

Endoscopic Transfer of the Bernstein Center

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Outline

- 1 Introduction: objects and motivations
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- 4 Ztransfer Conjecture
- 5 Some Results
- 6 Shimura variety applications

Overview

- The “**fundamental lemma**” (FL) is now a theorem: Ngô, Waldspurger, Hales,...
- along with its **twisted**, **weighted**, and **twisted-weighted** versions (Ngô, Waldspurger, Laumon-Chaudouard...).
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- FL of Jacquet-Ye (Ngô, Jacquet)
- FL conjectured by Jacquet-Rallis (Z. Yun, J. Gordon)

Purpose of talk: discuss a **new conjectural variant related to the Bernstein center**.

It has applications to Shimura varieties with **bad reduction** (and arose there), extending to bad reduction cases the Langlands-Kottwitz approach to Shimura varieties with good reduction.

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G will be a **connected reductive group** over some field.

Examples: GL_n , SL_n , SO_{2n+1} , Sp_{2n} , G_2 , E_8 .

We need the **Langlands dual group** $\widehat{G} = \widehat{G}(\mathbb{C})$, defined to have dual root data.

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Some distributions on Hecke algebras

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- If G defined over F , often write $G = G(F)$. Set $K := G(\mathcal{O})$, when G/\mathcal{O} .
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Let $\gamma \in G(F)$ be regular semisimple (i.e. G_γ a maximal torus), $f \in \mathcal{H}(G)$.

$$f(\gamma) = \int_{G_\gamma \backslash G(F)} f(x) dx$$

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Endoscopic groups: stabilization of the trace formula (**bold** = global).

- **Trace formula.** Let $\mathbf{f} = \otimes_v f_v \in C_c^\infty(\mathbf{G}(\mathbb{A}))$. Roughly, the Trace Formula is an equality of the form $T_{\text{geom}}(\mathbf{f}) = T_{\text{spec}}(\mathbf{f})$

$$\sum_{\gamma_0 \in \mathbf{G}(\mathbb{Q})/\sim} c_{\gamma_0} O_{\gamma_0}^{\mathbf{G}(\mathbb{A})}(\mathbf{f}) + \dots = \sum_{\pi = \otimes'_v \pi_v} m(\pi) \text{tr } \pi(\mathbf{f}) + \dots$$

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where $*$ \in {"geom", "spec, disc"}.

- Endoscopic groups enter into the **pseudostabilization** of the Lefschetz formula for Shimura varieties $Sh = Sh(\mathbf{G}, X, K^p K_p)$

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Endoscopic groups: What are they?

Can be local or global. Consider local case.

An (standard) endoscopic group H for G satisfies

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$f_r \in \mathcal{H}(G_r)$, $f^H \in \mathcal{H}(H)$.

Definition

$f_r \leftrightarrow f^H$ if, for all $\gamma_H \in H^{G\text{-sr}}(F)$,

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Here $\Delta(\gamma_H, \delta) \in \mathbb{C}^\times$ are the **transfer factors** associated to H and G_r .

The “Frobenius twist” is built into them.

When $r = 1$ (no Frobenius twist), we get the standard transfer factors.

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$K = G(\mathcal{O})$ (hyperspecial), with analogue $K_r \subset G_r$.

• Example A: BCFL

Via Satake, $\exists b_r : \mathcal{H}(G_r, K_r) \rightarrow \mathcal{H}(G, K)$ s.t.

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• Example C: Hecke

$f \leftrightarrow \mathcal{H}(f)$, where \mathcal{H} is the (spherical) Hecke algebra.

($\mathcal{H} = \mathcal{H}_g$ in B)

Remark: Formally B is a special case of C , but B is used in proof of C .

Here there is a natural map of Hecke algebras producing matching pairs.

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Via Satake, $\exists b_r : \mathcal{H}(G_r, K_r) \rightarrow \mathcal{H}(G, K)$ s.t.

$f_r \leftrightarrow b_r(f_r)$ in sense of stable base change.

- Example B: **FL**: $K = G(\mathcal{O})$, $K_H = H(\mathcal{O})$. Then $1_K \leftrightarrow 1_{K_H}$ in the sense of standard endoscopy.

- Example C: Hales' **spherical transfer**.

$f \leftrightarrow b(f)$, where b is the (spherical) transfer homomorphism above.

Remark: Formally B is a special case of C , but B is used in proof of C .

Here there is a natural map of Hecke algebras producing matching pairs.

The roles they played

A. came historically first.

- GL_2 Langlands, GL_3 Kottwitz
- GL_2 Arthur-Cassida (→ GL_2) dual to end class field theory
- GL_2 Shimura (→ GL_2) → GL_2 (→ GL_2)
- GL_2 Langlands (→ Shimura, Kottwitz)
- GL_2 Langlands (→ Shimura, Kottwitz) → Shimura, Kottwitz
- Shimura varieties with good reduction...

B. is essential for everything: Stabilization of trace formula, Shimura varieties, and Waldspurger's transfer theorem (more later).

C: provided a step in FL: Global-local argument used by Hales to show FL for a.e. p implies FL for all p .

The roles they played

A. came historically first.

- GL_2 Langlands, GL_3 Kottwitz
- GL_n Arthur-Clozel: $f_r \leftrightarrow b_r(f_r)$ dual to and used in **local lifting**
 $\pi \in \mathcal{R}(GL_n(F)) \mapsto \Pi \in \mathcal{R}(GL_n(F_r))$.
- G *unramified*: **unit elements**: Kottwitz
- **general elements**: Clozel, Labesse:

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Waldspurger's (twisted) transfer theorem

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Given $f_r \in \mathcal{H}(G_r)$, there exists at least one $f^H \in \mathcal{H}(H)$ with

$$f_r \leftrightarrow f^H.$$

However, the correspondence $f_r \mapsto f^H$ is not given by a natural geometric rule on the dual side, i.e. it is not (a priori) *spectrally explicit*.

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Transition to Bernstein center: Example: GL_2

- $I = \begin{bmatrix} \mathcal{O}^\times & \mathcal{O} \\ \varpi \mathcal{O} & \mathcal{O}^\times \end{bmatrix} \supset I^+ = \begin{bmatrix} 1 + \varpi \mathcal{O} & \mathcal{O} \\ \varpi \mathcal{O} & 1 + \varpi \mathcal{O} \end{bmatrix}$.
- $T := \begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix}$, and $W := W(T, G) \cong S_2$.

Set $\mathcal{Z}(G, I) = Z(\mathcal{H}(G, I))$, the center of the Iwahori-Hecke algebra.

$b_r : \mathcal{Z}(G_r, I_r) \rightarrow \mathcal{Z}(G, I)$ is defined analogously to the spherical case, but using the **Bernstein isomorphism**:

$$\begin{array}{ccccc}
 \mathcal{H}(G_r, K_r) & \xrightarrow[\sim]{\text{Sat}} & \mathbb{C}[X^\pm, Y^\pm]^{S_2} & \xleftarrow[\sim]{\text{Bern}} & \mathcal{Z}(G_r, I_r) \\
 \downarrow b_r & & \downarrow (\cdot)^r & & \downarrow b_r \\
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The Cartan decomposition gives a basis of spherical functions $f_\mu = 1_{K\mu(\varpi)K}$, (one for each dominant cocharacter $\mu \in X_*(T)$).

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Use of the tree

Langlands proved the spherical BCFL for GL_2 by computing both sides of

$$\mathrm{TO}_{\delta\theta}^{G_r}(1_{K_r\mu(\varpi)K_r}) = \mathrm{O}_{N_r\delta}^G(b_r(1_{K_r\mu(\varpi)K_r})),$$

for each dominant cocharacter $\mu \in X_*(T)$.

This was a **vertex-counting problem** on the tree for $\mathrm{SL}_2(F)$.

Walter Ray-Dulany (2010 PhD thesis) solved an analogous **edge-counting problem** in the tree, computing both sides of

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Generalize the transfer homomorphism $b : \mathcal{H}(G, K) \rightarrow \mathcal{H}(H, K_H)$ to Hecke algebras with deeper level, such that

- 1) it produces matching pairs, and
- 2) it is *spectrally explicit* (= defined geometrically on dual side).

We need the Bernstein center $\mathcal{Z}(G)$ of $G = G(F)$.

We will also need the local Langlands correspondence (LLC+)

We will show: LLC+ allows us to construct many matching pairs $f_r \leftrightarrow f^H$.

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4 views of the Bernstein center

First step: construct many elements of the Bernstein center.

The \mathbb{C} -algebra $\mathcal{Z}(G)$ can be described as:

- ring of self natural-transformations of the identity functor.
- ess. compact G -invariant distributions on $\mathcal{H}(G)$.
- $\int_{\mathcal{H}(G)} f(x) dx$ where f is compactly supported and G -invariant.

Recall $\pi \in i_p^G(\sigma) \leftrightarrow (M, \sigma)_G = sc(\pi)$, and the \mathbb{C} -torus $X(M)$ of unramified characters on $M = M(F)$ acts on $\{(M, \sigma)_G\}_\sigma$ by twisting. This gives \mathcal{X} structure of a (disconnected) variety over \mathbb{C} .

Connected components are the Bernstein varieties corresponding to the inertial classes $\mathfrak{s} = [M, \sigma]_G$.

The Bernstein block $\mathcal{R}_{\mathfrak{s}}(G)$ is the subcategory of representations whose s.c. support is of form $(M, \sigma\xi)_G$ for some $\xi \in X(M)$.

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4 views of the Bernstein center

First step: construct many elements of the Bernstein center.

The \mathbb{C} -algebra $\mathcal{Z}(G)$ can be described as:

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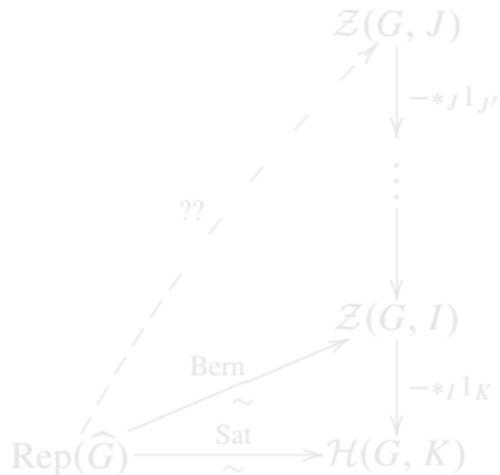
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To define a general “transfer homomorphism”, we want to **extend Satake/Bernstein** to a natural map

$$\mathrm{Rep}(\widehat{G}) \rightarrow \mathcal{Z}(G).$$

Can we complete the diagram?

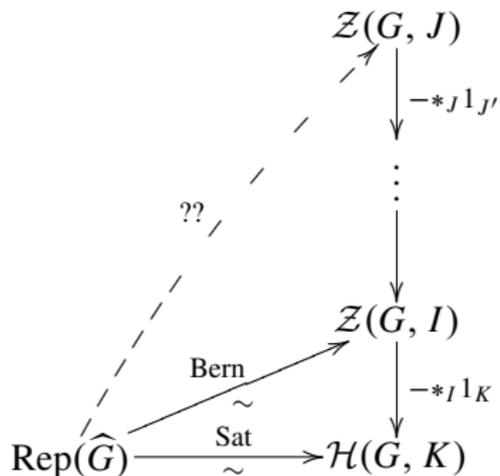


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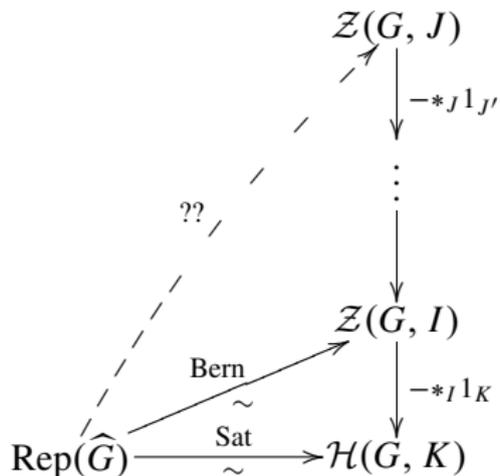


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Main construction

W_F Weil group of F , with inertia subgroup I_F , geometric Frob = Φ .

We need to assume LLC+, meaning for irred. $\pi \in \mathcal{R}(G)$:

- 1) existence of L -parameter $\varphi_\pi : W_F \rightarrow {}^L G = \widehat{G} \rtimes W_F$ with usual properties, and
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Proposition

G is arbitrary unramified and $V \in \mathrm{Rep}({}^L G)$. Assume LLC+ for G and its Levi subgroups. Then the function

$$\mathcal{R}_{\mathrm{irred}}(G) \ni \pi \mapsto Z_V(\pi) := \mathrm{tr}^{SS}(\varphi_\pi(\Phi), V).$$

descends to give a regular function on \mathfrak{X} , thus an element $Z_V \in \mathcal{Z}(G)$.

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Notion is due to Rapoport.

Fix $\ell \neq p = \mathrm{char}(\mathcal{O}/\varpi\mathcal{O})$. Let V be a finite-dimensional $\bar{\mathbb{Q}}_\ell$ -space with a continuous representation

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If $\pi \in \mathcal{R}_{\mathrm{irred}}(\mathrm{GL}_n(\mathbb{Q}_p))$ is a subquotient of normalized induction of $\pi_1 \boxtimes \cdots \boxtimes \pi_t \in \mathcal{R}(\mathrm{GL}_{n_1}(\mathbb{Q}_p) \times \cdots \times \mathrm{GL}_{n_t}(\mathbb{Q}_p))$, then

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The Ztransfer Conjecture (We explain only the case $r = 1$: standard endoscopy.)

Suppose given $(H, s, {}^L\eta)$, ${}^L\eta : {}^LH \rightarrow {}^LG$. We may restrict $V \in \text{Rep}({}^LG)$ to $V|{}^L\eta \in \text{Rep}({}^LH)$. Get $Z_V^H := Z_{V|{}^L\eta} \in \mathcal{Z}^{st}(H)$.

Conjecture

For every $V \in \text{Rep}({}^LG)$, we have $Z_V \leftrightarrow Z_V^H$ in the sense of distributions:

$$f \leftrightarrow f^H \implies Z_V * f \leftrightarrow Z_V^H * f^H.$$

There exists similar conjecture in general Frobenius twisted case.

Inputting FL $1_K \leftrightarrow 1_{K_H}$ yields Example C: Hales spherical transfer.

Inputting $1_{K_r} \leftrightarrow 1_K$ (Kottwitz units) yields Example A: Clozel-Labesse BCFL.

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Aside: explicit matching pairs

With the FL and Waldspurger's transfer now available, it makes sense to try to find explicit matching pairs $f_r \leftrightarrow f^H$ where f belongs to a prescribed family.

Example: Kazhdan-Varshavsky: f and f^H are Deligne-Lusztig functions coming from the representation theory of finite groups of Lie type.

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Results: Base change fundamental lemmas – essentially Iwahori level

Consider $H = G$, i.e., $f_r \leftrightarrow f$ has the sense of stable base change.

Theorem (H. 2009, 2010 – Predecessor of Ztransfer conjecture)

For G unramified over F , and $J = I, I^+$, or parahoric, there exists a base-change homomorphism

$$b_r : \mathcal{Z}(G_r, J_r) \rightarrow \mathcal{Z}(G, J)$$

defined explicitly in terms of the Bernstein isomorphism (on the dual side) with the property

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This was used to study certain Shimura varieties with parahoric or $\Gamma_1(p)$ -level structure at p (see below).

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The (Frobenius-twisted) Ztransfer Conjecture holds for $G = GL_n$.

(Congruence-level FL: Ferrari 2007) If $J = K(N) \subset G(F)$ any principal congruence subgroup for a split group G , then $1_J \leftrightarrow 1_{J_H}$.

Therefore, we get many pairs of matching functions in centers of principal-congruence-group-level Hecke algebras for GL_n (we do not know the structure of these centers!).

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Test function conjecture: “clean form” in a special case

- $Sh = Sh(\mathbf{G}, X, K^p K_p)$, PEL over $\mathcal{O}_{E_p} (= \mathbb{Z}_p)$ (for simplicity), and $G = \mathbf{G}_{\mathbb{Q}_p}$ split.
- no endoscopy, e.g. “fake unitary case” with $\mathbf{G}(\mathbb{R}) \cong \mathrm{GU}(d, n - d)$.
- Let $\mu := \mu_h \in X_*(T) = X^*(\widehat{T})$ the **Shimura cocharacter**, with dual cocharacter μ^* .
- Let $V = V_{\mu^*}$ denote the representation of ${}^L G = \widehat{G} \rtimes W_{\mathbb{Q}_p}$ with extreme weight μ^* .
- **Set**
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Conjecture (H.-Kottwitz – “clean form”)

In the situation above, for every $r \geq 1$, the test function $f_{r,1}$ above satisfies: the alternating sum of the semi-simple traces

$$\sum_{i=0}^{2\dim(Sh)} (-1)^i \text{tr}^{SS}(\Phi_p^r, H^i(Sh \times_E \bar{E}_p, \bar{\mathbb{Q}}_\ell))$$

equals the trace

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Consequence: Automorphy of local factors of Hasse-Weil Zeta functions

Remark

When the above equation holds (e.g. in certain “nice” cases of “unitary” Shimura varieties as above when both Ztransfer conjecture and “real” test function conjecture are known), we have

$$Z_{\mathfrak{p}}^{ss}(s, Sh) = \prod_{\pi_f} L^{ss}\left(s - \frac{\dim Sh}{2}, \pi_p, r_{\mu^*}\right)^{n(\pi_f)} \quad (1)$$

where $\pi_f = \pi^{p, \infty} \otimes \pi_p$ ranges over certain representations of $\mathbf{G}(\mathbb{A}_f)$ and $n(\pi_f) \in \mathbb{Z}$.

The test function conjecture has been proved in some cases

Theorem (H.-Ngô, 2002, 2005, 2009)

The (real) test function conjecture holds if $G_{\mathbb{Q}_p}$ is split of type A or C and K_p is parahoric.

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A stronger version holds in the Drinfeld case ($GU(1, n - 1)$), if $K_p = I^+$.

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