

Exploring a first order hydrodynamic limit of the kinetic Cucker–Smale model with singular influence function

YRW17: Current trends in kinetic theory

David Poyato ¹

Joint work with Juan Soler

Applied Mathematics Department
University of Granada, Spain

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Introduction to the model

$$\begin{cases} \frac{\partial \rho}{\partial t} + \operatorname{div}(\rho u) = 0, & t \geq 0, x \in \mathbb{R}^N \\ \nabla \psi + \nu u = \phi_0 * (\rho u) - (\phi_0 * \rho)u, & t \geq 0, x \in \mathbb{R}^N. \end{cases} \quad (1)$$

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Notation:

- $t \in \mathbb{R}_0^+$ and $x \in \mathbb{R}^N$ stand for time and position.
- $\rho = \rho(t, x)$ and $u = u(t, x)$ are the density of particles and velocity field.
- $\psi = \psi(t, x)$ is the potential of an external force (maybe self-generated).
- $\nu \in \mathbb{R}_0^+$ is a friction coefficient.
- $N = 2$ or $N = 3$ are the physically meaningful cases.

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It involves a **commutator of mildly singular integrals**.



R. Coifman, R. Rochberg, G. Weiss (1976), S. Chanillo (1982).

Introduction to the model

Reminiscent of Eulerian dynamics with alignment forces and **neglected inertia**:

$$\begin{cases} \frac{\partial \rho}{\partial t} + \operatorname{div}(\rho u) = 0, & t \geq 0, x \in \mathbb{R}^N, \\ \frac{\partial u}{\partial t} + (u \cdot \nabla)u + \nu u + \nabla \psi = \phi * (\rho u) - (\phi * \rho)u, & t \geq 0, x \in \mathbb{R}^N. \end{cases}$$

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General context:

1 Regular weights:



S.-Y. Ha, E. Tadmor (2008). S.-Y. Ha, J.-G. Liu (2009).
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R. C. Fetecau, W. Sun, C. Tan (2016).



E. Tadmor, C. Tan (2014).

2 Singular weights:



J. Peszek (2014). S. M. Ahn, H. Choi, S.-Y. Ha, H. Lee (2012).
P. B. Mucha, J. Peszek (2016). R. Shvydkoy, E. Tadmor (2017).

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General context:

- 3 Other related models: Kuramoto, aggregation, Vicsec, etc.



Synchronization: S.-Y. Ha et al.



Aggregation models: J. A. Carrillo, A. Bertozzi et al.



Vicsec models: P. Degond, S. Motsch, M.-J. Kang, A. Figalli, et al.



Soft active matter: C. Marchetti et al.

Goals of the talk

Goal 1: The hydrodynamic limit.

- 1 Introduce a singular hyperbolic scaling of the kinetic Cucker–Smale model with regular weights.
- 2 Derive the rigorous hydrodynamic limit towards (1).
- 3 Obtain **measure-valued solutions of (1)** for the range $\lambda \in (0, \frac{1}{2}]$.

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Goal 2: Well-posedness.

- 1 Obtain sharp estimates in Sobolev spaces of the commutator of mildly singular integrals.
- 2 Derive a global in time **well-posedness theory** for (1) in Sobolev-type spaces for the range $\lambda \in (0, N/2)$.

The discrete Cucker–Smale model

$$\begin{cases} \frac{dx_i}{dt} = v_j, \\ m \frac{dv_i}{dt} = \frac{K}{N} \sum_{j=1}^N \phi(|x_i - x_j|)(v_j - v_i) \end{cases}$$

where the influence function is

$$\phi(r) := \frac{\sigma^{2\lambda}}{(\sigma^2 + c_{\kappa,\lambda} r^2)^\lambda} \quad \text{and} \quad c_{\kappa,\lambda} := \kappa^{-1/\lambda} - 1, \quad \kappa \in (0, 1).$$



F. Cucker, S. Smale (2007).

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and $\xi_i(t)$ is δ -correlated white noise with

$$\langle \xi_i(t) \xi_j(t') \rangle = 2D \delta_{ij} \delta(t - t').$$



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Consider τ , the relaxation time under friction, and $\sqrt{\mu}$, the mean thermal velocity of noise and set $\nu := \frac{m}{\tau}$, $D := \frac{\mu}{\tau^2}$.

The discrete Cucker–Smale model

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$$\langle \xi_i(t) \xi_j(t') \rangle = 2 \frac{\mu}{\tau^2} \delta_{ij} \delta(t - t').$$



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From micro to meso: the mean field limit

The mean field limit $N \rightarrow \infty$

$$\frac{\partial f}{\partial t} + v \cdot \nabla_x f - \frac{1}{m} \nabla \psi \cdot \nabla_v f = \operatorname{div}_v \left(\frac{1}{\tau} v f + \frac{\mu}{\tau} \nabla_v f + \frac{K}{mM} ((\phi^\sigma v) * f) f \right),$$

where M is the total mass of the system and $f = f(t, x, v)$ is the density of particles.

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Adimensionalize the system

$$\bar{t} = \frac{t}{T}, \quad \bar{x} = \frac{x}{L}, \quad \bar{v} = \frac{v}{V}, \quad \bar{f}(\bar{t}, \bar{x}, \bar{v}) = \frac{f(t, x, v)}{f_0}, \quad \bar{\psi}(\bar{t}, \bar{x}) = \frac{\psi(t, x)}{\psi_0},$$

and link characteristic units to physical parameters as follows

$$\frac{L}{T} = \frac{1}{m} \frac{\tau}{L} \psi_0, \quad M = V^N L^N f_0.$$

From micro to meso: the mean field limit

The mean field limit $N \rightarrow \infty$

$$\frac{\partial f}{\partial t} + \frac{VT}{L} v \cdot \nabla_x f - \frac{1}{m} \frac{\psi_0 T}{LV} \nabla \psi \cdot \nabla_v f = \operatorname{div}_v \left(\frac{T}{\tau} v f + \frac{T}{\tau} \frac{\mu}{V^2} \nabla_v f + \frac{TK}{m} ((\phi^{\sigma/L} v) * f) f \right).$$

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Define the scaled mean free path, mean thermal velocities, mass, range of interactions and maximum strength of interactions by

$$\alpha := \frac{\sqrt{\mu}}{L/T}, \quad \beta := \frac{\sqrt{\mu}\tau}{L}, \quad \mathcal{V} := \frac{\sqrt{\mu}}{V}, \quad \mathcal{M} := \frac{m}{M}, \quad \delta := \frac{\sigma}{L}, \quad \mathcal{K} := \tau K.$$

From micro to meso: the mean field limit

The mean field limit $N \rightarrow \infty$

$$\frac{\partial f}{\partial t} + \frac{\alpha}{\mathcal{V}} v \cdot \nabla_x f - \frac{V}{\beta} \nabla \psi \cdot \nabla_v f = \frac{\alpha}{\beta} \operatorname{div}_v \left(v f + \mathcal{V}^2 \nabla_v f + \frac{\mathcal{K}}{\mathcal{M}} ((\phi^\delta v) * f) f \right).$$

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Assume the next **hyperbolic singular scaling** of the dimensionless parameters

$$\alpha = 1, \quad \beta = \varepsilon, \quad \mathcal{V} = 1, \quad \mathcal{M} = 1, \quad \delta = \varepsilon, \quad \mathcal{K} = \varepsilon^{-2\lambda}.$$

From micro to meso: the mean field limit

The singular hyperbolic scaling

$$\varepsilon \frac{\partial f_\varepsilon}{\partial t} + \varepsilon v \cdot \nabla_x f_\varepsilon - \nabla \psi_\varepsilon \cdot \nabla_v f_\varepsilon = \operatorname{div}_v (v f_\varepsilon + \nabla_v f_\varepsilon + ((\phi_\varepsilon v) * f_\varepsilon) f_\varepsilon),$$

where the scaled influence function is $\phi_\varepsilon(r) = \frac{1}{(\varepsilon^2 + c_{\kappa,\lambda} r^2)^\lambda}$.



D. P., J. Soler (2017).

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The limit $\varepsilon \searrow 0$ can be understood as a coupled limit where **inertia vanishes** and **singular interactions** appear.

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The limit $\varepsilon \searrow 0$ can be understood as a coupled limit where **inertia vanishes** and **singular interactions** appear.

Formally, let us assume that f_ε would converge to f in some weak sense. Then,

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It then entails that

$$f(t, x, v) = \frac{\rho(t, x)}{(2\pi)^{N/2}} \exp\left(-\frac{|v + \nabla \psi + v(\phi_0 * \rho) - \phi_0 * (\rho u)|^2}{2}\right),$$

where (ρ, u) is a solution to the macroscopic singular system (1).

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The limit $\varepsilon \searrow 0$ can be understood as a coupled limit where **inertia vanishes** and **singular interactions** appear.

In order to derive the rigorous limit we will assume the following **hypothesis**:

$$\left\{ \begin{array}{l} f_\varepsilon^0 = f_\varepsilon^0(x, v) \geq 0 \text{ and } f_\varepsilon^0 \in C_c^\infty(\mathbb{R}^N \times \mathbb{R}^N), \\ \|\rho_\varepsilon^0\|_{L^1(\mathbb{R}^N)} \leq M_0 \text{ and } \rho_\varepsilon^0 \xrightarrow{*} \rho^0 \text{ in } \mathcal{M}(\mathbb{R}^N), \\ \|E_\varepsilon^0\|_{L^1(\mathbb{R}^N)} \leq E_0, \end{array} \right. \quad (H_1)$$

$$\left\{ \begin{array}{l} \psi_\varepsilon \in L^2(0, T; W^{1,\infty}(\mathbb{R}^N)) \text{ and } \psi \in L^2(0, T; W^{1,\infty}(\mathbb{R}^N)), \\ \nabla \psi_\varepsilon(t, \cdot) \in C_0(\mathbb{R}^N, \mathbb{R}^N) \text{ and } \nabla \psi(t, \cdot) \in C_0(\mathbb{R}^N, \mathbb{R}^N), \text{ a.e. } t \in [0, T], \\ \|\nabla \psi_\varepsilon\|_{L^2(0, T; L^\infty(\mathbb{R}^N, \mathbb{R}^N))} \leq F_0 \text{ and } \nabla \psi_\varepsilon \rightarrow \nabla \psi \text{ in } L^1(0, T; C_0(\mathbb{R}^N)), \end{array} \right. \quad (H_2)$$

The hierarchy of velocity moments

Density: $\rho_\varepsilon(t, x) := \int_{\mathbb{R}^N} f_\varepsilon(t, x, v) dv,$

Current: $j_\varepsilon(t, x) := \int_{\mathbb{R}^N} v f_\varepsilon(t, x, v) dv,$

Stress tensor: $\mathcal{S}_\varepsilon(t, x) := \int_{\mathbb{R}^N} v \otimes v f_\varepsilon(t, x, v) dv,$

Stress flux tensor: $\mathcal{T}_\varepsilon(t, x) := \int_{\mathbb{R}^N} (v \otimes v) \otimes v f_\varepsilon(t, x, v) dv.$

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$$\text{Velocity field: } u_\varepsilon(t, x) := \frac{j_\varepsilon(t, x)}{\rho_\varepsilon(t, x)},$$

$$\text{Energy: } E_\varepsilon(t, x) := \frac{1}{2} \text{Tr}(\mathcal{S}_\varepsilon(t, x)) = \frac{1}{2} \int_{\mathbb{R}^N} |v|^2 f_\varepsilon(t, x, v) dv,$$

$$\text{Energy flux: } Q_\varepsilon(t, x) := \frac{1}{2} \text{Tr}(\mathcal{T}_\varepsilon(t, x)) = \frac{1}{2} \int_{\mathbb{R}^N} v |v|^2 f_\varepsilon(t, x, v) dv$$

The hierarchy of velocity moments

$$\frac{\partial \rho_\varepsilon}{\partial t} + \operatorname{div}_x j_\varepsilon = 0.$$

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$$\varepsilon \frac{\partial j_\varepsilon}{\partial t} + \varepsilon \operatorname{div}_x \mathcal{S}_\varepsilon + \rho_\varepsilon \nabla_x \psi_\varepsilon + j_\varepsilon + (\phi_\varepsilon * \rho_\varepsilon) j_\varepsilon - (\phi_\varepsilon * j_\varepsilon) \rho_\varepsilon = 0.$$

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Formally, if we could pass to the limit in the nonlinear term of the current equation and we had some a priori estimate for ρ_ε , j_ε and \mathcal{S}_ε , then we might show that **inertia vanishes when $\varepsilon \rightarrow 0$** in the moment equation and we would success in closing a limiting system in terms of ρ and j .

Hydrodynamic limit: weak form of the moment equations

The continuity and momentum equation in weak form read

$$\begin{aligned} \int_0^T \int_{\mathbb{R}^N} \left(\frac{\partial \varphi}{\partial t} \rho_\varepsilon + \nabla \varphi \cdot j_\varepsilon \right) dx dt &= - \int_{\mathbb{R}^N} \rho_\varepsilon^0 \varphi(0, \cdot) dx, \\ \int_0^T \int_{\mathbb{R}^N} \left(-\varepsilon j_\varepsilon \frac{\partial \varphi}{\partial t} - \varepsilon \mathcal{S}_\varepsilon \nabla \varphi + \rho_\varepsilon \nabla \psi_\varepsilon \varphi + j_\varepsilon \varphi \right) dx dt \\ &\quad - \int_0^T \int_{\mathbb{R}^N} ((\phi_\varepsilon * j_\varepsilon) \rho_\varepsilon - (\phi_\varepsilon * \rho_\varepsilon) j_\varepsilon) \varphi dx dt = \int_{\mathbb{R}^N} j_\varepsilon^0 \varphi(0, \cdot) dx, \end{aligned}$$

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- 1 Passing to the limit in the **linear terms**:
weak-* compactness of ρ_ε and j_ε along with a priori bound of E_ε .
- 2 Passing to the limit in the **nonlinear term**:
strong compactness of the kernel $H_\varphi^{\lambda, \varepsilon}$ and weak-* compactness of $\rho_\varepsilon \otimes j_\varepsilon - j_\varepsilon \otimes \rho_\varepsilon$.
- 3 The value of λ in the **singularity** plays a role.

Hydrodynamic limit: a priori estimates

① Estimate for ρ_ε :

$$\|\rho_\varepsilon\|_{L^\infty(0,T;L^1(\mathbb{R}^N))} \leq M_0.$$

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Taking traces in the equation for \mathcal{S}_ε we obtain the corresponding one for the energy E_ε :

$$\varepsilon \frac{\partial E_\varepsilon}{\partial t} + \varepsilon \operatorname{div}_x Q_\varepsilon + j_\varepsilon \cdot \nabla_x \psi_\varepsilon + 2E_\varepsilon + 2(\phi_\varepsilon * \rho_\varepsilon)E_\varepsilon - 2(\phi_\varepsilon * j_\varepsilon) \cdot j_\varepsilon - N\rho_\varepsilon = 0.$$

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Then, integrating with respect to x we obtain the next bound of energy

$$\begin{aligned} & \|E_\varepsilon\|_{L^1(0,T;L^1(\mathbb{R}^N))} \\ & + \int_0^T \int_{\mathbb{R}^{4N}} \phi_\varepsilon(|x-y|)|v-w|^2 f_\varepsilon(t,x,v) f_\varepsilon(t,y,w) dx dy dv dw dt \\ & \leq \varepsilon E_0 + \frac{1}{2} F_0 + \frac{1}{8} M_0. \end{aligned}$$

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Hydrodynamic limit: passing to the limit the linear terms

The above estimates for ρ_ε and j_ε yield weak-* limits $\rho \in L^\infty(0, T; \mathcal{M}(\mathbb{R}^N))$ and $j \in L^2(0, T; \mathcal{M}(\mathbb{R}^N))^N$, i.e.,

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This, along with the boundedness in $L^1(0, T; L^1(\mathbb{R}^N))$ of \mathcal{S}_ε allow passing to the limit in the **linear terms**:

$$\begin{aligned}\int_0^T \int_{\mathbb{R}^N} \left(\frac{\partial \varphi}{\partial t} \rho_\varepsilon + \nabla \varphi \cdot j_\varepsilon \right) dx dt &= - \int_{\mathbb{R}^N} \rho_\varepsilon^0 \varphi(0, \cdot) dx, \\ \int_0^T \int_{\mathbb{R}^N} \left(-\varepsilon j_\varepsilon \frac{\partial \varphi}{\partial t} - \varepsilon \mathcal{S}_\varepsilon \nabla \varphi + \rho_\varepsilon \nabla \psi_\varepsilon \varphi + j_\varepsilon \varphi \right) dx dt \\ &\quad - \int_0^T \int_{\mathbb{R}^N} ((\phi_\varepsilon * j_\varepsilon) \rho_\varepsilon - (\phi_\varepsilon * \rho_\varepsilon) j_\varepsilon) \varphi dx dt = \int_{\mathbb{R}^N} j_\varepsilon^0 \varphi(0, \cdot) dx,\end{aligned}$$

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1 Strong convergence of the kernel:

It is clear that

$$|\phi_\varepsilon(r) - \phi_0(r)| \leq C_\lambda \frac{\varepsilon^{1-2\lambda}}{r} \xrightarrow{\lambda \in (0, \frac{1}{2})} \lim_{\varepsilon \searrow 0} \|H_\varphi^{\lambda,\varepsilon} - H_\varphi^{\lambda,0}\|_{C([0,T], C_0(\mathbb{R}^N))} = 0.$$

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Using the a priori bounds of ρ_ε and j_ε along with the continuity equation one has

$$\|\rho_\varepsilon\|_{C^{0, \frac{1}{2}}([0,T], W^{-1,1}(\mathbb{R}^N))} \leq T^{1/2} \|\rho_\varepsilon\|_{L^\infty(0,T; L^1(\mathbb{R}^N))} + \|j_\varepsilon\|_{L^2(0,T; L^1(\mathbb{R}^N))}.$$

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By Ascoli-Arzelà theorem in weak-* form one can show that

$$\rho_\varepsilon \rightarrow \rho \text{ in } C([0,T]; W^{-1,1}(\mathbb{R}^N) - \text{weak}^*).$$

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$$\implies \rho_\varepsilon \otimes j_\varepsilon - j_\varepsilon \otimes \rho_\varepsilon \xrightarrow{*} \rho \otimes j - j \otimes \rho \quad \text{in } L^2(0,T; \mathcal{M}(\mathbb{R}^{2N})).$$

Hydrodynamic limit: the critical exponent $\lambda = \frac{1}{2}$

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Indeed, $H_\varphi^{\frac{1}{2},0}(t, x, y)$ may exhibit **jump discontinuities along the diagonal points $x = y$** , but it is bounded and continuous outside such set and falls-off at infinity.

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3 **Solution: absence of concentration for all $\lambda \in (0, N/2)$.**

Set $\Omega_R := \{(x, y) \in \mathbb{R}^{2N} : |x - y| < R\}$ and notice that

$$\begin{aligned} \|\rho_\varepsilon \otimes j_\varepsilon - j_\varepsilon \otimes \rho_\varepsilon\|_{L^2(0,T;\mathcal{M}(\Omega_R))} &\leq (\varepsilon^2 + R^2)^{\lambda/2} M_0 \\ &\times \left(\int_0^T \int_{\mathbb{R}^{4N}} \phi_\varepsilon(|x - y|) |v - w|^2 f_\varepsilon(t, x, v) f_\varepsilon(t, y, w) dt dx dy dv dw \right)^{1/2}. \end{aligned}$$

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Thus, there is non-concentration in the following sense

$$\liminf_{\varepsilon, R \rightarrow 0} \|\rho_\varepsilon \otimes j_\varepsilon - j_\varepsilon \otimes \rho_\varepsilon\|_{L^2(0,T;\mathcal{M}(\Omega_R))} = 0.$$

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$$\int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},\varepsilon}(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0}(\rho \otimes j - j \otimes \rho)$$

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$$\begin{aligned} & \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},\varepsilon}(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0}(\rho \otimes j - j \otimes \rho) \\ &= \int_0^T \int_{\mathbb{R}^{2N}} (H_{\varphi}^{\frac{1}{2},\varepsilon} - H_{\varphi}^{\frac{1}{2},0})(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) \\ &+ \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0}[(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - (\rho \otimes j - j \otimes \rho)]. \end{aligned}$$

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$$\begin{aligned} & \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},\varepsilon} (\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0} (\rho \otimes j - j \otimes \rho) \\ &= \int_0^T \int_{\mathbb{R}^{2N}} (H_{\varphi}^{\frac{1}{2},\varepsilon} - H_{\varphi}^{\frac{1}{2},0}) (\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) \\ &+ \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0} [(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - (\rho \otimes j - j \otimes \rho)]. \end{aligned}$$

- 1 The non-concentration result allows passing to the limit in the **pink** term even though $H_{\varphi}^{\frac{1}{2},0}$ may be discontinuous along the diagonal points.

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How does the non-concentration helps ?

$$\begin{aligned} & \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},\varepsilon} (\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0} (\rho \otimes j - j \otimes \rho) \\ &= \int_0^T \int_{\mathbb{R}^{2N}} (H_{\varphi}^{\frac{1}{2},\varepsilon} - H_{\varphi}^{\frac{1}{2},0}) (\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) \\ &+ \int_0^T \int_{\mathbb{R}^{2N}} H_{\varphi}^{\frac{1}{2},0} [(\rho_{\varepsilon} \otimes j_{\varepsilon} - j_{\varepsilon} \otimes \rho_{\varepsilon}) - (\rho \otimes j - j \otimes \rho)]. \end{aligned}$$

- 1 The non-concentration result allows passing to the limit in the **pink** term even though $H_{\varphi}^{\frac{1}{2},0}$ may be discontinuous along the diagonal points.
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F. Poupaud, *Diagonal defect measures, adhesion dynamics and Euler equation*, *Methods Appl. Anal.* **9** (2002) 533–562.

Theorem

Under the hypothesis (H_1) and (H_2) and for any $\lambda \in (0, 1/2]$ the macroscopic quantities ρ_ε and j_ε satisfy

$$\begin{aligned}\rho_\varepsilon &\rightarrow \rho, \quad \text{in } C([0, T], \mathcal{M}(\mathbb{R}^N) - \text{weak}^*), \\ j_\varepsilon &\xrightarrow{*} j, \quad \text{in } L^2(0, T; \mathcal{M}(\mathbb{R}^N))^N.\end{aligned}$$

where (ρ, j) is a local-in-time weak measure-valued solution to the Cauchy problem associated with the following Euler-type system

$$\begin{cases} \partial_t \rho + \operatorname{div} j = 0, & x \in \mathbb{R}^N, t \in [0, T), \\ \rho \nabla \psi + j = (\phi_0 * j)\rho - (\phi_0 * \rho)j, & x \in \mathbb{R}^N, t \in [0, T) \\ \rho(0, \cdot) = \rho^0, & x \in \mathbb{R}^N. \end{cases}$$

Singular macro system: well-posedness in Sobolev spaces

In terms of ρ and u , the singular macroscopic system can be restated as

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Then, we will study each problem separately and will try to find a solution via a **fixed point argument** when ρ and u are taken in spaces with **high enough Sobolev regularity**.

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- 3 For any $1 \leq p \leq \infty$ and $0 \leq \rho^0 \in L^p(\mathbb{R}^N)$, there exists a **unique weak solution**

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Indeed, the next estimate holds

$$\|D[u]\|_{L^\infty(0, T; L^p(\mathbb{R}^N))} \leq \exp\left(\frac{1}{p'} \|u\|_{L^1(0, T; W^{1, \infty}(\mathbb{R}^N, \mathbb{R}^N))}\right) \|\rho^0\|_{L^p(\mathbb{R}^N)}.$$

Singular macro system: the implicit equation

Second, let us concentrate in the **implicit integral equation**:

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$$\mathcal{C}[\rho, u] := \phi_0 * (\rho u) - (\phi_0 * \rho)u = -\frac{1}{c_\lambda^\lambda} [u, I_{N-2\lambda}] \rho.$$

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↪ **Harmonic analysis: Commutator of mildly singular integrals.**

$$\rho \in L^p(\mathbb{R}^N), \quad u \in BMO(\mathbb{R}^N, \mathbb{R}^N), \quad 1 < p < \frac{N}{N-2\lambda}.$$

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S. Chanillo, *A note on commutator*, Indiana Univ. Math. J. **31** (1982) 7–16.



D. Cruz-Uribe, A. Fiorenza, *Endpoint estimates and weighted norm inequalities for commutator of fractional integrals*, Pub. Mat. **47** (2003), 103–131.

Singular macro system: estimates of commutators

Based on **Hardy–Littlewood–Sovolev theorem** we obtain the next results:

Theorem ($W^{1,\infty}$ regularity)

Consider $1 \leq p_1 < p_2 \leq \infty$ with $\frac{1}{p_2} < 1 - \frac{2\lambda}{N} < \frac{1}{p_1}$. Then,

$$\begin{aligned} & \|([u, I_{N-2\lambda}]\rho)\|_{L^1(0,T;W^{1,\infty})} \\ & \leq C \|u\|_{L^1(0,T;W^{1,\infty})} \|\rho\|_{L^\infty(0,T;L^{p_1})}^{(1/p_2' - \frac{2\lambda}{N})/(1/p_1 - 1/p_2)} \|\rho\|_{L^\infty(0,T;L^{p_2})}^{(\frac{2\lambda}{N} - 1/p_1')/(1/p_1 - 1/p_2)}. \end{aligned}$$

Theorem (L^s regularity)

Consider $1 \leq p_1 < p_2 \leq \infty$ and $1 \leq s < \infty$ with $\frac{1}{p_2} < 1 - \frac{2\lambda}{N} < \frac{1}{p_1}$ and $s > \frac{N}{2\lambda}$. Then,

$$\begin{aligned} & \|([u, I_{N-2\lambda}]\rho)\|_{L^1(0,T;L^s)} \\ & \leq C \|u\|_{L^1(0,T;L^s)} \|\rho\|_{L^\infty(0,T;L^{p_1})}^{(1/p_2' - \frac{2\lambda}{N})/(1/p_1 - 1/p_2)} \|\rho\|_{L^\infty(0,T;L^{p_2})}^{(\frac{2\lambda}{N} - 1/p_1')/(1/p_1 - 1/p_2)}. \end{aligned}$$

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1 Restating the macroscopic system:

$$\mathcal{C}[\mathcal{D}[u], u] - \nabla\psi = u.$$

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3 Definition of Banach spaces:

$$W^{k,p,q}(\mathbb{R}^N) := (W^{k-1,p} \cap W^{k-1,q} \cap W^{k,\infty})(\mathbb{R}^N).$$

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4 High regularity estimates of the operators: Consider $\lambda \in (0, N/2)$ and $1 \leq p_1 < p_2 \leq \infty$ such that $\frac{1}{p_2} < 1 - \frac{2\lambda}{N} < \frac{1}{p_1}$. Set any $k \in \mathbb{N}$ so that $k > \max\{\frac{k}{2N} - 1, \frac{N}{2\lambda p_1}\}$. Then,

$$\|\mathcal{D}[u]\|_{L^\infty(0,T;L^{p_i})} \leq \exp\left(\frac{1}{p_i}\|u\|_{L^1(0,T;W^{1,\infty})}\right) \|\rho^0\|_{L^{p_i}}$$

$$\begin{aligned} \|\mathcal{C}[\rho, u]\|_{L^1(0,T;W^{1,kp_1,kp_2})} &\leq C\|u\|_{L^1(0,T;W^{1,kp_1,kp_2})} \\ &\times \|\rho\|_{L^\infty(0,T;L^{p_1})}^{(1/p_2' - \frac{2\lambda}{N})/(1/p_1 - 1/p_2)} \|\rho\|_{L^\infty(0,T;L^{p_2})}^{(\frac{2\lambda}{N} - 1/p_1')/(1/p_1 - 1/p_2)}. \end{aligned}$$

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- 5 **Domain and codomain of Φ :** Consider $\lambda \in (0, N/2)$ and $1 \leq p_1 < p_2 \leq \infty$ such that $\frac{1}{p_2} < 1 - \frac{2\lambda}{N} < \frac{1}{p_1}$. Set any $k \in \mathbb{N}$ so that $k > \max\{\frac{k}{2N} - 1, \frac{N}{2\lambda p_1}\}$. Then,

$$\Phi : L^1(0, T; W^{1, kp_1, kp_2}) \longrightarrow L^1(0, T; W^{1, kp_1, kp_2}),$$

and

$$\begin{aligned} & \|\Phi[u]\|_{L^1(0, T; W^{1, kp_1, kp_2})} \\ & \leq \|\rho^0\|_{L^{p_1}}^{(1/p_2' - \frac{2\lambda}{N})/(1/p_1 - 1/p_2)} \|\rho^0\|_{L^{p_2}}^{(\frac{2\lambda}{N} - 1/p_1')/(1/p_1 - 1/p_2)} \kappa_1 (\|u\|_{L^1(0, T; W^{1, kp_1, kp_2})}) \\ & \quad + \|\nabla\psi\|_{L^1(0, T; W^{1, kp_1, kp_2})}, \end{aligned}$$

$$\begin{aligned} & \|\Phi[u_1] - \Phi[u_2]\|_{L^1(0, T; W^{1, kp_1, kp_2})} \leq \|u_1 - u_2\|_{L^1(0, T; W^{1, kp_1, kp_2})} \\ & \quad \times \|\rho^0\|_{W^{k, p_1, p_2}} \kappa_2 \left(\|u_1\|_{L^1(0, T; W^{1, kp_1, kp_2})}, \|u_2\|_{L^1(0, T; W^{1, kp_1, kp_2})} \right). \end{aligned}$$

Singular macro system: the fixed point argument

6 For any $R > \|\nabla\psi\|_{L^1(0,T;W^{1,kp_1,kp_2})}$, set

$$\mathcal{B}_R := \{u \in W^{1,kp_1,kp_2}(\mathbb{R}^N, \mathbb{R}^N) : \|u\|_{W^{1,kp_1,kp_2}} \leq R\}$$

and assume that $\rho^0 \in W^{k,p_1,p_2}(\mathbb{R}^N)$ is small enough.

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↔ **Banach' contraction principle.**

Theorem

Let λ be any exponent in $(0, N/2)$ and $1 \leq p_1 < p_2 \leq \infty$ such that

$$\frac{1}{p_2} < 1 - \frac{2\lambda}{N} < \frac{1}{p_1}.$$

Consider any (large enough) positive integer k so that

$$k > \max \left\{ \frac{N}{2\lambda} - 1, \frac{N}{2\lambda p_1} \right\}.$$

Then, for any positive radius R there exists some positive constant δ_R depending on R such that the macroscopic system admits one, and only one solution

$$u \in L^1(0, T; W^{1, k p_1, k p_2}(\mathbb{R}^N, \mathbb{R}^N)), \quad \rho \in L^\infty(0, T; L^{p_1}(\mathbb{R}^N) \cap L^{p_2}(\mathbb{R}^N))$$

with $\|u\|_{L^1(0, T; W^{1, k p_1, k p_2}(\mathbb{R}^N, \mathbb{R}^N))} \leq R$, as long as the external force $F = -\nabla\psi$ and the initial datum ρ^0 are taken so that they fulfil the next conditions

$$\|\nabla\psi\|_{L^1(0, T; W^{1, k p_1, k p_2}(\mathbb{R}^N, \mathbb{R}^N))} < R \quad \text{and} \quad \|\rho^0\|_{W^{k, p_1, p_2}(\mathbb{R}^N)} < \delta_R.$$

(Soaring)

Figure: Flock of vultures soaring in a thermal. ([Click here to Youtube](#))

Conclusions

- 1 From microscopic description to mesoscopic.
- 2 Singular hyperbolic scaling (there are others) of the kinetic equation.
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D. Poyato, J. Soler, *Euler-type equations and commutators in singular and hyperbolic limits of kinetic Cucker-Smale models*, *Math. Mod. Meth. Appl. Sci*, **27**(6) (2017), 1089-1152.

THANK YOU!!