

# Mathematical Imaging with Optical Coherence Tomography and Photoacoustics

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# Outline

- 1 OCT and Photoacoustics
  - OCT
  - Photoacoustics
- 2 Modeling aspects
  - Attenuation
  - Examples of attenuation models
- 3 Inversion of the integrated photoacoustic operator
  - Strongly attenuating media
  - Weakly attenuating media
- 4 Backprojection formulae

# OCT Images

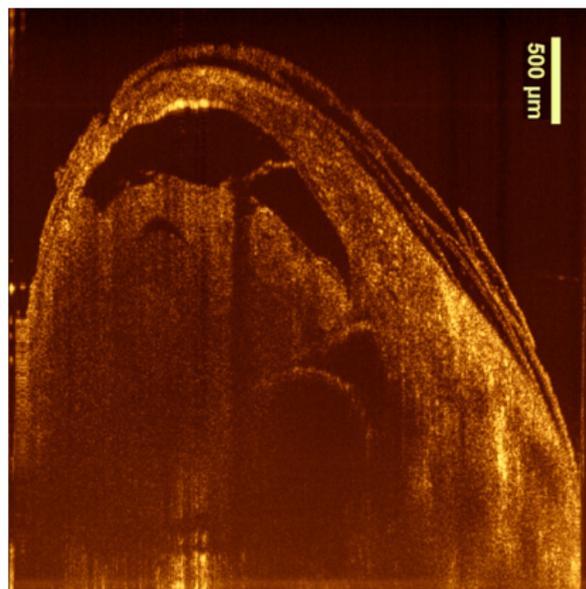
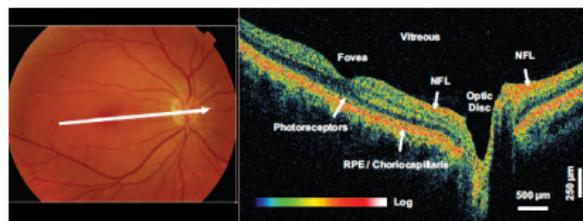


Figure: Data B. Zebhian, W. Drexler: Medical University of Vienna

# Physical Scheme of OCT Setup

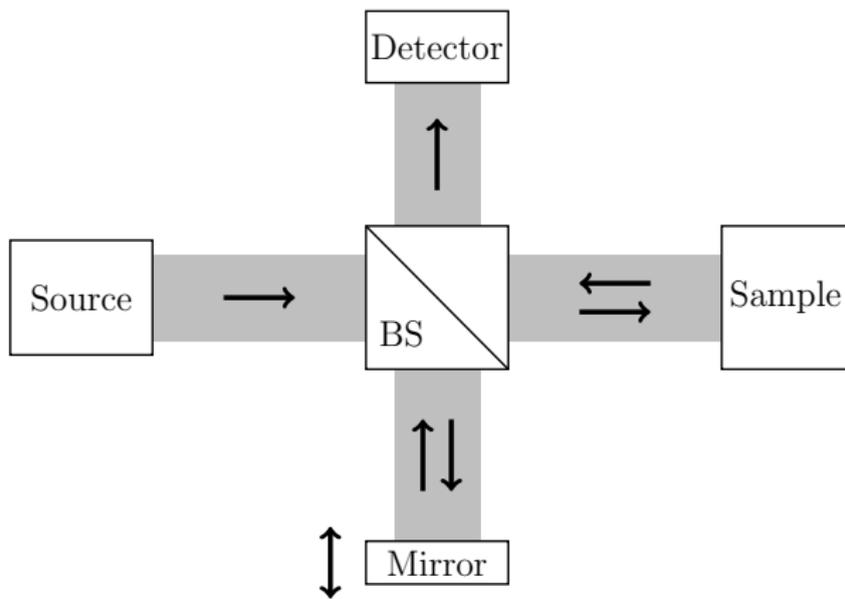


Figure: OCT System

# Basics

- Illumination of a specimens with some a **short** laser pulse

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- Measurements of the **backscattered** wave: **Coherence** of the scattered light with the undisturbed original laser pulse

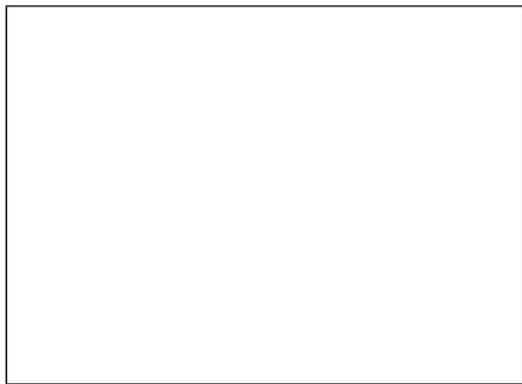
# Basics

- Illumination of a specimens with some a **short** laser pulse
- Measurements of the **backscattered** wave: **Coherence** of the scattered light with the undisturbed original laser pulse
- Visualization of backscattered light

Reconstruction parameters depend on modeling:

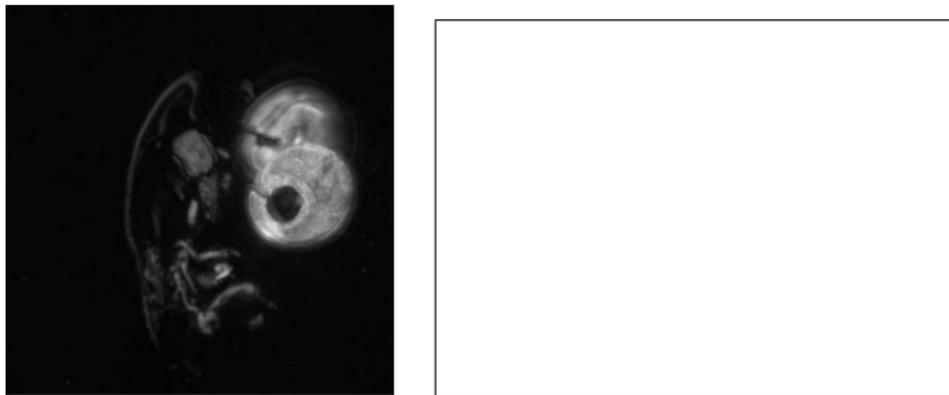
reconstruction parameter	model
scattering coefficient	Boltzmann equation
refractive index	geometric optics
susceptibility	<b>Maxwell's equations</b>

# Photoacoustic setup



**Figure:** Photoacoustic setup: Robert Nuster and Günther Paltauf (University Graz)

## Applications: Microscopy



**Figure:** Chicken embryo (5 days old)(1.2 mm) and mouse heart. Data by Berooz Zebian and Wolfgang Drexler, Robert Nuster et al.

# Photoacoustic imaging – “Lightning and Thunder” (L.H. Wang)

- Specimen is **uniformly** illuminated by a short/pulsed electromagnetic pulse (visible or near infrared light - **Photoacoustics**, microwaves - **Thermoacoustics**)

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# Photoacoustic imaging – “Lightning and Thunder” (L.H. Wang)

- Specimen is **uniformly** illuminated by a short/pulsed electromagnetic pulse (visible or near infrared light - **Photoacoustics**, microwaves - **Thermoacoustics**)
- **Two-step** conversion process: **Absorbed EM energy** is converted into **heat**  $\Rightarrow$  Material reacts with **expansion**  $\Rightarrow$  Expansion produces **pressure waves**
- **Imaging**: Pressure waves are detected at the boundary of the object (over time) and are used for reconstruction of **conversion parameter** (EM energy into expansion/ waves)

## The method in between

DOT (diffuse optical tomography), OCT (optical coherence tomography), SPIM (single plane imaging). MAD (mandibular advancement device), 2P (2 photon),...

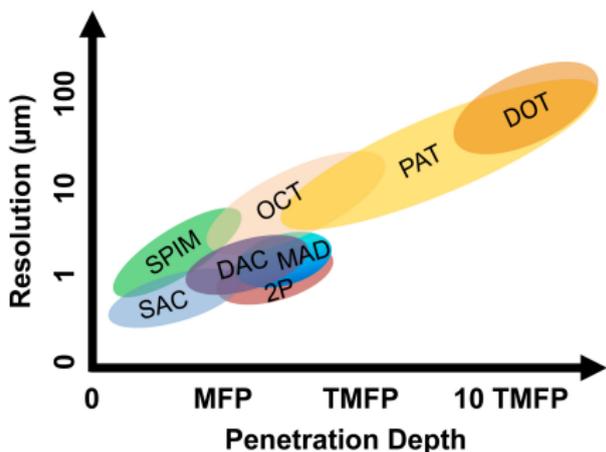


Fig. 1

**Citation**

Steven Y. Leigh, Ye Chen, Jonathan T.C. Liu, "Modulated-alignment dual-axis (MAD) confocal microscopy for deep optical sectioning in tissues," Biomed. Opt. Express 5, 1709-1720 (2014);

<http://www.opticsinfobase.org/boe/abstract.cfm?URI=boe-5-6-1709>

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# Schematic representation

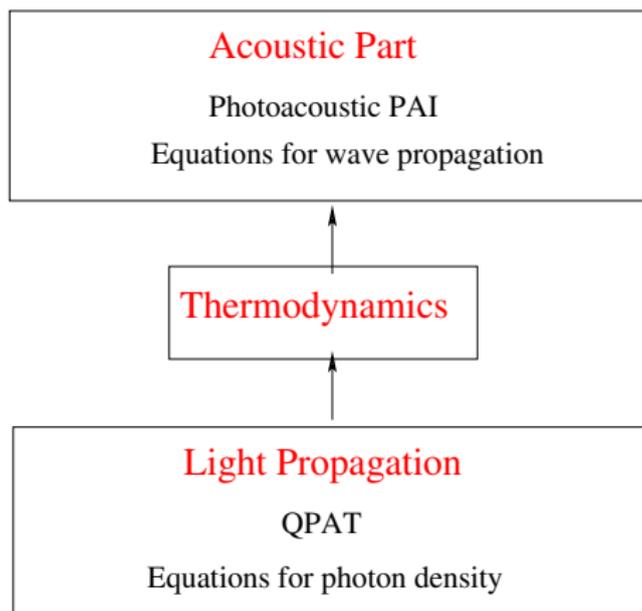


Figure: Three kind of problems

# Governing equation of photoacoustics: Standard

Wave equation for the pressure

$$\frac{1}{c_0^2} \frac{\partial^2 p}{\partial t^2}(x, t) - \Delta p(x, t) = \frac{dj}{dt}(t)\mathcal{H}(x)$$

Parameters and functions:

- $\mathcal{H}$  absorbed electromagnetic energy
- $c_0$  speed of sound - in most mathematical studies normalized to constant 1
- $j(t)$  can be considered to approximate a  $\delta$ -impulse (Lightning)
- Alternative if  $j = \delta$ : **Initial value problem** for homogeneous wave equation

$$p(x, 0) = \mathcal{H}(x), \quad p_t(x, 0) = 0$$

# Inverse problem of photoacoustics

- measurement data:  $p(x, t)$  for  $x \in \mathcal{M}$ ,  $t > 0$  measurement region
- Reconstruction of  $\mathcal{H}(x)$  for  $x \in \Omega$

Exact Reconstruction formulae for  $\mathcal{H}$  depending on measurement geometry

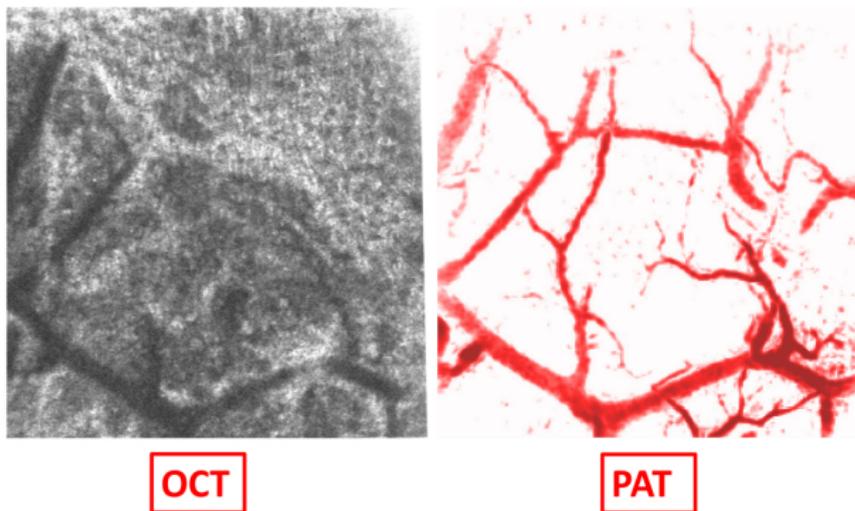
- Sphere, Cylinder, Plane [Xu, Wang, 2002], [Finch, Patch, Rakesh, 2004]
- Circle [Finch, Haltmeier, Rakesh, 2005]
- Universal Backprojection [Wang et al, 2005, Natterer 2012]
- Palamodov 2014
- ...

# Reconstruction parameters depend on modeling

Model	reconstruction parameter	model
PAT	absorption density	Wave equation
QPAT	absorption coefficient	Diffusion or Boltzmann equation
QPAT	susceptibility	Maxwell's equations

# Medical applications

## 3. Human Studies, PAT-OCT



**Figure:** Human studies of combined OCT (optical coherence tomography) and PAT: data by Boris Hermann and Wolfgang Drexler

# Modeling aspects

Standard Photoacoustics does not model **variable sound speed**, **attenuation** and **variable illumination** and does not recover **physical** parameters

- 1 Quantitative Photoacoustics (separation of parameters in  $\mathcal{H}$ ) [Arridge, Bal, Ken, Scotland, Uhlmann,...]
- 2 **Sound speed variations**: [Agranovsky, Hristova, Kuchment, Stefanov, Uhlmann,...]
- 3 **Attenuation and dispersion** [Anastasio, Patch, Riviere, Burgholzer, Kowar, S., Ammari, Wahab, ...]
- 4 Variable Illumination [Wang, Bal,...]
- 5 Finite bandwidth detectors [Haltmeier et al]

# Attenuation

# Attenuation: Model equation

$$\mathcal{A}_\kappa p(x, t) - \Delta p(x, t) = \frac{d\delta}{dt}(t)\mathcal{H}(x)$$
$$p(x, t) = 0 \text{ for } t < 0$$

- $\mathcal{L}$ : pseudo-differential operator w.r.t.  $t$ , with symbol  $-\kappa^2(\omega)$
- $\mathfrak{S}\kappa(\omega)$  attenuation law

## Solution of forward problem

Inverse Fourier-transform with respect to  $t$ :

$$\check{f}(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{i\omega t} dt$$

Solution of attenuated equation:

$$\check{p}(\omega; x) = - \int_{\mathbb{R}^3} \frac{i\omega}{4\pi\sqrt{2\pi}} \frac{e^{i\kappa(\omega)|x-y|}}{|x-y|} \mathcal{H}(y) dy$$

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Object of interest: **Time-integrated** photoacoustic operator in frequency domain:

$$\check{\mathcal{P}}_{\kappa} \mathcal{H}(\omega; x) = \frac{1}{4\pi\sqrt{2\pi}} \int_{\mathbb{R}^3} \frac{e^{i\kappa(\omega)|x-y|}}{|x-y|} \mathcal{H}(y) dy$$

## Formal definition

of **attenuation operator**

$$\mathcal{A}_\kappa : \mathcal{S}'(\mathbb{R} \times \mathbb{R}^3) \rightarrow \mathcal{S}'(\mathbb{R} \times \mathbb{R}^3)$$

is defined by the action on the tensor products  $\phi \otimes \psi \in \mathcal{S}(\mathbb{R} \times \mathbb{R}^3)$ , given by  $(\phi \otimes \psi)(t, x) = \phi(t)\psi(x)$ :

$$\langle \mathcal{A}_\kappa u, \phi \otimes \psi \rangle_{\mathcal{S}', \mathcal{S}} = - \langle u, (\mathcal{F}^{-1} \kappa^2 \mathcal{F} \phi) \otimes \psi \rangle_{\mathcal{S}', \mathcal{S}},$$

where

$$\mathcal{F} : \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R}), \quad \mathcal{F}\phi(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(t) e^{-i\omega t} dt$$

denotes the Fourier transform

# Assumptions on $\kappa$

$\kappa \in C^\infty(\mathbb{R}; \overline{\mathbb{H}})$  with  $\mathbb{H} = \{z \in \mathbb{C} : \Im z > 0\}$  is called **attenuation coefficient** if

- ① all derivatives of  $\kappa$  are polynomially bounded:

$$\forall \ell \in \mathbb{N}_0 \exists \kappa_1 > 0, N \in \mathbb{N} \quad |\kappa^{(\ell)}(\omega)| \leq \kappa_1(1 + |\omega|)^N$$

- ② there exists a continuous continuation  $\tilde{\kappa} : \overline{\mathbb{H}} \rightarrow \overline{\mathbb{H}}$  of  $\kappa$  such that  $\tilde{\kappa} : \mathbb{H} \rightarrow \overline{\mathbb{H}}$  is holomorphic. Moreover,

$$\exists \tilde{\kappa}_1 > 0, N \in \mathbb{N} \quad |\tilde{\kappa}(z)| \leq \tilde{\kappa}_1(1 + |z|)^N \quad \forall z \in \overline{\mathbb{H}}$$

- ③  $\kappa(-\omega) = -\overline{\kappa(\omega)}$  for all  $\omega \in \mathbb{R}$

# Consequences of these assumptions

- 1  $\mathcal{A}_\kappa$  is well-defined:  $\kappa^2 u \in \mathcal{S}'(\mathbb{R}) \quad \forall u \in \mathcal{S}'(\mathbb{R})$
- 2  $p \in \mathcal{S}'(\mathbb{R} \times \mathbb{R}^3)$  is **causal**: that is  $\text{supp}(p) \subseteq [0, \infty) \times \mathbb{R}^3$
- 3  $\mathcal{A}_\kappa$  maps real-valued distributions onto itself

## Finite speed of propagation

$p \in \mathcal{S}'(\mathbb{R} \times \mathbb{R}^3)$  propagates with finite speed  $c > 0$  if

$$\text{supp } p \subset \{(t, x) \in \mathbb{R} \times \mathbb{R}^3 : |x| \leq ct + R\} \quad \forall_h \text{supp } h \subset B_R(0)$$

### Lemma

Let  $\kappa$  be an attenuation coefficient with the holomorphic extension  $\tilde{\kappa} : \overline{\mathbb{H}} \rightarrow \overline{\mathbb{H}}$ .

Then,  $p$  propagates with finite speed if and only if

$$\lim_{\omega \rightarrow \infty} \frac{\tilde{\kappa}(i\omega)}{i\omega} > 0$$

In this case, it propagates with the speed  $c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)}$

# Examples of attenuation models

$\kappa \in C^\infty(\mathbb{R}; \overline{\mathbb{H}})$  is called a

- **strong attenuation coefficient** if

$$\exists \kappa_0, \beta > 0, \omega_0 \geq 0 \quad \Re \kappa(\omega) \geq \kappa_0 |\omega|^\beta, \quad \forall \omega \in \mathbb{R}, |\omega| \geq \omega_0$$

- **weak attenuation coefficient** if it is of the form

$$\exists c > 0, \kappa_\infty \geq 0 \exists \kappa_* \in C^\infty(\mathbb{R}) \cap L^2(\mathbb{R}) \quad \kappa(\omega) = \frac{\omega}{c} + i\kappa_\infty + \kappa_*(\omega), \quad \forall \omega \in \mathbb{R}$$

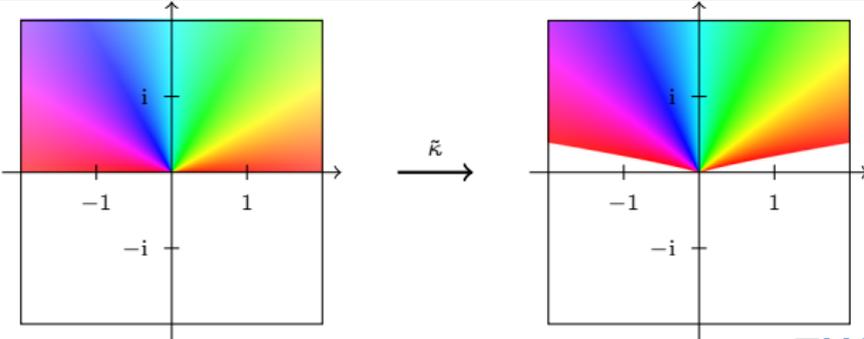
# Thermo-viscous model

Name:	Thermo-viscous model
Coefficient:	$\kappa : \mathbb{R} \rightarrow \mathbb{C}, \kappa(\omega) = \frac{\omega}{\sqrt{1 - i\tau\omega}}, \tau > 0, \tilde{\kappa}(z) = \kappa(z)$
Upper bound:	$ \tilde{\kappa}(z)  \leq  z $ for all $z \in \overline{\mathbb{H}}$
Speed:	$c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)} = \lim_{\omega \rightarrow \infty} \sqrt{1 + \tau\omega} = \infty$
Type:	Strong attenuation coefficient
Range of $\tilde{\kappa}$ :	

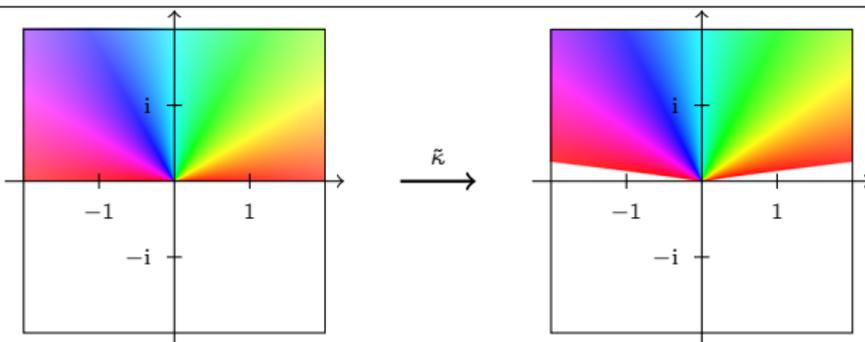
## Kowar-S-Bonnefond model

Attenuation:	$\kappa : \mathbb{R} \rightarrow \mathbb{C}, \kappa(\omega) = \omega \left( 1 + \frac{\alpha}{\sqrt{1+(-i\tau\omega)^\gamma}} \right),$ $\tilde{\kappa}(z) = \kappa(z)$
Speed:	$c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)} = \lim_{\omega \rightarrow \infty} \frac{1}{1 + \frac{\alpha}{\sqrt{1+(\tau\omega)^\gamma}}} = 1$
Type:	Strong attenuation coefficient
Range of $\tilde{\kappa}$ :	

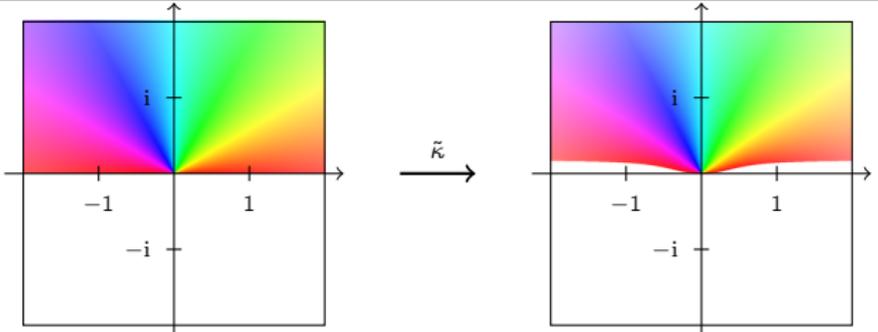
Power law  $\sim$  Szabo

Model:	Power law
Coefficient:	$\kappa : \mathbb{R} \rightarrow \mathbb{C}, \kappa(\omega) = \omega + i\alpha(-i\omega)^\gamma, \tilde{\kappa}(z) = \kappa(z)$
Parameters:	$\gamma \in (0, 1), \alpha > 0$
Upper bound:	$ \tilde{\kappa}(z)  \leq  z  + \alpha z ^\gamma \leq \alpha(1 - \gamma) + (1 + \alpha\gamma) z $
Propagation speed:	$c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)} = \lim_{\omega \rightarrow \infty} \frac{1}{1 + \alpha\omega^{\gamma-1}} = 1$
Attenuation type:	Strong attenuation coefficient
Range of $\tilde{\kappa}$ :	

# Modified Szabo's model

Coefficient:	$\kappa : \mathbb{R} \rightarrow \mathbb{C}, \kappa(\omega) = \omega \sqrt{1 + \alpha(-i\omega)^{\gamma-1}}$
Parameters:	$\gamma \in (0, 1), \alpha > 0$
Upper bound:	$ \tilde{\kappa}(z)  \leq \frac{1}{2}\alpha(1 - \gamma) + (1 + \frac{\alpha}{2}(1 + \gamma)) z $
Speed:	$c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)} = \lim_{\omega \rightarrow \infty} \frac{1}{\sqrt{1 + \alpha\omega^{\gamma-1}}} = 1$
Type:	Strong attenuation coefficient
Range of $\tilde{\kappa}$ :	

## Nachman-Smith-Waag

Coefficient:	$\kappa : \mathbb{R} \rightarrow \mathbb{C}, \kappa(\omega) = \frac{\omega}{c_0} \sqrt{\frac{1 - i\tilde{\tau}\omega}{1 - i\tau\omega}}$
Parameters:	$c_0 > 0, \tau > 0, \tilde{\tau} \in (0, \tau)$
Extension:	$\tilde{\kappa} : \overline{\mathbb{H}} \rightarrow \mathbb{C}, \frac{z}{c_0} \sqrt{\frac{1 - i\tilde{\tau}z}{1 - i\tau z}},  \tilde{\kappa}(z)  \leq \frac{1}{c_0} z $ for all $z \in \overline{\mathbb{H}}$
Speed:	$c = \lim_{\omega \rightarrow \infty} \frac{i\omega}{\tilde{\kappa}(i\omega)} = \lim_{\omega \rightarrow \infty} c_0 \sqrt{\frac{1 + \tau\omega}{1 + \tilde{\tau}\omega}} = c_0 \sqrt{\frac{\tau}{\tilde{\tau}}}$
Type:	Weak attenuation coefficient
Range of $\tilde{\kappa}$ :	

Photoacoustic equation in  $\mathcal{F}$ -domain: recalled

The solution  $p$  of the attenuated wave equation in Fourier domain:

$$\begin{aligned} \check{p}(\omega, x) &= \int_{\mathbb{R}^3} G_{\kappa}(\omega, x - y) \mathcal{H}(y) dy \\ &= -\frac{i\omega}{4\pi\sqrt{2\pi}} \int_{\mathbb{R}^3} \frac{e^{i\kappa(\omega)|x-y|}}{|x-y|} \mathcal{H}(y) dy, \quad \omega \in \mathbb{R}, x \in \mathbb{R}^3 \end{aligned}$$

Measurement data of photoacoustics

$$\check{m}(\omega, \xi) = \check{p}(\omega, \xi) \quad \forall \omega \in \mathbb{R}, \xi \in \partial\Omega$$

## Alternative equation: integrated photoacoustic operator

Inverse problem of attenuated photoacoustics: Find  $\mathcal{H}$  such that

$$\frac{1}{-i\omega} \check{m}(\omega, \xi) = \frac{1}{-i\omega} \check{p}(\omega, \xi), \quad \forall \omega \in \mathbb{R}, \xi \in \partial\Omega$$

Meaning that the data are integrated in time-domain before inversion!

# Integrated photoacoustic operator

Let  $\Omega \subset \mathbb{R}^3$  be a bounded Lipschitz domain and

$$\Omega_\varepsilon = \{x \in \Omega \mid \text{dist}(x, \partial\Omega) > \varepsilon\}$$

$\kappa$  denotes either a strong or a weak attenuation coefficient

## Definition

$$\check{P}_\kappa : L^2(\Omega_\varepsilon) \rightarrow L^2(\mathbb{R} \times \partial\Omega),$$

$$\mathcal{H} \mapsto \frac{1}{4\pi\sqrt{2\pi}} \int_{\Omega_\varepsilon} \frac{e^{i\kappa(\omega)|\xi-y|}}{|\xi-y|} \mathcal{H}(y) dy$$

is called *integrated photoacoustic operator* of the attenuation coefficient  $\kappa$  in frequency domain

## Properties of integrated photoacoustic operator

Let  $\check{\mathcal{P}}_{\kappa} : L^2(\Omega_{\varepsilon}) \rightarrow L^2(\mathbb{R} \times \partial\Omega)$  be the integrated photoacoustic operator of a weak or a strong attenuation coefficient  $\kappa$ :

### Theorem

Then,  $\check{\mathcal{P}}_{\kappa}^* \check{\mathcal{P}}_{\kappa} : L^2(\Omega_{\varepsilon}) \rightarrow L^2(\Omega_{\varepsilon})$  is a self-adjoint integral operator with kernel  $F \in L^2(\Omega_{\varepsilon} \times \Omega_{\varepsilon})$  given by

$$F_{\kappa}(x, y) = \frac{1}{32\pi^3} \int_{-\infty}^{\infty} \int_{\partial\Omega} \frac{e^{i\kappa(\omega)|\xi-y| - i\overline{\kappa(\omega)}|\xi-x|}}{|\xi-y||\xi-x|} dS(\xi) d\omega,$$

that is

$$\check{\mathcal{P}}_{\kappa}^* \check{\mathcal{P}}_{\kappa} h(x) = \int_{\Omega_{\varepsilon}} F_{\kappa}(x, y) h(y) dy$$

In particular,  $\check{\mathcal{P}}_{\kappa}^* \check{\mathcal{P}}_{\kappa}$  is a Hilbert–Schmidt operator and thus compact

Proof: lengthy

## Singular values of IPO for strongly attenuating media

Kernel estimates<sup>1</sup>

## Lemma

For strong attenuation  $\kappa$  the kernel  $F_\kappa$  of  $\check{P}_\kappa^* \check{P}_\kappa$  satisfies

$$\exists_{B,b>0} \frac{1}{j!} \sup_{x,y \in \Omega_\varepsilon} \sup_{v \in S^2} \left| \frac{\partial^j}{\partial s^j} F_\kappa(x, y + sv) \Big|_{s=0} \leq B b^j j^{(\frac{N}{\beta}-1)j} \quad \forall j \in \mathbb{N}_0$$

<sup>1</sup> $N \in \mathbb{N}$  denotes the exponent for  $\ell = 0$  (polynomial condition) and  $\beta \in (0, N]$  is the exponent in the condition for the strong attenuation coefficient  $\kappa$

# Singular values of IPO for strongly attenuating media

Let  $\Omega$  be a bounded Lipschitz domain in  $\mathbb{R}^3$  and  $\varepsilon > 0$

## Corollary

Let  $\check{\mathcal{P}}_\kappa : L^2(\Omega_\varepsilon) \rightarrow L^2(\mathbb{R} \times \partial\Omega)$  be the integrated photoacoustic operator of a strong attenuation coefficient  $\kappa$ .

Then, there exist constants  $C, c > 0$  such that the eigenvalues  $(\lambda_n(\check{\mathcal{P}}_\kappa^* \check{\mathcal{P}}_\kappa))_{n \in \mathbb{N}}$  (in decreasing order) satisfy

$$\lambda_n(\check{\mathcal{P}}_\kappa^* \check{\mathcal{P}}_\kappa) \leq Cn \sqrt[m]{n} \exp\left(-cn \frac{\beta}{Nm}\right) \quad \forall n \in \mathbb{N}$$

## Singular values of IPO for weakly attenuating media

Split

$$\check{P}_\kappa = \check{P}_\kappa^{(0)} + \check{P}_\kappa^{(1)},$$

$$\check{P}_\kappa^{(0)} h(\omega, \xi) = \frac{1}{4\pi\sqrt{2\pi}} \int_{\Omega_\varepsilon} \frac{e^{i\frac{\omega}{c}|\xi-y|}}{|\xi-y|} e^{-\kappa_\infty|\xi-y|} h(y) dy$$

$$\check{P}_\kappa^{(1)} h(\omega, \xi) = \frac{1}{4\pi\sqrt{2\pi}} \int_{\Omega_\varepsilon} \frac{e^{i\frac{\omega}{c}|\xi-y|}}{|\xi-y|} e^{-\kappa_\infty|\xi-y|} (e^{i\kappa_*(\omega)|\xi-y|} - 1) h(y) dy$$

$(\check{P}_\kappa^{(0)}, \check{P}_\kappa^{(1)})$  photoacoustic operator with constant attenuation and the perturbation

## Lemma

Let  $\kappa$  be a weak attenuation coefficient. Then, there exist constants  $C_1, C_2 > 0$  such that we have

$$C_1 n^{-\frac{2}{3}} \leq \lambda_n(\check{P}_\kappa^* \check{P}_\kappa) \leq C_2 n^{-\frac{2}{3}} \quad \forall n \in \mathbb{N}$$

# Summary

Medium type	Examples	Singular values decay
No attenuation	$\kappa(\omega) = \frac{\omega}{c_0}$	$n^{-2/3}$ (Palamodov)
Weak attenuation	Nachman-Smith-Waag $\kappa(\omega) = \frac{z}{c_0} \sqrt{\frac{1-i\tilde{\tau}\omega}{1-i\tau\omega}}$	$n^{-2/3}$
Strong attenuation	Thermo-viscous model $\kappa(\omega) = \frac{\omega}{\sqrt{1-i\tau\omega}}$	$e^{-cn^C}$

$p, p_a$  solutions of wave equation with  $-\omega^2$  and  $\kappa^2(\omega)$ .

$$q_a(t, x) = \int_{-\infty}^t p_a(\tau, x) d\tau \text{ and } q(t, x) = \int_{-\infty}^t p(\tau, x) d\tau$$

Then

$$q^a(t, x) = \int_{\mathbb{R}} \left( \mathcal{F}f^{-1} \left( e^{i\kappa(\omega)\tau} \right) \right) (t) q(\tau, x) d\tau$$

For constant attenuation  $\kappa_\infty > 0$  (independent of  $\omega$ )

$$\kappa(\omega) = \omega + i\kappa_\infty$$

we have

$$\mathcal{H} = B_p \frac{\partial}{\partial t} (Mq^a)$$

where

- $M = e^{k_\infty t}$
- $B_p$  backprojection without attenuation

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Thank you for your attention