t) \log f(v, t) dv \right| = \left| \int Q_{B, L}(f, f)(v, t) \log f(v, t) dv \right| < \infty.$$

Villani, 1998: existence of global weak solutions for  $\gamma > -2$ , global H solutions for  $\gamma \leq -2$ , for both Boltzmann and Landau equations.

## An angle-potential concentrated collision kernel

From [Bobilev, Potapenko, 2013]:

Consider

$$B_\varepsilon(|u|^\gamma, \hat{u} \cdot \sigma) = g_\varepsilon(|u|^\gamma, \cos \theta) = \frac{4}{\pi \varepsilon} \delta_0(1 - \cos \theta - \min\{2, \varepsilon|u|^\gamma\}).$$

This kernel does not separate its variables,  $\cos \theta$  and  $|u|^\gamma$ . As a consequence,

- takes care of the singularity at  $u = 0$  as well as the one at  $\theta = 0$ .
- allows for the splitting of  $Q_{g_\varepsilon}$  into its *gain* and *loss* terms:

$$\begin{aligned} Q_{g_\varepsilon}(f, f)(v) &= Q_{g_\varepsilon}^+(f, f)(v) - Q_{g_\varepsilon}^-(f, f)(v) \\ &= \int_{\mathbb{R}^3} \int_{S^2} g_\varepsilon(|u|^\gamma, \hat{u} \cdot \sigma) f(v') f(v'_*), d\sigma dv_* dv - \frac{8}{\varepsilon} f(v), \end{aligned}$$

so that

$$\partial_t f(v, t) + \frac{8}{\varepsilon} f(v, t) = Q_{g_\varepsilon}^+(f, f)(v, t).$$



## Theorem 2.

Let  $p > \frac{6}{8+\gamma}$  if  $\gamma \in [-3, -2]$  and  $p > 1$  if  $\gamma \in (-2, -1)$ , and let  $f_\varepsilon \in L^1_2 \cap L^p(\mathbb{R}^3)$ . Then for all time,  $|Q_{g_\varepsilon}(f, f) - Q_L(f, f)| \rightarrow 0$  in the distributional sense as  $\varepsilon \rightarrow 0$ . That is, for any  $\varphi \in C_0^\infty(\mathbb{R}^3)$ ,

$$\lim_{\varepsilon \rightarrow 0} \left| \int_{\mathbb{R}^3} (Q_{g_\varepsilon}(f_\varepsilon, f_\varepsilon)(v, t) - Q_L(f_\varepsilon, f_\varepsilon)(v, t)) \varphi(v) dv \right| = 0.$$

## Formal proof.

Compute  $\lim_{\varepsilon \rightarrow 0} \beta_k^\varepsilon$  for  $k = 2$  and  $k > 2$ :

$$\begin{aligned} \beta_2^\varepsilon &= \int_0^{\frac{\pi}{2}} g_\varepsilon(|u|^\gamma, \cos \theta) \sin^2(\theta/2) \sin \theta d\theta = \frac{1}{2} \int_0^1 g_\varepsilon(|u|^\gamma, \mu)(1 - \mu) d\mu \\ &= \frac{2}{\pi \varepsilon} (1 - \mu_\varepsilon(|u|)) I_{\varepsilon|u|^\gamma \leq 1} = \frac{2}{\pi \varepsilon} m_\varepsilon(|u|) I_{\varepsilon|u|^\gamma \leq 1} = \frac{2}{\pi} |u|^\gamma I_{\varepsilon|u|^\gamma \leq 1} \\ &\rightarrow \frac{2}{\pi} |u|^\gamma \text{ as } \varepsilon \rightarrow 0. \end{aligned}$$

## Proof (II)

And for  $k > 2$ ,

$$\begin{aligned}\beta_k^\varepsilon &= \int_0^{\frac{\pi}{2}} g_\varepsilon(|u|^\gamma, \mu) \sin^k(\theta/2) \sin \theta d\theta \\ &= \int_0^1 g_\varepsilon(|u|^\gamma, \mu) \left(\frac{1}{2}(1-\mu)\right)^{\frac{k}{2}} d\mu = 2^{-\frac{k}{2}} \frac{4}{\pi\varepsilon} (1-\mu_\varepsilon(|u|))^{\frac{k}{2}} I_{\varepsilon|u|^\gamma \leq 1} \\ &= 2^{-\frac{k}{2}} \frac{4}{\pi\varepsilon} m_\varepsilon(|u|)^{\frac{k}{2}} I_{\varepsilon|u|^\gamma \leq 1} = \frac{2^{2-\frac{k}{2}}}{\pi} \varepsilon^{\frac{k}{2}-1} |u|^{\gamma k/2} I_{\varepsilon|u|^\gamma \leq 1} \\ &\longrightarrow 0 \text{ as } \varepsilon \longrightarrow 0.\end{aligned}$$

□

*Note:*

After integrating over  $\mu$ ,  $g_\varepsilon$  behaves in the same way as the usual  $B_\varepsilon$  does.

## The Cauchy problem

### Theorem 3.

For  $1 \leq p \leq \infty$ , let  $E := L^1_2 \cap L^p(\mathbb{R}^3)$  be a Banach space with the norm  $\|f\|_E := \|f\|_{L^1} + \|f\|_{L^p}$ , and let  $0 \leq f_0 \in F \cap L \log L$  with  $\|f_0\|_{L^1} = 1$ , where

$$F := \left\{ f \in E : f \geq 0, \|f\|_{L^1} = \|f_0\|_{L^1} = 1, \|f\|_{L^p(\mathbb{R}^3)} \leq C \right\}$$

for some  $C > 0$ . Then, there exists a **unique weak solution**,  $f_\varepsilon$ , to the Boltzmann equation

$$\begin{cases} \partial_t f_\varepsilon(v, t) = Q_{g_\varepsilon}(f_\varepsilon, f_\varepsilon)(v, t) \\ f_\varepsilon(v, 0) = f_0(v) \end{cases}$$

which lies in  $C^1((0, \infty), E) \cap C^1([0, \infty), F)$  that preserves mass, momentum, energy, and whose entropy is bounded by the initial entropy.

## Proof(I)

### Part I: Bressan's theorem

#### Theorem 4 (Bressan, '06).

Let  $E$  be a Banach space,  $F$  a bounded, convex and closed subset of  $E$ , and  $Q : F \rightarrow E$  an operator such that the following holds:

(i) *Holder continuity: for all  $f, h \in F$ ,*

$$\|Q[f] - Q[h]\|_E \leq C \|f - h\|_E^\beta \quad \text{for some } \beta \in (0, 1)$$

(ii) *the subgradient condition: for all  $f \in F$ ,*

$$\liminf_{\delta \rightarrow 0^+} \frac{1}{\delta} \text{dist}_E(f + \delta Q[f], F) = 0$$

(iii) *the one-sided Lipschitz condition: for all  $f, h \in F$ ,*

$$[Q[f] - Q[h], f - h] \leq C \|f - h\|_E \quad \text{for all } f, h \in F$$

where  $[\phi, \psi] := \lim_{\delta \rightarrow 0^+} \delta^{-1} (\|\psi + \delta\phi\|_E - \|\psi\|_E)$ .

Then, the equation

$$\begin{cases} \partial_t f = Q[f] \text{ on } [0, \infty) \times E \\ f(0) = f_0 \geq 0 \in F \text{ on } \{0\} \times E \end{cases}$$

has a unique solution,  $f \in C^1((0, \infty), E)$ .

Continuity of  $Q_{g_\varepsilon}^+$ 

## Theorem 5.

Let  $1 \leq p, q, r \leq \infty$ ,  $1/p + 1/q = 1 + 1/r$ . Then the bilinear operator  $Q_{g_\varepsilon}^+$  extends to a bounded operator from  $L^p(\mathbb{R}^3) \times L^q(\mathbb{R}^3)$  to  $L^r(\mathbb{R}^3)$ , and

$$\|Q_{g_\varepsilon}^+(f, h)\|_{L^r(\mathbb{R}^3)} \leq \frac{16}{\varepsilon} \|f\|_{L^p(\mathbb{R}^3)} \|h\|_{L^q(\mathbb{R}^3)}.$$

For any  $f, h \in F$ ,

$$\begin{aligned} \|Q_{g_\varepsilon}(f, f) - Q_{g_\varepsilon}(h, h)\|_E &\leq \|Q_{g_\varepsilon}(f, f - h)\|_E + \|Q_{g_\varepsilon}(f - h, h)\|_E \\ &= \|Q_{g_\varepsilon}(f, f - h)\|_{L^1} + \|Q_{g_\varepsilon}(f, f - h)\|_{L^p} \\ &\quad + \|Q_{g_\varepsilon}(f - h, h)\|_{L^1} + \|Q_{g_\varepsilon}(f - h, h)\|_{L^p} \\ &\leq \frac{16}{\varepsilon} \|f\|_{L^1} \|f - h\|_{L^1} + \frac{16}{\varepsilon} \|f\|_{L^p} \|f - h\|_{L^1} + \frac{16}{\varepsilon} \|f - h\|_{L^1} \|h\|_{L^1} \\ &\quad + \frac{16}{\varepsilon} \|f - h\|_{L^p} \|h\|_{L^1} = \frac{16}{\varepsilon} \|f - h\|_{L^1} (2 + \|f\|_{L^p}) + \frac{16}{\varepsilon} \|f - h\|_{L^p} \|h\|_{L^1} \\ &\leq \frac{16}{\varepsilon} (2 + C) \|f - h\|_E. \end{aligned}$$

## Proof (III)

$f_\varepsilon$  are weak solutions:

If  $f \in L^p$  for  $p = 1 + r$ , then the weak form of  $Q_{g_\varepsilon}$  is well defined.

### Lemma 6.

Let  $p > 1$ ,  $k > 0$  and  $\alpha < 0$  such that  $\alpha p' > -6$ . If  $f \in L^1 \cap L^p(\mathbb{R}^3)$  and  $h(v) := |v|^\alpha$ , then there exists  $C = C(p) > 0$  such that

$$\iint f(v)f(v_*)|v - v_*|^\alpha dv_* dv \leq \|f\|_{L^1(\mathbb{R}^3)}^2 + C\|f\|_{L^p(\mathbb{R}^3)}^2 \text{ if } \alpha < 0.$$

Proof.

Let  $h_1(v) := |v|^\alpha I_{|v| \leq 1}$  and  $h_2(v) := |v|^\alpha I_{|v| > 1}$ , so that  $h_1 + h_2 = h$ . Then

$$\iint f(v)f(v_*)h(v - v_*)dv_* dv = \int f(v)f * h_1(v)dv + \int f(v)f * h_2(v)dv.$$

By Holder's and Young's inequalities,

$$\int f f * h_1 dv \leq \|f\|_{L^p} \|f * h_1\|_{L^{p'}} \leq \|f\|_{L^p}^2 \|h_1\|_{L^{p'/2}},$$

$$\int f f * h_2 dv \leq \|f\|_{L^1} \|f * h_2\|_{L^\infty} \leq \|f\|_{L^1}^2 \|h_2\|_{L^\infty} = \|f\|_{L^1}^2.$$

Note that  $\|h_1\|_{L^{p'/2}}$  depends only on  $|S^2|$  and  $p'$ .



## A uniform bound on $f_\varepsilon(l)$

### Lemma 7.

For any  $p \in [1, \infty]$ ,  $K > 1$  and  $0 \leq f_\varepsilon \in L^1_2(\mathbb{R}^3) \cap L^p(\mathbb{R}^3) \cap L \log L(\mathbb{R}^3)$  a weak solution to the Boltzmann equation, the following holds: if  $1 < p < \infty$ ,

$$\begin{aligned} \|Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon)\|_{L^p(\mathbb{R}^3)} &\leq K^{\frac{1}{2p'}} \frac{16}{\varepsilon} \|f_\varepsilon\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}} \\ &\quad + \frac{16}{\varepsilon \log K} \|f_0\|_{L \log L(\mathbb{R}^3)} \|f_\varepsilon\|_{L^p(\mathbb{R}^3)} \text{ for } p < \infty, \end{aligned}$$

and if  $p = \infty$ ,

$$\|Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon)\|_{L^\infty(\mathbb{R}^3)} \leq \frac{16K}{\varepsilon} + \frac{16}{\varepsilon \log K} \|f_0\|_{L \log L(\mathbb{R}^3)} \|f_\varepsilon\|_{L^\infty(\mathbb{R}^3)}$$

**Proof.** The idea:

$$\begin{aligned} Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon) &= A + B := Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon I_{f_\varepsilon \leq K}) + Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon I_{f_\varepsilon > K}) \\ &\leq K^{\frac{1}{2p'}} Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon^{\frac{p+1}{2p}}) + \frac{1}{\log K} Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon \log f_\varepsilon) \\ &\leq K^{\frac{1}{2p'}} \frac{16}{\varepsilon} \|f_\varepsilon\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}} + \frac{16}{\varepsilon \log K} \|f_\varepsilon\|_{L^p(\mathbb{R}^3)} \|f_0\|_{L \log L(\mathbb{R}^3)}. \end{aligned}$$

## A uniform bound on $f_\varepsilon$ (II)

### Theorem 8.

Let  $f_\varepsilon = f_\varepsilon(v, t) \geq 0 \in L^1(\mathbb{R}^3)$  be a weak solution to the Boltzmann equation with nonnegative initial data

$0 \leq f_\varepsilon(v, 0) := f_0 \in L^1_2(\mathbb{R}^3) \cap L^p(\mathbb{R}^3) \cap L \log L(\mathbb{R}^3)$ ,  $1 \leq p \leq \infty$ . Then  $f_\varepsilon$  remains in  $L^p(\mathbb{R}^3)$ , uniformly in  $\varepsilon$  and time. More specifically,

$$\|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)} \leq \max \left\{ 16e^{8\|f_0\|_{L \log L}}, \|f_0\|_{L^p} \right\} \text{ for all } t > 0.$$

**Proof.**(for  $p \neq \infty$ )

The idea: let  $K := e^{4\|f_0\|_{L \log L}} > 1$ .

$$\begin{aligned} & \varepsilon \|Q_{g_\varepsilon}(f_\varepsilon, f_\varepsilon)(\cdot, t)\|_{L^p(\mathbb{R}^3)} \\ & \leq 16K^{\frac{1}{2p'}} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}} + 8\|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)} \left( \frac{2}{\log K} \|f_0\|_{L \log L(\mathbb{R}^3)} - 1 \right) \\ & = 16K^{\frac{1}{2p'}} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}} - 4\|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}. \end{aligned}$$



Then

$$\begin{aligned} &= \varepsilon p \|f_\varepsilon(\cdot, t)\|_{L^p}^{p-1} \frac{d}{dt} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)} \\ &= \varepsilon \frac{d}{dt} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^p = \varepsilon \int \frac{d}{dt} f_\varepsilon(v, t) f_\varepsilon^{p-1}(v, t) dv \\ &= \varepsilon \int_{\mathbb{R}^3} Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon)(v, t) f_\varepsilon^{p-1}(v, t) dv - 8 \int f_\varepsilon^p(v, t) dv \\ &\leq \varepsilon \|Q_{g_\varepsilon}^+(f_\varepsilon, f_\varepsilon)(\cdot, t)\|_{L^p(\mathbb{R}^3)} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^{p-1} - 8 \|f_\varepsilon\|_{L^p}^p \\ &\leq 16K \frac{1}{2^{p'}} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^{p-\frac{1}{2}} - 4 \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^p. \end{aligned}$$

Multiply both sides by  $\frac{1}{2} \|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}-p}$  :

$$\varepsilon p \frac{d}{dt} \|f_\varepsilon(\cdot, t)\|_{L^p}^{\frac{1}{2}} \leq 8K - 2 \|f_\varepsilon(\cdot, t)\|_{L^p}^{\frac{1}{2}}.$$

Let  $u(t) := \|f_\varepsilon(\cdot, t)\|_{L^p}^{\frac{1}{2}}$ :

$$\begin{cases} u'(t) \leq \frac{8K}{\varepsilon p} - \frac{2}{\varepsilon p} u(t) \\ u(0) = \|f_0\|_{L^p}^{\frac{1}{2}}. \end{cases}$$

Calculus:

$$\begin{aligned}\frac{d}{dt} \left( u(t) e^{\frac{2t}{\varepsilon p}} \right) &\leq \frac{8K}{\varepsilon p} e^{\frac{2t}{\varepsilon p}} \\ u(t) e^{\frac{2t}{\varepsilon p}} - u(0) &= \frac{4K}{p} \left( e^{\frac{2t}{\varepsilon p}} - 1 \right) \\ u(t) &\leq e^{-\frac{2t}{\varepsilon p}} \left( u(0) - \frac{4K}{p} \right) + \frac{4K}{p}.\end{aligned}$$

Then

$$\begin{aligned}\|f_\varepsilon(\cdot, t)\|_{L^p(\mathbb{R}^3)} &= u^2(t) \leq \left( 4K + (u(0) - 4K) e^{-\frac{2t}{\varepsilon p}} \right)^2 \\ &\leq \max \left\{ 4K, \|f_0\|_{L^p(\mathbb{R}^3)}^{\frac{1}{2}} \right\}^2 \leq \max \left\{ 16e^8 \|f_0\|_{L \log L}, \|f_0\|_{L^p(\mathbb{R}^3)} \right\}.\end{aligned}$$

□

Thank you for your attention!

